

*2011 State of Texas  
Consolidated Annual Performance  
and Evaluation Report  
Reporting on Program Year 2010*



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Prepared by

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## Contents

Introduction	ii
<b>PART I: Consolidated Annual Performance and Evaluation Report for CDBG, ESGP &amp; HOME</b>	<b>2</b>
<b>PROGRAM PERFORMANCE</b>	<b>2</b>
Community Development Block Grant Program	3
Emergency Shelter Grants Program	25
HOME InVestment partnerships Program	37
<b>OTHER ACTIONS</b>	<b>67</b>
Meeting Underserved Needs and Developing affordable housing	67
Public Housing Resident Initiatives	69
Lead-Based Paint Hazards	70
Poverty-Level Households	73
Compliance	75
Institutional Structure	81
Affirmatively Furthering Fair Housing	83
<b>GOALS AND OBJECTIVES</b>	<b>97</b>
Community Development Goals And Objectives: CDBG	97
Homeless And Housing Goals And Objectives	98
<b>PART II: Consolidated Annual Performance and Evaluation Report for HOPWA</b>	<b>105</b>
<b>PART III: Citizen Participation</b>	<b>166</b>

## INTRODUCTION

The Texas Department of Housing and Community Affairs (TDHCA), which administers the HOME Investment Partnerships and Emergency Shelter Grants programs and the Texas Department of Rural Affairs (TDRA), which administers the Community Development Block Grant Program, have completed the *2011 State of Texas Consolidated Annual Performance and Evaluation Report: Reporting on Program Year 2010*. The Department of State Health Services (DSHS), which administers the Housing Opportunities for Persons with AIDS Program, completed its Consolidated Annual Performance and Evaluation Report, which is incorporated in this document.

This report is required as part of the US Department of Housing and Urban Development's (HUD's) state Consolidated Planning process and is outlined specifically in 24 CFR 91.520. The Consolidated Planning process covers four HUD formula grant programs: Community Development Block Grant (CDBG), Emergency Shelter Grants Program (ESGP), HOME Investment Partnerships (HOME) Program and the Housing Opportunities for Persons with AIDS (HOPWA) Program.

This report is an integral part of HUD's Consolidated Planning process, which requires TDHCA and TDRA, and DSHS (Departments) to evaluate their accomplishments over the past program year. The information contained in the APR helps the Departments evaluate how well they met stated goals and objectives when developing future plans. In December 2010, the Departments submitted the 2011 One-Year Action Plan (OYAP) as part of the *2010-2014 State of Texas Consolidated Plan*, which specifically covered Program Year (PY) 2010 activities. PY 2011 will cover upcoming program year from February 1, 2011, through January 31, 2012. The *Consolidated Annual Performance and Evaluation Report* (this document) reports on Program Year 2010 (February 1, 2010, through January 31, 2011).

The *Consolidated Annual Performance and Evaluation Report* is organized into the following sections:

- Introduction. This section includes an overview of the report and the outlining federal legislation.
- Part I: Consolidated Annual Performance and Evaluation Report for CDBG, ESGP & HOME
  - Program Performance. This section includes PY 2010 performance data for the CDBG, ESGP, and HOME programs, as outlined in 24 §91.520(a). Program-specific sections of legislation, including 24 §91.520(c) for CDBG and 24 §91.520(d) for HOME are included in this section. This does not include §91.520(e) for HOPWA which is reported separately in Part II.
  - Other Actions. This section reports the “other actions indicated in the strategic plan and the action plan,” as directed by 24 §91.520(a). These actions include Meeting Underserved Needs and Developing Affordable Housing, Public Housing Resident Initiatives, Lead-Based Paint Hazards, Poverty-Level Households, Compliance, Institutional Structure, and Affirmatively Furthering Fair Housing. This does not include HOPWA which is reported separately in Part II.
  - Goals and Objectives. This section reports on the goals and objectives for each program area except for HOPWA.
- Part II: Consolidated Annual Performance and Evaluation Report for HOPWA
  - HOPWA Consolidated Annual Performance and Evaluation Report.

- Part III: Citizen Participation

- Citizen Participation. This section describes the citizen participation for the document, Part I and II.

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## LEGISLATION

### **Sec. 91.520 Performance reports**

(a) *General.* Each jurisdiction that has an approved consolidated plan shall annually review and report, in a form prescribed by HUD, on the progress it has made in carrying out its strategic plan and its action plan. The performance report must include a description of the resources made available, the investment of available resources, the geographic distribution and location of investments, the families and persons assisted (including the racial and ethnic status of persons assisted), actions taken to affirmatively further fair housing, and other actions indicated in the strategic plan and the action plan. This performance report shall be submitted to HUD within 90 days after the close of the jurisdiction's program year.

(b) *Affordable housing.* The report shall include an evaluation of the jurisdiction's progress in meeting its specific objective of providing affordable housing, including the number and types of families served. This element of the report must include the number of extremely low-income, low-income, moderate-income, and middle-income persons served.

(c) *CDBG.* For CDBG recipients, the report shall include a description of the use of CDBG funds during the program year and an assessment by the jurisdiction of the relationship of that use to the priorities and specific objectives identified in the plan, giving special attention to the highest priority activities that were identified. This element of the report must specify the nature of and reasons for any changes in its program objectives and indications of how the jurisdiction would change its programs as a result of its experiences. This element of the report also must include the number of extremely low-income, low-income, and moderate-income persons served by each activity where information on income by family size is required to determine the eligibility of the activity.

(d) *HOME.* For HOME participating jurisdictions, the report shall include the results of on-site inspections of affordable rental housing assisted under the program to determine compliance with housing codes and other applicable regulations, an assessment of the jurisdiction's affirmative marketing actions and outreach to minority-owned and women-owned businesses, and data on the amount and use of program income for projects, including the number of projects and owner and tenant characteristics.

(e) *HOPWA.* For jurisdictions receiving funding under the Housing Opportunities for Persons With AIDS program, the report must include the number of individuals assisted and the types of assistance provided.

(f) *Evaluation by HUD.* HUD shall review the performance report and determine whether it is satisfactory. If a satisfactory report is not submitted in a timely manner, HUD may suspend funding until a satisfactory report is submitted, or may withdraw and reallocate funding if HUD determines, after notice and opportunity for a hearing, that the jurisdiction will not submit a satisfactory report.

(g) The report will include a comparison of the proposed versus actual outcomes for each outcome measure submitted with the consolidated plan and explain, if applicable, why progress was not made toward meeting goals and objectives.

## PART I: Consolidated Annual Performance and Evaluation Report for CDBG, ESGP & HOME

### PROGRAM PERFORMANCE

This section reports on the PY 2010 (February 1, 2010-January 31, 2011) performance in the Community Development, Homeless and Housing categories, excluding Non-Homeless Special Needs which will be reported in Part II of this publication. Each section reports on the following subjects, as required by 24 §91.520(a):

- Description of the resources made available
- Investment of the available resources
- Geographic distribution and location of investments
- Families and persons assisted

The Community Development section reporting on the CDBG program includes the additional provisions of 24 §91.520(c), which requires that the report include (1) a description of the use of CDBG funds during the program year, (2) an assessment of the relationship of that use to the priorities and specific objectives identified in the plan, (3) the nature of and reasons for any changes in program objectives, and (4) indications of how TDRA would change the program as a result of its experiences. The description of the use of CDBG funds is included in the “Investment of Available Resources” part of the CDBG program section.

The Housing section reporting on the HOME program includes the additional provisions of 24 §91.520(d), which requires that the report include (1) the results of on-site inspections of affordable rental housing assisted under the program to determine compliance with housing codes and other applicable regulations, (2) an assessment of the jurisdiction’s affirmative marketing actions and outreach to minority-owned and women-owned businesses, and (3) data on the amount and use of program income for projects, including the number of projects and owner and tenant characteristics. The data on the use of program funds and number of projects are included in the “Investment of Available Resources” part of the HOME program section, while owner and tenant characteristics are included in the “Families and Persons Assisted” part.

In accordance with the guidelines from HUD, the Departments comply with the CPD Outcome Performance Measurement System. The performance measures targets, including the objectives and outcomes, are described in the One Year Action Plan chapter of the *2010-2014 State of Texas Consolidated Plan*. The Departments’ performance regarding the targets for PY 2010 is reported in this document.

## COMMUNITY DEVELOPMENT BLOCK GRANT PROGRAM

The objective of the Community Development Block Grant Program (CDBG) is “the development of viable communities by providing decent housing, suitable living environments, and expanding economic opportunities, principally for persons of low and moderate income (0-80 percent of Area Median Family Income (AMFI)).” TDRA administers the “non-entitlement” or “states and small cities program.” Under this program, HUD allocates CDBG funds directly to the State, which, in turn, allocates funds to small, non-metropolitan cities (populations of less than 50,000) and rural counties. Large metropolitan communities (populations of 50,000 or more), known as “entitlement areas,” receive their CDBG funding directly from HUD. The demographics and rural character of Texas have shaped a state CDBG program that focuses on providing basic sanitary infrastructure to small rural communities in outlying areas. Eligible activities include sanitary sewer systems, water treatment improvements, disaster relief and urgent needs projects, housing, drainage and flood control, street improvements, and economic development.

### DESCRIPTION OF RESOURCES

This section describes CDBG funding that was available for PY 2010.

#### **PY 2010 Funding**

The following table lists the amount of funds available for PY 2010 through the HUD allocation, distributed according to the CDBG 2010 Action Plan. 2010 Total State Allocation: \$79,264,729.

**PY 2010 CDBG State Allocation**

<b>Fund</b>	<b>2010 Percent</b>	<b>Amount Available</b>
Community Development Fund	61.71%	\$ 48,914,264
Texas Capital Fund	14.51%	\$ 11,501,312
Colonia Fund		
Colonia Construction and Planning Fund	7.48%	\$ 5,926,473
Colonia EDAP Fund	2.52%	\$ 2,000,000
Colonia Self-Help Centers Fund	2.50%	\$ 1,981,618
Planning And Capacity Building Fund	0.90%	\$ 713,383
Disaster Relief / Urgent Need Fund	4.10%	\$ 3,249,854
TxCDBG STEP Fund	3.15%	\$ 2,499,883
Administration – 2%	2.26%	\$ 1,790,615
Administration Funds – \$100,000	0.1262%	\$ 100,000
Technical Assistance – 1%	0.74%	\$ 587,327
<b>Total</b>	<b>100.00%</b>	<b>\$ 79,264,729</b>

### **PY 2010 Activities**

Each activity proposed for funding under CDBG must address one or more of the following three national program objectives:

- Principally benefit low and moderate income persons. (At least 51 percent of the identified beneficiaries must have an income of less than 80 percent of the area median family income).
- Aid in the prevention or elimination of slum or blighted areas.
- Meet other community development needs of particular urgency which represent an immediate threat to the health and safety of residents of the community.

Activities are funded under the following program categories:

#### **Community Development Fund**

Grants are awarded on a competitive basis to address public facilities and housing needs such as sewer and water system improvements, street and drainage improvements, and housing rehabilitation activities.

#### **Texas Capital Fund**

Grants are awarded to eligible communities to address economic development needs by providing infrastructure and real estate improvements in support of businesses willing to create/retain jobs. The Texas Department of Agriculture (TDA) administers the program through an interagency agreement with TDRA.

#### **Colonia Fund**

Planning and construction grants are awarded on a competitive basis for community development projects such as sewer, water, and housing rehabilitation to county applicants for projects in unincorporated “eligible colonia” areas located within 150 miles of the Texas-Mexico border and outside metropolitan areas. Eligible colonias are identifiable, unincorporated communities lacking one or more basic services such as potable water supply, adequate sewage systems, and decent, safe and sanitary housing. This fund also includes legislative set asides to provide grants for the operation of colonia self-help centers located in seven Texas-Mexico border counties and for Economically Distressed Areas Program (EDAP) grants on an “as-needed” basis to provide water and sewer connections on projects funded by the Texas Water Development Board.

#### **Planning/Capacity Building Fund**

Grants are awarded on a statewide competitive basis to assist eligible cities and counties in planning activities that assess local needs, develop strategies to address local needs, build or improve local capacity, or address other needed local planning elements. Emphasis is placed on housing analysis, mapping, and public infrastructure planning.

#### **Disaster Relief/Urgent Need Fund**

Assistance is available to localities impacted by a natural disaster or an urgent need situation. Disaster Relief Funds address damages caused by natural disasters such as floods or tornadoes following an



emergency declaration by the President or Governor. Urgent need assistance is available for unanticipated and dangerous local situations, contingent upon the availability of funds.

**Texas Small Towns Environment Program (STEP) Fund**

Grants are awarded on a statewide competitive basis to cities and counties to assist communities willing to solve water and sewer problems by utilizing self-help techniques. This approach encourages local support such as volunteer labor and donated materials and/or equipment.

**Renewable Energy Demonstration Pilot Program Fund**

Grants up to \$500,000 are awarded annually on a competitive basis to non-entitlement cities and counties, with priority given to projects that use wind power, solar power or other renewable energy technologies to reduce electricity costs for water and wastewater treatment systems.

**INVESTMENT OF RESOURCES**

This section describes CDBG funding commitments that were made during the reporting period, using PY 2010 funds as well as program income and deobligated funds from prior program years.

**PY 2010 Funding Commitments**

For PY 2010, the CDBG program committed \$ 79,591,201 through 289 grants.

**Total Amount of Funds Committed during PY 2010**

Funds	No. of Awards	2010 Allocation	Prior Year - Allocation	Prior Year - Deobligated	Program Income	2010 Total Obligation
Community Development Fund	186	\$ 46,354,557	\$ 1,275,788	\$ 2,024,065		<b>\$ 49,654,410</b>
Colonia Construction Fund	16	\$ 5,487,206	\$ 1,718,294			<b>\$ 7,205,500</b>
Colonia Self-Help Centers	2		\$ 1,825,443	\$ 568,385		<b>\$ 2,393,828</b>
Colonia Economically Distressed Areas Program	1		\$ 500,000			<b>\$ 500,000</b>
Disaster Relief / Urgent Need	23	\$ 3,249,854		\$ 3,449,736		<b>\$ 6,699,590</b>
Texas Capital Fund	25	\$ 3,576,517	\$ 5,666,533			<b>\$ 9,243,050</b>
Planning / Capacity Building Fund	26	\$ 713,383	\$ 266,630			<b>\$ 980,013</b>
STEP Fund	8	\$ 1,932,350	\$ 571,960			<b>\$ 2,504,310</b>
Renewable Energy Demonstration Pilot Program	2				\$ 410,500	<b>\$ 410,500</b>
<b>Total</b>	<b>289</b>	<b>\$ 61,313,867</b>	<b>\$ 11,824,648</b>	<b>\$ 6,042,186</b>	<b>\$ 410,500</b>	<b><u>\$ 79,591,201</u></b>

**Matching Requirements**

Match requirements vary by funding category:

- Matching funds are required for the Texas Capital Fund and Planning/Capacity Building Fund contracts.
- Projects with matching funds receive a scoring advantage for other fund categories – the Community Development Fund (if selected as a scoring criteria by the Regional Review Committee), Colonia Construction Fund, Colonia Planning Fund - Area Studies, and Renewable Energy Demonstration Pilot Program.
- Matching funds are neither a requirement nor scoring factor for the STEP Fund, Colonia Planning Fund-Comprehensive Studies, and Colonia Self-Help Centers.

For PY 2010, the \$79,591,201 in CDBG funds was matched by 36.05%, or \$28,690,493 in local commitments.

**Matching Funds Committed by Grantees, Contracts Awarded in PY 2010**

Fund	No. of Awards	Amount of Grant Awards	Amount of Match Funds
Community Development Fund	186	\$ 49,654,410	\$ 12,601,899
Colonia Construction Fund	16	\$ 7,205,500	\$ 1,858,868
Colonia Self-Help Centers Fund	2	\$ 2,393,828	\$0
Colonia Economically Distressed Areas Program	1	\$ 500,000	\$0
Disaster Relief / Urgent Need	23	\$ 6,699,590	\$ 2,328,934
Texas Capital Fund	25	\$ 9,243,050	\$ 11,746,872
Planning / Capacity Building Fund	26	\$ 980,013	\$ 120,920
STEP Fund	8	\$ 2,504,310	\$0
Renewable Energy Demonstration Pilot Program	2	\$ 410,500	\$ 33,000
<b>Total</b>	<b>289</b>	<b>\$ 79,591,201</b>	<b>\$ 28,690,493</b>

The CDBG staff continues to work with the Texas Department of Agriculture and Texas Water Development Board on projects that leverage funds from multiple agencies to take full advantage of the available resources.

**Summary of CDBG Housing Assistance**

During PY 2010, CDBG closed four contracts which provided housing assistance to communities through the Housing Infrastructure Fund. Housing Infrastructure Fund projects included funding for infrastructure construction in support of new affordable housing developments. (Provision of yardlines for first-time water or sewer services, although classified as a “housing rehabilitation” program activity, is not included in this discussion.) Although no such contracts were closed during PY 2010, CDBG also manages housing assistance contracts that provide rehabilitation, acquisition, clearance, new construction, and provision of other facilities through the Community Development Fund and Colonia Fund. These contracts include a maximum cost of \$25,000 for each rehabilitated housing unit or \$55,000 for each reconstructed unit, according to TxCDBG program requirements.

Displacement for these contracts is limited to voluntary participants and displacement costs are not eligible for reimbursement or included in project narratives. All households, businesses, or other entities impacted by a CDBG-assisted housing project, along with their needs and preferences, were identified by the locality during the homeowner application process; and only those homeowners choosing to participate were displaced in any way.

In PY 2010, Texas Department of Rural Affairs (TDRA) awarded six contracts totaling \$4,393,828 through the Colonia Construction Fund and Colonia Self-Help centers, with \$3,535,228 of that amount going to housing rehabilitation construction. Those six contracts served 146 households, with all 492 beneficiaries being low to moderate income.

For existing contracts closed during the reporting period, CDBG assistance provided \$956,598 through the Housing Infrastructure Fund for infrastructure to support 48 new housing units, of which 28 were sold to low- to moderate-income buyers. The following table provides information on the households assisted through CDBG contracts closed in PY 2010:

**Housing Assistance by Household, Contracts Closed in PY 2010**

Household	New Housing (HIF Funds) Units	New Housing (HIF Funds) Persons
Owner Occupied	48	123
Renter Occupied	0	0
Owner Occupied Moderate Income	24	61
Owner Occupied Low Income	4	16
Owner Occupied Very Low Income	0	0
Owner Occupied Non-LMI	20	46
<b>Total Assisted</b>	<b>48</b>	<b>123</b>

**Summary of CDBG Economic Development Activity**

For existing contracts that were closed during the reporting period, CDBG provided economic development assistance to 20 communities through the Texas Capital Fund expending \$7,204,403 in CDBG funds and \$16,599,766 in matching funds.

Eleven contracts provided \$5,893,044 for infrastructure or real estate to create 393 new jobs with 255 of the new jobs filled by low- or moderate-income workers. Contracts funded under CDBG economic development are required to create or retain one job for every \$25,000 in CDBG funds expended. The 21 contracts closed during PY 2010 expended \$14,995 for each job created.

In addition to job creation activities, the Texas Capital Fund expended \$574,886 in four communities under the Main Street Program, and \$736,472 in five communities under the Downtown Revitalization Program.

## DISTRIBUTION OF INVESTMENTS

This section reports on how PY 2010 funds were distributed and the location of CDBG awards.

### Allocation Formula

The CDBG program distributes funds using both statewide competitions and regional competitions. The Community Development Fund uses a specific formula based on population, poverty, and unemployment to distribute funds on a biennial basis to each of the 24 Council of Government regions across the state. Applicants compete within each region for the funds allocated to that area. Regional competitions ensure that funds are distributed across the state and allow each region to establish its own priorities for selecting applications for funding within the scope of the program.

All other CDBG funds are available to eligible cities and counties through statewide competitive processes. A statewide competition in the smaller funding categories provides for standardized consideration and funding of the most competitive applications regardless of the project location.

### Award Locations

PY 2010 CDBG awards were made in the following areas of the state:

COUNTY	No. of Awards	Amount of Awards	Total Beneficiaries	LMI Beneficiaries
ANDERSON	2	\$ 500,000.00	85	74
ANGELINA	1	\$750,000.00	100	55
ARANSAS	3	\$ 1,100,000.00	702	499
ARCHER	1	\$ 228,950.00	104	77
ATASCOSA	4	\$1,000,000.00	1,350	818
AUSTIN	2	\$ 700,000.00	2,282	1,380
BAILEY	1	\$ 250,000.00	28	23
BANDERA	1	\$ 250,000.00	17	13
BASTROP	1	\$150,000.00		**
BAYLOR	1	\$ 250,000.00	620	535
BEE	2	\$ 800,000.00	64	59
BELL	2	\$ 500,000.00	575	464
BLANCO	1	\$ 250,000.00	243	182
BOSQUE	1	\$ 250,000.00	376	223
BOWIE	8	\$ 1,774,600.00	8,023	4,329
BREWSTER	1	\$ 90,541.00	63	42
BRISCOE	1	\$ 250,000.00	70	49
BROOKS	1	\$ 49,400.00	5,241	3,530
BURNET	3	\$ 750,000.00	3,989	2,252
CALDWELL	1	\$ 675,000.00	50	26
CALHOUN	2	\$ 152,250.00	2,687	1,473
CAMERON	7	\$ 1,765,181.00	8,554	5,178
CARSON	2	\$ 500,000.00	92	59
CASS	4	\$ 1,400,000.00	25,504	10,693 *
CASTRO	1	\$ 250,000.00	1,212	623
CHEROKEE	2	\$ 500,000.00	1,078	651
CLAY	1	\$ 250,000.00	89	86

COUNTY	No. of Awards	Amount of Awards	Total Beneficiaries	LMI Beneficiaries
COCHRAN	1	\$ 250,000.00	2,193	1,205
COKE	1	\$ 200,000.00	989	584
COLLIN	2	\$ 500,000.00	187	110
COLORADO	3	\$ 456,750.00	5,707	3,680
COMANCHE	1	\$ 250,000.00	192	162
CONCHO	1	\$ 36,250.00	1,102	599
COOKE	1	\$ 179,157.00	83	53
CROSBY	1	\$ 92,550.00		**
CULBERSON	2	\$ 415,932.00	5,340	2,946
DALLAM	1	\$ 250,000.00	50	43
DALLAS	1	\$ 350,000.00	1,955	1,086
DELTA	1	\$ 123,151.00	3,208	1,732
DENTON	1	\$ 30,300.00	550	300
DIMITT	3	\$ 1,044,415.00	800	615
DUVAL	2	\$ 600,000.00	203	167
EASTLAND	2	\$ 500,000.00	3,013	1,657
ECTOR	1	\$ 350,000.00	247	131
EL PASO	4	\$ 1,907,028.26	13,097	11,057
ELLIS	1	\$ 350,000.00	37	28
FANNIN	3	\$ 257,013.00	574	375
FAYETTE	1	\$ 250,000.00	2,699	1,407
FISHER	1	\$ 23,700.00	442	252
FLOYD	1	\$ 250,000.00	1,358	714
FRANKLIN	1	\$ 250,000.00	993	796
FREESTONE	2	\$ 373,750.00	1,357	775
FRIO	2	\$ 535,363.00	2,937	1,998
GAINES	1	\$ 150,000.00		**
GONZALES	2	\$ 400,000.00	264	188
GRAYSON	2	\$ 77,856.00	765	446
GUADALUPE	1	\$ 250,000.00	99	99
HALE	1	\$ 250,000.00	96	96
HAMILTON	1	\$ 250,000.00	78	42
HANSFORD	1	\$ 150,000.00		**
HARDIN	1	\$ 250,000.00	177	177
HARRISON	2	\$ 1,350,000.00	309	242
HASKELL	3	\$ 527,915.00	3,703	2,169
HAYS	2	\$ 974,000.00	48	26
HENDERSON	3	\$ 547,800.00	2,490	1,383
HILL	3	\$ 750,000.00	3,293	1,967
HOOD	2	\$ 700,000.00	576	324
HOPKINS	2	\$ 378,800.00	16,389	6,157 *
HOUSTON	1	\$ 250,000.00	317	166
HOWARD	1	\$ 30,300.00	781	495
HUDSPETH	1	\$ 325,400.00	500	318
HUNT	4	\$ 958,210.00	5,107	3,651
HUTCHINSON	1	\$ 250,000.00	74	46
IRION	1	\$ 230,000.00	62	62

## Program Performance

### Community Development

COUNTY	No. of Awards	Amount of Awards	Total Beneficiaries	LMI Beneficiaries
JACKSON	4	\$ 794,300.00	9,739	5,135
JASPER	1	\$ 250,000.00	219	121
JEFFERSON	3	\$ 750,000.00	588	588
JIM HOGG	1	\$ 531,041.00	139	113
JIM WELLS	1	\$ 300,000.00	1,315	757
KARNES	1	\$ 250,000.00	163	93
KAUFMAN	1	\$ 350,000.00	1,161	675
KENEDY	1	\$ 500,000.00	39	39
KERR	1	\$ 250,000.00	77	77
KIMBLE	1	\$ 200,000.00	47	47
KINNEY	1	\$ 254,744.00	80	77
KLEBERG	1	\$ 300,000.00	358	276
KNOX	2	\$ 499,950.00	595	379
LAMAR	3	\$ 750,000.00	11,654	4,320 *
LAMB	3	\$ 608,041.00	1,718	687 *
LAVACA	1	\$ 250,000.00	70	49
LEE	1	\$ 250,000.00	1,050	547
LEON	2	\$ 500,000.00	1,022	665
LIBERTY	1	\$ 350,000.00	349	217
LIMESTONE	3	\$ 950,000.00	16,420	7,879 *
LIVE OAK	1	\$ 300,000.00	2,471	1,292
LLANO	1	\$ 250,000.00	667	352
LUBBOCK	2	\$ 444,500.00	6,019	3,321
MADISON	2	\$ 500,000.00	529	340
MARION	1	\$ 250,000.00	124	76
MARTIN	1	\$ 350,000.00	2,427	1,348
MASON	1	\$ 200,000.00	25	25
MATAGORDA	1	\$ 350,000.00	5,149	2,696
MAVERICK	2	\$ 457,354.00	22,912	13,057
MCLENNAN	2	\$ 457,443.00	261	82 *
MEDINA	2	\$ 500,000.00	4,769	2,534
MENARD	1	\$ 200,000.00	1,665	1,011
MILAM	1	\$ 250,000.00	828	528
MILLS	2	\$ 500,000.00	1,803	969
MITCHELL	1	\$ 250,000.00	678	395
MONTAGUE	2	\$ 500,000.00	138	120
MONTGOMERY	2	\$ 700,000.00	545	322
MOORE	1	\$ 250,000.00	135	107
MORRIS	1	\$ 50,000.00	2,434	1,256
NAVARRO	4	\$ 1,678,727.00	8,921	3,416 *
NEWTON	2	\$ 500,000.00	2,669	1,387
NUECES	1	\$ 300,000.00	3,436	1,792
OLDHAM	1	\$ 250,000.00	853	544
ORANGE	1	\$ 250,000.00	587	385
PALO PINTO	2	\$ 499,500.00	520	327
PANOLA	1	\$ 250,000.00	296	201
PARKER	2	\$ 391,300.00	2,750	2,610

COUNTY	No. of Awards	Amount of Awards	Total Beneficiaries	LMI Beneficiaries
PECOS	1	\$ 500,000.00	685	404
PRESIDIO	1	\$ 90,541.00	4,192	3,024
RAINS	1	\$ 250,000.00	1,592	870
RANDALL	1	\$ 250,000.00	580	340
REAL	1	\$ 221,899.00	754	385
RED RIVER	8	\$ 1,764,520.00	16,832	7,810 *
REEVES	3	\$ 1,085,000.00	1,002	737
REFUGIO	1	\$ 500,000.00	38	27
ROBERTSON	2	\$ 286,250.00	1,721	1,217
RUSK	3	\$ 1,250,000.00	401	296
SABINE	2	\$ 500,000.00	157	132
SAN AUGUSTINE	1	\$ 250,000.00	72	72
SAN JACINTO	2	\$ 500,000.00	18,973	10,249
SAN PATRICIO	3	\$ 750,000.00	2,885	1,615
SAN SABA	1	\$ 250,000.00	2,896	2,090
SCHLEICHER	1	\$ 200,000.00	1,936	1,026
SCURRY	1	\$ 250,000.00	876	586
SHELBY	2	\$ 289,150.00	2,218	1,446
SMITH	1	\$ 250,000.00	110	62
STARR	2	\$ 589,127.00	958	953
TARRANT	2	\$ 700,000.00	2,558	1,569
TRINITY	2	\$ 400,000.00	405	313
UPSHUR	2	\$ 573,850.00	1,358	721
UPTON	1	\$143,600.00		**
UVALDE	1	\$ 500,000.00	57	57
VAL VERDE	2	\$ 1,612,177.00	6,005	6,005
VAN ZANDT	3	\$ 950,000.00	10,687	4,347 *
WALKER	1	\$ 350,000.00	987	506
WALLER	3	\$ 735,100.00	9,494	6,972
WARD	3	\$ 930,000.00	473	311
WEBB	2	\$ 1,031,041.00	3,643	3,204
WHARTON	2	\$ 1,100,000.00	67	48
WICHITA	1	\$ 350,000.00	245	240
WILBARGER	1	\$ 750,000.00	51	26
WILLACY	2	\$ 432,537.00	1,841	1,318
WILLIAMSON	1	\$ 250,000.00	82	50
WILSON	2	\$ 600,000.00	9,218	4,449 *
WISE	3	\$ 1,149,500.00	1,622	860
WOOD	2	\$ 503,285.00	2,453	982 *
YOAKUM	1	\$ 250,000.00	3,632	1,940
YOUNG	1	\$ 49,900.00	3,271	1,776
ZAPATA	1	\$ 531,041.00	9,390	5,234
ZAVALA	4	\$ 1,644,221.00	12,107	8,715
<b>TOTAL</b>	<b>289</b>	<b>\$ 77,241,201.26</b>	<b>405,720</b>	<b>229,509</b>

\* Includes one or more projects funded under the Urgent Need National Objective

\*\* Beneficiaries not yet reported for Elimination of Slum and Blight National Objective

**FAMILIES AND PERSONS ASSISTED**

This section describes the households and persons assisted with CDBG funds.

**Anticipated Persons Served with PY 2010 Funding**

For contracts that were awarded with PY 2010 funds, there are 406,577 total anticipated beneficiaries, of which 57 percent were low- and moderate-income persons.

**Estimated Beneficiaries, Contracts Awarded in PY 2010**

FUND	Proposed Beneficiaries	Proposed LMI Beneficiaries
Community Development Fund	203,431	123,550
Colonia Construction Fund	2,052	1,682
Colonia Self-Help Centers	14,905	14,456
Colonia Economically Distressed Areas Program	792	792
Disaster Relief / Urgent Need	129,215	55,428
Texas Capital Fund	529	281
Planning / Capacity Building Fund	45,945	28,027
STEP Fund	2,337	1,859
Renewable Energy Demonstration Pilot Program	7,371	4,062
<b>TOTAL</b>	<b>406,577</b>	<b>230,137</b>

**Actual Persons Served in PY 2010**

For contracts closed during PY 2010, 757,241 persons actually received service through CDBG contracts.

**Actual Beneficiaries, Contracts Closed in PY 2010**

Fund	Total Beneficiaries	LMI Beneficiaries
Community Development / Community Development Supplemental Fund	180,627	114,519
Colonia Construction Fund	143	123
Colonia Planning Fund	1,592	1,383
Colonia Economically Distressed Areas Program	916	677
Colonia Self Help Centers	456	456
Disaster Relief / Urgent Need	466,962	167,312
Texas Capital Fund	57,398	28,645
Non-Border Colonia Fund	390	333
Planning / Capacity Building Fund	41,193	26,683
STEP Fund	7,441	4,916
Housing Infrastructure Fund	123	78
<b>TOTALS</b>	<b>757,241</b>	<b>345,125</b>



A significant number of contracts assisted communities affected by natural disasters and were funded under the Urgent Need national objective. The following section addresses contracts funded under the national objective to primarily benefit low/moderate income persons.

**Income Status of Persons Assisted**

The CDBG program collects information on beneficiaries according to low/moderate income status. Most funding categories require applications to benefit a minimum of 51% low- or moderate-income persons. Colonia Planning Fund applicants can qualify for funding under the slum and blight national objective. While the Colonia Planning grant does not require a low/moderate income threshold, as the strategies that result from the grant, if implemented, will benefit primarily low- to moderate-income persons. Similarly, Disaster Relief/Urgent Need Fund applicants can qualify under the urgent need national objective without a low/moderate income benefit; however many of these projects do benefit primarily low- to moderate-income persons and only those contracts are included in the CDBG low/moderate income national objective reporting and are included below. CDBG awards well over 70% of grant funds to projects benefitting primarily low-or moderate-income persons.

The table below reflects contracts closed during PY 2010 that meet the low/moderate income national objective. Forty-four contracts met the urgent need or slum/blight national objectives, including the Downtown Revitalization and Main Street Program contracts in the Texas Capital Fund and certain Disaster Relief contracts, and are not included in the table below.

**Income Status of Actual Beneficiaries,  
Contracts Closed in PY 2010 under the LMI National Objective\***

Fund	Total Beneficiaries	LMI Beneficiaries	% LMI
Community Development / Community Development Supplemental Fund	180,627	114,519	63.4%
Colonia Construction Fund	143	123	86.0%
Colonia Planning Fund	1,592	1,383	86.9%
Colonia Economically Distressed Areas Program	916	677	73.9%
Colonia Self Help Centers	456	456	100.0%
Disaster Relief / Urgent Need	26,328	14,385	54.6%
Texas Capital Fund	9,076	5,103	56.2%
Non-Border Colonia Fund	390	333	85.4%
Planning / Capacity Building Fund	41,193	26,683	64.8%
STEP Fund	7,441	4,916	70.5%
Housing Infrastructure Fund	123	78	63.4%
<b>Total</b>	<b>268,285</b>	<b>168,656</b>	<b>62.8%</b>

Reported beneficiaries include only contracts meeting the low/moderate income national objective.

**Racial and Ethnic Status of Persons Assisted**

The racial and ethnic status of persons receiving assistance for contracts closed in PY 2010 is reported below.

**Racial and Ethnic Status of Beneficiaries, Contracts Closed in PY 2010**

Race / Ethnicity	Persons Assisted Non-Hispanic	Persons Assisted Hispanic Also	Total	Percent
White	478,276	124,428	602,704	79.6%
Black / African American	81,928	1,458	83,386	11.0%
Asian	4,481	466	4,947	0.7%
American Indian/Alaska Native	3,939	648	4,587	0.6%
Native Hawaiian/Other Pacific Islander	968	80	1,048	0.1%
Black/African American and White	1,499	50	1,549	0.2%
Asian and White	724	26	750	0.1%
American Indian/Alaska Native and White	2,472	110	2,582	0.3%
American Indian/Alaska Native and Black/African American	264	4	268	0.0%
Other Race or Multi-Race	23,147	32,273	55,420	7.3%
<b>Total</b>	<b>597,698</b>	<b>159,543</b>	<b>757,241</b>	<b>100.0%</b>

**ACCOMPLISHMENTS IN HIGH PRIORITY AREAS**

This section demonstrates how activities undertaken during the program year address identified areas of high priority and objectives.

**Non-Housing Community Development Priority Needs Summary Table**

Priority Community Development Needs	Priority Need Level
PUBLIC FACILITY NEEDS	Medium
INFRASTRUCTURE IMPROVEMENT	High
Solid Waste Disposal Improvements	Medium
Drainage and Flood Control Improvements	High
Water System Improvements	High
Street and Bridge Improvements	High
Sewer System Improvements	High
PUBLIC SERVICE NEEDS	Medium
ECONOMIC DEVELOPMENT NEEDS	High
OTHER COMMUNITY DEVELOPMENT NEEDS	Medium
PLANNING	High

**Specific Accomplishments**

The following goals address the high priority needs identified above. Activities undertaken during the PY 2010 period that accomplish these goals are described.

**INFRASTRUCTURE IMPROVEMENTS**

Encourage projects that address basic human needs such as water, sewer and housing; projects that provide a first-time public facility or service; and projects designed to bring existing services up to at least state minimum standards as set by the applicable regulatory agency.

CDBG funded 186 projects under the Community Development Fund in PY 2010, obligating 63% of the total annual CDBG allocation.

- Almost 67% of funds awarded by CDBG address basic human needs and 83% of construction funds address basic needs.
- 11 contracts totaling \$3,603,152 included funds for first time public water or public sewer facilities, benefiting 398 low- to moderate-income persons in 172 households. An additional five contracts totaling \$1,150,000 included funds to replace failing on-site sewer facilities for 116 households, benefitting 312 low- and moderate-income persons.

The STEP Fund is designed to make a large impact by leveraging local resources and self-help volunteer labor to install needed water and sewer facilities at a cost that is affordable for the assisted communities.

- 8 grants were awarded during this reporting period obligating \$2,504,310 for projects to benefit 2,763 persons of which 2,279 or 83% are low- and moderate-income persons.
- Over 77% of STEP funds awarded in 2010 address basic human needs, and 100% of construction funds address such needs.

**Contracts Awarded in PY 2010 by Activity – Selected Funds**

Activity	CD Funds	% of CD Funds	STEP Funds	% of STEP Funds
Water Facilities	\$ 18,385,721	37.1%	\$ 1,884,110	75.2%
Sewer Facilities	\$ 12,412,323	25.0%		0.0%
Housing Rehabilitation	\$ 2,182,978	4.4%	\$ 55,670	2.2%
Drainage	\$ 1,251,621	2.5%		0.0%
Streets	\$ 3,830,713	7.7%		0.0%
Neighborhood Facilities	\$ 1,117,600	2.3%		0.0%
Other Facilities	\$ 348,505	0.7%		0.0%
Acquisition	\$ 189,250	0.4%	\$ 5,000	0.2%
Center/Facility	\$ 251,850	0.5%		0.0%
Engineering	\$ 5,789,674	11.7%	\$ 303,950	12.1%

Activity	CD Funds	% of CD Funds	STEP Funds	% of STEP Funds
Administration	\$ 3,862,719	7.8%	\$ 255,580	10.2%
Total	\$ 49,622,954		\$ 2,504,310	
Basic Human Needs	\$ 32,981,022	66.5%	\$ 1,939,780	77.5%
All Construction Dollars	\$ 39,970,561	82.5%	\$ 1,944,780	99.7%

**ECONOMIC DEVELOPMENT**

Provide funds for economic development and business expansion in rural communities. Fund economic development projects that create or retain jobs.

Texas CDBG administered two programs during PY 2010 to promote economic development. Both programs provide grant funds to communities, which in turn make loans to small businesses: the Micro-enterprise Loan Fund is targeted toward businesses with five or fewer employees, while the Small Business Loan Fund benefits businesses with 100 or fewer employees. The loan recipients commit to creating or retaining jobs in rural communities and to making 51% or more of those jobs to be filled by low- or moderate-income persons. Texas CDBG did not award new contracts under these funds but continued administration of fifteen contracts previously awarded under both funds.

In PY 2010, CDBG funded 25 contracts under the Texas Capital Fund for a total of \$9,243,050 in CDBG assistance. The \$7,506,900 obligated for real estate and infrastructure projects is expected to create or retain 480 jobs in rural communities, with at least 51% of those jobs to be filled by low- and moderate-income workers. The estimated cost per job created or retained through these contracts is \$17,299. An additional \$1,736,150 was awarded to contracts under the Main Street Program and the Downtown Revitalization Program.

**PLANNING**

Provide assistance to local governments in rural areas, emphasizing planning activities that primarily address problems in the areas of public works and housing assistance.

Texas CDBG awarded 26 grants totaling \$ 980,013 for planning and capacity building projects. These projects are expected to benefit 45,945 persons including 28,027 low- and moderate-income persons. The 2010 planning projects primarily address public works and housing planning elements and leverage an estimated \$120,920 in other funding.

**OTHER PRIORITY ACCOMPLISHMENTS**

Provide support for colonia communities, including funding for public improvements through a Colonia Construction Fund and Colonia Economically Distressed Areas Program, funding for planning through a Colonia Planning Fund, and Self-Help Centers established in border counties.

The Colonia Fund is the second largest program administered by Texas CDBG. In 2010 CDBG funded 16 Colonia Fund-Construction projects totaling \$7,205,500 which will benefit 2,457 persons, of which 2,087 are low- to moderate income persons. Nearly 85% of CFC funds awarded in PY 2010 address basic human needs, and 100% of construction funds address such needs. Five CFC contracts totaling \$2,500,000 included funds for first time public water or public sewer facilities, benefiting 584 low- to moderate-income persons in 195 households. An additional 5 contracts totaling \$1,710,000 included funds to replace failing on-site sewer facilities for 127 households, benefiting 360 low- and moderate-income persons.

CDBG coordinated with the Economically Distressed Areas Program on three contracts, obligating \$500,000 to fund water and sewer connections for projects funded by the Texas Water Development Board. Beneficiaries of these CEDAP project include 792 persons in 283 households, 100% of which are low- to moderate-income.

A rider to TDRA’s state appropriation retains 2.5% of the total CDBG appropriation for the operation of colonia self-help centers in seven border counties, in addition to the 10% federally mandated colonia set-aside. The activities of the self-help centers are overseen by the Texas Department of Housing and Community Affairs (TDHCA) Office of Colonia Initiatives. Separately, three border field offices, operated by TDHCA-OCI staff and supported in part by CDBG funds, are located in El Paso, Edinburg and Laredo to provide technical assistance to area residents and other interested parties. The TDHCA-OCI staff continues to provide technical assistance and disseminate information regarding available programs administered by TDHCA that could assist in addressing colonia issues and other local priority needs.

Two grants were awarded through the Colonia Self-Help Center Fund in 2010 for a total of \$2,393,000. These will benefit 15,301 people, with 14,852 being low- to moderate- income. TDHCA-OCI continued to administer 11 contracts previously awarded for four-year periods.

**Colonia Fund Activities, Contracts Awarded in PY 2010**

Activity	CFC	CSH	CEDAP	Colonia Fund Total	% of Colonia Fund Total
Water Facilities	\$ 1,263,250			\$ 1,263,250	12.5%
Sewer Facilities	\$ 1,530,973			\$ 1,530,973	15.2%
Housing Rehabilitation	\$ 3,346,561	\$ 1,042,000	\$ 415,000	\$ 4,803,561	47.6%
Housing Construction		\$ 696,829		\$ 696,829	6.9%
Acquisition	\$ 5,000			\$ 5,000	0.0%
Homeownership Assistance		\$ 20,000		\$ 20,000	0.2%
Public Services		\$ 276,000		\$ 276,000	2.7%
Engineering	\$ 403,540		\$ 25,000	\$428,540	4.2%
Administration	\$ 656,176	\$ 359,000	\$ 60,000	\$ 1,075,176	10.6%
<b>Total</b>	<b>\$ 7,205,500</b>	<b>\$ 2,393,829</b>	<b>\$ 500,000</b>	<b>\$ 10,099,329</b>	
Basic Human Needs	\$ 6,140,784	\$ 1,738,829	\$ 415,000	\$ 8,294,613	82.1%
All Construction Dollars	\$ 6,145,784	\$ 1,738,829	\$ 415,000	\$ 8,299,613	99.9%

Provide assistance for the recovery from natural disasters and fund projects that resolve threats to the public health and/or safety of local residents in rural areas.

During this reporting period, 23 grants were awarded for Disaster Relief/Urgent Need Fund projects. The \$6,699,590 obligated for these contracts will provide urgently needed assistance or alleviate the impacts of natural disasters for 144,827 Texans. An estimated 60,153 of the total beneficiaries for these projects are persons with low and moderate income.

**Persons with Disabilities**

TDRA accomplished the following to address the needs of persons with disabilities during PY 2010:

- Localities wishing to address the needs of persons with disabilities may include removal of architectural barriers as an eligible activity in an application for CDBG assistance. In PY 2010, funds awarded under the Texas Capital Fund Main Street Program provided accessible ramps and

sidewalks among other improvements, and certain housing rehabilitation projects included improvements to make the beneficiary's home accessible.

- Texas CDBG and all grantees are required to comply with federal and state non-discrimination regulations and monitored for Section 504 compliance.

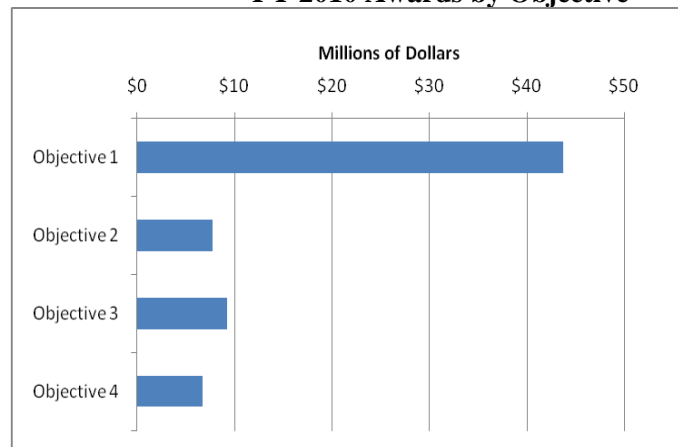
## RELATIONSHIP BETWEEN USE OF FUNDS AND PROGRAM OBJECTIVES

The purpose of the Texas Community Development Block Grant Program (CDBG) continues to be the development of viable communities by providing decent housing and a suitable living environment and by expanding economic opportunities, principally for persons of low and moderate income. The funding allocations among the CDBG programs and the activities funded within those programs reflect the following state development objectives and priorities:

The objectives of the Texas Community Development Block Grant Program are as follows:

- Objective 1: To improve public facilities to meet basic human needs, principally for low and moderate income persons.
- Objective 2: To improve housing conditions, principally for persons of low and moderate income.
- Objective 3: To expand economic opportunities by creating or retaining jobs, principally for low and moderate income persons.
- Objective 4: To provide assistance and public facilities to eliminate conditions hazardous to the public health and of an emergency nature.

**PY 2010 Awards by Objective\***



\* Activities may meet more than one objective.

The largest percentage of the funds obligated during this period were used to address Objective 1, the *basic human needs* of water, sewer, and housing. Objective 2 *housing conditions* is addressed through several funds according to local priorities. Objective 3 *job creation and retention* was addressed under the Texas Capital Fund. Through the Disaster Relief/Urgent Need Fund, the State continues to address Objective 4 *disaster relief* to provide assistance to meet the needs resulting from the disaster situations

that impact Texas during each program year. The graph above charts CDBG funds according to the objective(s) met by funded activities.

## **CHANGES IN PROGRAM OBJECTIVES**

The Texas Department of Rural Affairs (TDRA) does not intend to make any changes to the program objectives described above. The changes in funding categories implemented in PY 2010 which relate to the program objectives are discussed in the next section.

The proposed 2011 Action Plan for the Texas Community Development Block Grant Program was presented for public comment at six (6) public hearings in the following cities: Corpus Christi, Austin, Eagle Pass, Arlington, Nacogdoches, and Lubbock. The Austin hearing was also conducted as a webinar.

### Public Hearings:

February 18, 2010 - 10:00am  
Coastal Bend Council of Governments  
Corpus Christi, Texas

February 19, 2010 - 10:00am  
Stephen F. Austin Building  
Austin, Texas 78701  
This Public Hearing was also presented as a webinar.

February 25, 2010---10:00am  
Middle Rio Grande Development Council  
Eagle Pass, Texas

March 3, 2010 - 11:00am  
North Central Texas Council of Governments  
Metroplex Conference Room  
Arlington, Texas

March 4, 2010 - 5:30pm  
Nacogdoches City Hall  
Nacogdoches, Texas

March 4, 2010 - 5:30pm  
South Plains Association of Governments  
Lubbock, Texas

The following are comments received for the proposed 2011 CDBG Action Plan relative to changing program objectives or related funding:

- Overall, supports the current allocation that prioritizes the CD Fund, which provides for local decision-making and prioritization of activities. Supports the use of most funding for infrastructure projects. Supports regional prioritization within CD Fund - each region should be able to establish its own priorities such as have public health facilities as well as drainage and streets as top priority if region feels this is needed.



- CD Fund - Raising the minimum limit is great idea.
- Scoring - need to adjust the state score to incorporate water, sewer and streets and drainage.
- Direct as much money as possible to the CD Fund

## **PROGRAM CHANGES BASED ON EXPERIENCES**

The following changes were implemented during the program year to further improve the program:

### **Colonia Planning and Construction Fund**

Addressing colonia proliferation - The program now requires an applicant for colonia construction funding to include an assessment of the effect of the Model Subdivision Rules established pursuant to §16.343 of the Water Code and enforcement actions throughout the county and provide the colonia identification number for the colonias that would receive the project benefit.

### **Colonia Planning Component**

The program now requires within any funded Colonia Planning project, a plan that if implemented could lead to annexation of the colonia by the city.

### **Colonia Comprehensive Planning Activities**

The program now requires any comprehensive colonia plan to include an assessment of the effect of the Model Subdivision Rules established pursuant to §16.343 of the Water Code and enforcement actions throughout the county, and a plan that if implemented could lead to annexation of the colonia by the city.

### **Community Facility Fund**

A new program was created to provide needed community facilities. The purpose of this community enhancement program is to provide one project to benefit a community in each of the 24 Councils of Governments (COG) regions over the PY 2011 / 2012 period and beyond if funding permits. This program is designed to sustain the smallest of the rural communities within Texas. The project must be a community facility project that would have the potential to benefit all citizens within the jurisdiction. It must not involve providing basic infrastructure nor be a recreational project. The community facilities must provide a benefit that will enhance the overall quality of life in the rural community. Amount available for each COG region and each award: \$250,000. If a city has as part of its application a resolution in support of the project from the county where it is located, the maximum application amount, and amount available to the region, will be \$300,000.

**Community Development Fund application amount** - the floor for an application was increased from \$250,000 to \$275,000.

**Colonia Comprehensive Planning application amount** - The maximum amount for a county to update its existing Colonia Comprehensive plan was increased to \$30,000.

### **Community Development Fund - scoring enhancements.**

The state prioritized basic infrastructure or housing activities



**Forward Commitments to Avoid Application Threshold Issues**

The program introduced a pilot program under the Community Development Fund providing for forward commitments. This authorizes conditional commitments, contingent upon receiving future CDBG funds from HUD, to make awards to certain eligible applications within a region using future regional Community Development Fund allocations. The purpose of approving a commitment is to allow an applicant to provide a source of funding in conjunction with a larger project where the use of these TxCDBG funds will not occur until several years into the project.

**Housing Infrastructure Fund contracts.**

All HIF contracts were funded prior to July 2005 and were a high priority for completion and closeout in PY 2010. TDRA received approval from HUD in 2007 to review HIF projects not completed within five years, accounting for due diligence by grant recipients, changes in local housing markets, national economic conditions, and other factors impacting successful housing development. Using this process, CDBG closed four HIF contracts and evaluated one additional contract for closeout. The four remaining HIF contracts established a “workout agreement” in PY 2010 and will begin repaying grant funds if the required homes are not constructed and sold by June 2011.

**Closeout of Program Years.**

- Tracking: TDRA focused considerable effort in PY 2010 on tracking and closing contracts funded through Program Years prior to 2000. TDRA Anticipates requesting closeout of PYs 1999 and 2000 with the PY 2011 PER.
- Fund Substitution: TDRA proposed to HUD, and received approval, to expend available funds from older Program Years by substituting those funds for funds obligated to existing contracts that are tied to more recent program years. TDRA intends to use this same process to expend all remaining funds in PYs 1996 through 2000.
- Conditional Closure: TDRA informally proposed to HUD in 2007 a method of conditionally closing program years that are complete except for repayment agreements. Certain grant recipients have been required to return some or all grant funds, which must then be re-obligated and expended to close the program year; in some cases such repayment requirements are the only actions preventing Program Year closeout for up to five years after all other activities have been closed. TDRA submitted a formal proposal for HUD consideration in PY 2008, which is currently under review by HUD. If the proposal is accepted, TDRA will conditionally close PYs 1996 and 1998 when submitting PER 2011. The table below provides details as of January 31, 2011, for the contracts that have currently been asked to repay funds associated with PY 1994 to PY 2002.

**Timeline for Anticipated Program Year Closeout Based on Current Repayment Plans**

Program Year	Contract	Grantee	Fund	Total Repayment	Repayment Remaining	Last Payment Due	Anticipated PY Close*
1996	716039	Asherton	CD	\$ 59,050.00	\$ 59,050.00	12/31/2006**	PER 2011
1998	718569	Rio Bravo	CD	\$ 266,343.00	\$ 266,643.00	TBD**	PER 2011
1999	^						PER 2011

## Program Performance

### Community Development

Program Year	Contract	Grantee	Fund	Total Repayment	Repayment Remaining	Last Payment Due	Anticipated PY Close*
2000	720058	Bay City	HIF	\$ 389,515.47	\$ 75,739.24	8/ 01/2011	PER 2012
2001	721108	Pecos	HIF	\$ 367,342.91	\$ 117,342.91	11/ 01/2011	PER 2012
	726046	Emory	STEP	\$ 69,223.50	\$ 19,048.25	6/01/2012	
	721078	Lufkin	HIF	\$ 400,000.00	\$ 66,666.70	8/ 01/2011	
2002	^^						PER 2014

\* Anticipated PY Close date is based on timely repayment of disallowed costs

\*\* These contractors also have audit or other eligibility concerns and are the least likely to complete repayment

^ No repayments, Last Anticipated Closeout: Fall 2011

^^ No repayments Last Anticipated Closeout: 2014 (CSH)

The following changes are underway or represent potential changes from existing initiatives:

- **Positive working relationships with program stakeholders.**
  - CDBG staff is part of an interagency workgroup with a focus on infrastructure improvement grants across Texas. The group is working toward greater cooperation among the funding agencies on complex projects requiring funding or approval from two or more sources.
  - The program continued to provide comprehensive training to the Regional Review Committees that score the CD/CDS applications, while working with the RRCs and HUD to develop a revised method of local scoring that meets all HUD requirements.
- **Technical Assistance.** CDBG staff provided training for communities and administrative consultants throughout the state, including:
  - 2010 TxCDBG Project Implementation Manual, effective September 2010;
  - *Project Implementation Workshops* based on the current Project Implementation Manual;
  - *Environmental Issues Training Workshops*;
  - *Census and Survey Methodology Workshops*;
  - *Application Workshops for the Community Development Fund and Planning & Capacity Building Fund*

## HUD PERFORMANCE MEASURES

Texas CDBG implemented the HUD Performance Outcome Measurement System in PY 2010. Applications submitted in PY 2010 and closeout documents submitted under the Program Implementation Manual were required to identify the Objective (1. Creating Suitable Living Environments; 2. Providing Decent Affordable Housing; or 3. Creating Economic Opportunities) and the Outcome (1. Availability/Accessibility; 2. Affordability; or 3. Sustainability) addressed by the project.

The table below shows the performance measures identified for activities awarded in PY 2010:

**Performance Measures, Activities Awarded in PY 2010**

<b>Performance Measure Identified</b>	<b>Proportion of Activities - Projected</b>	<b>Proportion of Activities - Actual</b>
Activities to create Suitable Living Environments through Availability/Accessibility	42.2%	<b>67.0%</b>
Activities to create Suitable Living Environments through Affordability	16.8%	<b>1.9%</b>
Activities to create Suitable Living Environments through Sustainability	29.9%	<b>20.1%</b>
<b>Total</b>	<b>88.8%</b>	<b>89.0%</b>
Activities to provide Decent Housing through Availability/Accessibility	0.4%	<b>2.6%</b>
<b>Total</b>	<b>0.4%</b>	<b>2.6%</b>
Activities to create Economic Opportunities through Availability/Accessibility	4.5%	<b>0%</b>
Activities to create Economic Opportunities through Affordability	1.9%	<b>0%</b>
Activities to create Economic Opportunities through Sustainability	4.5%	<b>8.4%</b>
<b>Total</b>	<b>10.8%</b>	<b>8.4%</b>

**MINORITY OUTREACH**

The Texas Department of Rural Affairs and its individual units have been successful in hiring qualified minority staff.

- The minority labor force percentage for all Statewide Agencies, provided by the Texas Workforce Commission (as of January 2011), is 37.6 percent; the Texas Department of Rural Affairs percentage of minority employees is 42 percent.
- The female labor force percentage for all Statewide Agencies is 56 percent; the Texas Department of Rural Affairs percentage of female employees is 52 percent.

**Summary of Minority Business Enterprise Activities**

The Texas Facilities Commission (TFC) provides business services including maintaining the Centralized Master Bidders List, which includes the Historically Underutilized Businesses (HUB) List, as well as a list dedicated only to HUB listings. All Contractor localities can obtain a copy of this list of minority-owned businesses through TFC. These businesses have been certified through the State’s TFC program. Contact numbers and website addresses are included in the TxCDBG Implementation Manual.

The directory can assist CDBG contractors in identifying minority- and women-owned businesses that provide goods and services in their immediate area and in the state. The online directory also provides an opportunity for local minority- and women-owned businesses to sign-up for HUB certification through the Internet.

## Program Performance

### Community Development

The Texas Community Development Block Grant Program continues to require that all grantees submit Minority Business Enterprise information, (including gender information), on each contract over \$10,000 as those contracts are executed. Instructions for reporting CDBG contractors are provided in the TxCDBG Project Implementation Manual. The information from these reports is compiled and reported annually to the HUD Regional Office in Fort Worth.

The state reviews the performance of all CDBG grantees and monitors the compliance with the required civil rights laws. All bid documents and contracts must contain equal opportunity provisions and Section 504 requirements must be in place to avoid discrimination on the basis of handicap. The state also enhanced the existing oversight and reporting of Section 3 requirements during PY2010.

CDBG staff closely monitors the Contractor files for the following program requirements:

- Were equal opportunity guidelines followed in advertising vacancies, such as posting job vacancies and included equal opportunity language?
- Does the city/county have a written Section 3 Policy (or equivalent)? Is it followed? Has Section 3 information been properly reported?
- Did the city/county implement procedures that allow individuals with disabilities to obtain information concerning the existence and location of accessible services, activities and facilities?
- Did the city/county adopt 504 grievance procedures that incorporate due process standards and allow for prompt resolution of complaints?
- Has the city/county adopted and enforced a policy prohibiting the use of excessive force by law enforcement agencies within its jurisdiction against any individual engaged in nonviolent civil rights demonstrations, and a policy of enforcing applicable state and local laws against physically barring entrance to or exit from a facility or location which is the subject of such nonviolent civil rights demonstration within its jurisdiction?
- Has the city/county provided in the closeout reports the final beneficiaries for the project beneficiaries broken out, by race, ethnicity, gender, and low/moderate income status?

If evidence of the above program requirements was not found in the files, the locality is allowed 30 days to provide the information, or complete the activity and submit proof of compliance.

### Minority Business Enterprise Participation October 1, 2009 to September 30, 2010

Activity	Subcontracted by CDBG Grant Recipients	Subcontracted to MBEs	% Subcontracted to MBEs
Amount of Funds	\$ 112,619,207	\$ 42,796,462	38.0%
Number of Contracts	940	92	9.8%

## HOMELESS: EMERGENCY SHELTER GRANTS PROGRAM

TDHCA has administered the Emergency Shelter Grants Program (ESGP) since 1987. The state's strategy to help homeless persons includes:

- community outreach efforts to ensure that homeless persons are aware of available services;
- providing funding to support emergency shelter and transitional housing programs;
- helping homeless persons make the transition to permanent housing and independent living through comprehensive case management;
- providing assistance to prevent homelessness; and
- supporting efforts to address and prevent homelessness.

Emergency shelter and transitional housing needs of homeless persons are addressed by utilizing ESGP grant funds to provide support to organizations that provide emergency services, shelter, and transitional housing to homeless persons and families. ESGP subrecipients assess the needs of homeless persons and those persons assisted to prevent homelessness through a case management system. To ensure that homelessness prevention funds are used appropriately and efficiently, ESGP subrecipients are encouraged to maximize all community resources when providing homelessness prevention assistance.

The objectives of the ESGP program are to:

- help improve the quality of emergency shelters for the homeless;
- make additional emergency shelters available;
- help meet the cost of operating and maintaining emergency shelters;
- provide essential services so that homeless individuals have access to the assistance they need to improve their situations; and
- provide emergency intervention assistance to prevent homelessness.

## DESCRIPTION OF RESOURCES

This section describes ESGP funding that was available for PY 2010.

### *PY 2010 Funding*

The following ESGP resources were made available in PY 2010.

#### **PY 2010 State ESGP Allocation**

Total 2010 State ESGP Allocation	\$ 5,236,361
5% State Administration (\$)	
Shared Administration	\$ 7,344
Reserved State Administration	\$ 245,474
Regional Obligation	\$ 4,981,884*
Reobligated 2008 ESGP funds	\$ 55,593
Total Funds Obligated	\$ 5,037,477**

\* includes \$7,344 of the shared administration

\*\* includes \$55,593 unexpended funds from FY 2009

*PY 2010 Activities*

The following activities are performed with ESGP PY 2010 funding:

- Renovation, major rehabilitation, or conversion of buildings for use as emergency shelters for the homeless.
- Provision of essential services\*, including (but not limited to):
  - a. assistance in obtaining permanent housing;
  - b. medical and psychological counseling and supervision;
  - c. employment counseling;
  - d. nutritional counseling;
  - e. substance abuse treatment and counseling;
  - f. assistance in obtaining other federal, state, and local assistance;
  - g. other services such as child care, transportation, job placement, and job training; and
  - h. staff salaries necessary to provide the above services.
- Payment of maintenance, operation, and furnishings costs, except that not more than 10 percent of the amount of any ESGP grant may be used to pay operation staff costs.
- Developing and implementing homeless prevention activities as per Sec. 414 of the McKinney Act as amended by Sec. 832 of the Cranston-Gonzalez National Affordable Housing Act.

\*Services must be provided pursuant to Sec. 414 of the McKinney Act as amended by Sec. 832 of the Cranston-Gonzalez National Affordable Housing Act (42 U.S.C. Sec. 11374), which requires ESGP-funded services to be provided in a non-discriminatory manner.

INVESTMENT OF RESOURCES

This section describes ESGP funding commitments that were made with PY 2010 funds.

*PY 2010 Funding Commitments*

ESGP funds received for PY 2010 were awarded in May 2010. The State ESGP contracts using PY 2010 funds began on September 1, 2010, and will end August 31, 2011, corresponding with the Texas State Fiscal Year (FY). For PY 2010, ESGP committed \$4,981,884 (FY 2010 funds) through 77 grants, including shared administrative funds.

**ESGP PY 2010 Funding Commitments**

Contract Dates	State FY 2010 9/1/10-8/31/11
Number of Grant Recipients, Statewide	77
State ESGP Allocation	\$ 5,236,361
State Administration	*\$ 254,474
Funds Committed	\$ 4,981,884
Reobligated FY 2008 ESGP funds	\$ 55,593
<b>Total Allocated</b>	<b>\$ 5,037,477</b>

\* Excludes \$7,344 of the State administration funds shared with units of local government.

**PY 2010 ESGP Funding Commitments by Activity**

(2/1/10-8/31/10 and FY'10 9/1/10-1/31/11)

Activity	Funding Amount	Percentage
Rehabilitation	\$ 10,250	.11%
Maintenance, Operations	\$ 4,668,984	51.52%
Essential Services	\$ 1,101,887	12.16%
Homeless Prevention	\$ 2,957,667	32.64%
Operations Administration	\$ 314,947	3.48%
Administration shared w/local govts	\$ 8,212	.09%
<b>Total Funds Committed</b>	<b>\$ 9,061,947</b>	<b>100%</b>

\*Includes ESG expenditures from two contract periods, FY 2009 and FY 2010

*Matching Requirements*

Section 576.51 of the ESGP regulations states that each grantee must match the funding provided by HUD. These matching funds must be provided after the date of the grant award to the grantee. TDHCA passes this match requirement along to each recipient. Match must be provided in an amount equal to or greater than the grant award. ESGP applicants identify the source and amount of match they intend to provide if they are chosen for funding. They report monthly on the amount of match provided. ESGP monitors review the match documentation during each monitoring visit. TDHCA conducts a desk review at the close out of each contract to ensure that each ESGP recipient has provided an adequate amount of match during the contract period. The table below reflects match contributions for PY 2010 funds.

**Match Contributions for PY 2010 funds**

(SFY'09 2/1/10-8/31/10 and SFY'10 9/1/10-1/31/11)

Source	Dollar Value
Donations (cash)	\$ 13,691,388
Lease Or Rent	\$ 890,492
Salaries	\$ 617,362
Volunteers (@ \$5/Hour)	\$ 390,797
Other (Various Sources of Grant Funds, In-Kind Donations, etc.)	\$ 794,025
<b>Total</b>	<b>\$ 16,384,064</b>

Includes match expenditures from two contract periods, FY 2009 and FY 2010.

*Continuum of Care Activities*

Historically, Texas has not received all of the Continuum of Care (CoC) funds HUD targeted for the State due to a lack of viable applications. To address this, TDHCA provided General Revenue funds to the Texas Homeless Network (THN) to provide statewide technical assistance and training to organizations and communities interested in forming homeless coalitions and in applying for CoC funds. As a direct result of this investment, in July 2010 THN was notified that three new project proposals were awarded HUD CoC funds from the 2009 competition for a total of \$3,205,829. Awardees were South Texas council on Alcohol and Drug Abuse “Next Step Project” for \$1,648,049, Bethany House “BH Transitional Housing” \$778,554 and SAFE-T “Doors of Empowerment” \$779,226. These projects will



## *Program Performance*

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### *Homeless*

provide transitional and permanent supportive housing for over 140 individual and family members. In November 2010, THN submitted a Continuum of Care application to HUD requesting \$3,375,460 on behalf of seven organizations. As of March 14, 2011, HUD has awarded CoC renewal funds to Community Healthcore “Fredonia Place” for \$123,480, Community Healthcore “Project Success Transitional Housing” for \$124,397 and City of Longview’s Shelter + Care project for \$283,632. The Texas Balance of State CoC project representatives are still awaiting notification of award determination for four agencies that applied for new HUD Supportive Housing Program funding in the amount of \$2,843,951.

As part of the ESGP Application requirements, organizations applying for ESGP funds must describe their involvement in homeless coalitions coordinating the provision of services to the homeless and at-risk populations in their area. The increase in 2010 HUD CoC renewal and new project applications further demonstrate that local care providers are making great strides in coordinating their efforts and adopting comprehensive “continuum of care” practices.

A majority of ESGP applicants include case management and information and referral in their range of services, while a significant number of communities have formed local homeless coalitions and social services coordinating councils as part of the Continuum of Care concept.

In FY 2010, the Department revised the performance reporting requirements for ESGP subrecipients to collect data as reported in HUD’s Integrated Disbursement Information System (IDIS).

As part of the ESGP Application requirements, organizations applying for ESGP funds must describe their involvement in homeless coalitions coordinating the provision of services to the homeless and at-risk populations in their area. The 2010 applications once again demonstrated that local care providers continue to make great strides in coordinating their efforts and adopting a more comprehensive “continuum of care” approach to service. A majority of ESGP applicants include case management and information and referral in their range of services, while a significant number of communities have formed local homeless coalitions and social services coordinating councils as part of the Continuum of Care concept.

### *HMIS Requirements*

In the FY 2010 TDHCA ESGP application, applicants were required to certify that the applicant organization will meet HUD’s standards for participation in a local Homeless Management Information System (HMIS) and the collection and reporting of client-level information. As of August, 2004, TDHCA has required all ESGP subrecipients receiving HUD McKinney-Vento Act program funds, which includes ESGP funds, which are located in a Continuum of Care jurisdiction to participate in an HMIS. ESGP subrecipients located in a Continuum of Care jurisdiction must coordinate and report client-level data to the administrator for the Continuum of Care coalition in their area. Furthermore, TDHCA has notified ESGP subrecipients that failure to coordinate with appropriate contacts to facilitate the HMIS implementation may result in withholding of ESGP contract funds. TDHCA is providing Community Services Block Grant funds to the Texas Homeless Network to assist ESGP subrecipients in implementing an HMIS reporting system in the Balance of State areas. The Department will continue to monitor ESGP subrecipients progress at implementing an HMIS reporting system.



## DISTRIBUTION OF INVESTMENTS

This section reports on how PY 2010 funds were distributed and the location of ESGP awards.

TDHCA administers the S-10-DC-48-0001 ESGP funds in a manner consistent with the McKinney-Vento Homeless Assistance Act, as amended (42 USC Sec 11371 et seq.). According to 24 §576.35, states must make funds available (i.e., award funds to successful applicants) to units of general local government or nonprofit organizations within 65 days of the date of the grant award issued by HUD. This regulation also requires states to obligate (i.e. implement a contract) all ESGP funds within 180 days of the date of the grant award. In order to comply with these deadlines, TDHCA begins the application and award process several months in advance of receiving the dated grant award from HUD. All contracts are issued for a 12 month period in order to ensure that the full allocation is spent within 24 months of the time the funds are awarded to grant recipients (required by 24 §576.35). If any funds remain unexpended after the contract period, they are reobligated to current recipients after the second quarter of their contract period to ensure recipients of additional funds have demonstrated appropriate expenditure rates and are free from monitoring concerns.

### *Fund Distribution Methodology*

TDHCA obligates ESGP funds through a statewide competitive application process. TDHCA funded 77 projects with FY 2010 (9/1/10-8/31/11) ESGP funds. TDHCA reserved ESGP funds for each of the 13 TDHCA Uniform State Service Regions using a formula based on the percentage of poverty population in each region (as reported in the 2000 US Census). TDHCA awarded funds to units of general local government and to private nonprofit organizations that have local government approval to operate a project that assists homeless individuals. TDHCA established funding guidelines at a minimum of \$30,000 and a maximum of \$100,000, with collaborative projects awarded up to \$300,000. In awarding ESGP funds, TDHCA makes available up to 30 percent of the total ESGP allocation for homeless prevention activities, 30 percent for the provision of essential services, 10 percent for operations administration, 5 percent for state administration which is shared with subrecipients that are cities or counties, and the remainder of the funds for rehabilitation, maintenance or operations.

**PY 2010 Regional Funding Distribution**

TDHCA Service Region		Percent of Poverty Population	Number of Counties	Fund Distribution per Region
1	High Plains	3.95%	41	291,696
2	Northwest Texas	2.49%	30	127,682
3	Metroplex	18.88%	19	853,478
4	Upper East Texas	4.88%	23	216,912
5	Southeast Texas	3.87%	15	155,163
6	Gulf Coast	21.04%	13	960,127
7	Capital	4.65%	10	320,948
8	Central Texas	4.79%	20	349,978
9	Alamo	8.57%	12	426,000
10	Coastal Bend	4.24%	19	255,665
11	South Texas Border	14.61%	16	685,755
12	West Texas	2.73%	30	125,499
13	Upper Rio Grande	5.30%	6	261,231
Total		100%	254	5,030,135

Source: 2000 US Census

\* This represents the amount of ESG funds awarded in FY 2010. The final percentage allocated to each region does not coincide with the original percentage of poverty population, because the total amount of funds allocated includes \$55,593 of unexpended funds from the prior year. The amount does not include \$7,344 of state administration funds shared with local government.

*Award Locations*

PY 2010 ESGP awards were made in the following areas of the state. The amounts reported reflect actual allocation of 2010 ESGP funds, contract cycle 9/1/10-8/31/11.

**PY 2010 ESGP Awards by Region**

Legal Name of Applicant	Region	City	Home County	Award Amount
<b>Amarillo, City of</b>	1	Amarillo	Potter	\$ 117,121.00
<b>Panhandle Crisis Center</b>	1	Perryton	Ochiltree	\$ 37,080.00
<b>Salvation Army, The - Lubbock</b>	1	Lubbock	Lubbock	\$ 71,000.00
<b>South Plains Community Action Association</b>	1	Levelland	Hockley	\$ 71,000.00
<b>Abilene Hope Haven, Inc.</b>	2	Abilene	Taylor	\$ 71,000.00
<b>Salvation Army, The - Abilene</b>	2	Abilene	Taylor	\$ 56,682.00
<b>ACH Child and Family Services</b>	3	Fort Worth	Tarrant	\$ 71,000.00
<b>Vogel Alcove</b>	3	Dallas	Dallas	\$ 32,305.00
<b>Family Gateway, Inc.</b>	3	Dallas	Dallas	\$ 49,700.00
<b>Family Place, The</b>	3	Dallas	Dallas	\$ 71,000.00
<b>Four Rivers Outreach</b>	3	Sherman	Grayson	\$ 71,000.00
<b>Grayson County Juvenile Alternatives, Inc. dba North Texas Youth Connection</b>	3	Sherman	Grayson	\$ 47,247.00

Legal Name of Applicant	Region	City	Home County	Award Amount
Grayson County Shelter	3	Denison	Grayson	\$ 64,120.00
Hope's Door	3	Plano	Collin	\$ 21,300.00
Interfaith Housing Coalition	3	Dallas	Dallas	\$ 70,805.00
Irving, City of	3	Irving	Dallas	\$ 73,840.00
Johnson County Family Crisis Center	3	Cleburne	Johnson	\$ 71,000.00
Promise House, Inc.	3	Dallas	Dallas	\$ 71,000.00
SafeHaven of Tarrant County	3	Fort Worth	Tarrant	\$ 71,000.00
Salvation Army, The - Denton Corps.	3	Denton	Denton	\$ 71,000.00
Salvation Army, The - Longview	4	Longview	Gregg	\$ 43,226.00
Randy Sam's Outreach Shelter, Inc.	4	Texarkana	Bowie	\$ 62,717.00
Sabine Valley Regional MHMR Center	4	Longview	Gregg	\$ 39,969.00
Salvation Army, The - Tyler	4	Tyler	Smith	\$ 71,000.00
Love I.N.C. of Nacogdoches	5	Nacogdoches	Nacogdoches	\$ 51,585.00
Port Cities Rescue Mission Ministries	5	Port Arthur	Jefferson	\$ 71,000.00
Women's Shelter of East Texas, Inc.	5	Lufkin	Angelina	\$ 32,578.00
Angel Outreach, Inc.	6	Houston	Harris	\$ 70,334.00
Missions of Yahweh, Inc., The	6	Houston	Harris	\$ 71,000.00
Bay Area Homeless Services Inc.	6	Baytown	Harris	\$ 57,710.00
Bridge Over Troubled Waters, Inc., The	6	Pasadena,	Harris	\$ 49,700.00
Houston Area Women's Center	6	Houston	Harris	\$ 63,629.00
Matagorda County Women's Crisis Center, Inc.	6	Bay City	Matagorda	\$ 59,218.00
Memorial Assistance Ministries	6	Houston	Harris	\$ 71,000.00
Northwest Assistance Ministries	6	Houston	Harris	\$ 71,000.00
Salvation Army, The - Galveston	6	Galveston	Galveston	\$ 70,733.00
Santa Maria Hostel, Inc.	6	Houston	Harris	\$ 71,000.00
SEARCH	6	Houston	Harris	\$ 124,736.00
Star of Hope Mission	6	Houston	Harris	\$ 71,000.00
Westside Homeless Partnership	6	Houston	Harris	\$ 46,150.00
Women's Home, Inc., The	6	Houston	Harris	\$ 62,917.00
Bastrop County Women's Shelter dba Family Crisis Center	7	Bastrop	Bastrop	\$ 56,800.00
Hays County Women's Center dba Hays-Caldwell Women's Center	7	San Marcos	Hays	\$ 74,578.00
Travis County Domestic Violence and Sexual Assault Survival Center dba SafePlace	7	Austin	Travis	\$ 56,800.00
Youth and Family Alliance dba LifeWorks	7	Austin	Travis	\$ 61,770.00
Compassion Ministries of Waco	8	Waco	McLennan	\$ 21,300.00
Faith Mission and Help Center, Inc.	8	Brenham	Washington	\$ 63,254.00

Program Performance

Homeless

Legal Name of Applicant	Region	City	Home County	Award Amount
Families In Crisis, Inc.	8	Killeen	Bell	\$ 49,070.00
Family Abuse Center, Inc.	8	Waco	McLennan	\$ 56,485.00
Refuge Corporation, The	8	Copperas Cove	Coryell	\$ 62,322.00
Salvation Army, The - Waco	8	Waco	McLennan	\$ 63,254.00
Twin City Mission	8	Bryan	Brazos	\$ 34,293.00
Connections Individual and Family Services, Inc.	9	New Braunfels	Comal	\$ 71,000.00
Family Violence Prevention Services, Inc.	9	San Antonio	Bexar	\$ 71,000.00
San Antonio Metropolitan Ministry, Inc.	9	San Antonio	Bexar	\$ 213,000.00
Seton Home	9	San Antonio	Bexar	\$ 71,000.00
Corpus Christi Hope House, Inc.	10	Corpus Christi	Nueces	\$ 60,424.00
Corpus Christi Metro Ministries, Inc.	10	Corpus Christi	Nueces	\$ 53,250.00
Salvation Army, The - Corpus Christi	10	Corpus Christi	Nueces	\$ 71,000.00
Women's Shelter of South Texas	10	Corpus Christi	Nueces	\$ 70,991.00
Bishop Enrique San Pedro Ozaman Center, The	11	Brownsville	Cameron	\$ 212,945.00
Advocacy Outreach	11	Elgin	Bastrop	\$ 71,000.00
Family Crisis Center, Inc.	11	Harlingen	Cameron	\$ 71,000.00
Friendship of Women, Inc.	11	Brownsville	Cameron	\$ 142,000.00
Loaves & Fishes of the Rio Grande Valley, Inc.	11	Harlingen	Cameron	\$ 71,000.00
Providence Ministry Corp. dba La Posada Providencia	11	San Benito	Cameron	\$ 46,813.00
South Texas Adult Resource and Training Center	11	San Benito	Cameron	\$ 70,996.00
Women Together Foundation, Inc.	11	McAllen	Hidalgo	\$ 71,000.00
Institute of Cognitive Development, Inc.	12	San Angelo	Tom Green	\$ 21,300.00
Midland Fair Havens, Inc.	12	Midland	Midland	\$ 68,870.00
Salvation Army, The - Odessa	12	Odessa	Ector	\$ 35,329.00
Child Crisis Center of El Paso	13	El Paso	El Paso	\$ 52,916.00
El Paso Villa Maria, Inc.	13	El Paso	El Paso	\$ 25,560.00
International AIDS Empowerment	13	El Paso	El Paso	\$ 38,979.00
La Posada Home	13	El Paso	El Paso	\$ 45,355.00
Opportunity Center for the Homeless	13	El Paso	El Paso	\$ 71,000.00
Project Vida	13	El Paso	El Paso	\$ 27,421.00
<b>TOTAL</b>				<b>\$ 5,037,477.00</b>

## FAMILIES AND PERSONS ASSISTED

This section describes the households assisted with ESGP funds.

### *Anticipated Households Served with PY 2010 Funding*

The ESGP Program does not project the number of households to be served. Please see the next section for information on the actual number of persons served in PY 2010.

### *Actual Households Served in PY 2010*

This section reports on the actual households served in PY 2010 (February 1, 2010, through January 31, 2011) through current contracts encompassing two state program years SFY 2009 and SFY 2010. These contracts were originally awarded in 2009 and 2010, and assisted persons during the PY 2010 reporting period. There were 64,213 total beneficiaries reported in PY 2010 through these contracts. Of those served, 41,158 were homeless and 23,055 persons received non-residential services/assistance.

#### Persons Assisted in PY 2010

ESGP Activity	Total Estimated Beneficiaries	Total Funding
Homelessness Prevention	23,055	\$ 2,975,667
Essential Services	41,158	\$ 1,101,887
Total	64,213	\$ 4,059,554

The table below reports program performance measures as required by HUD CPD guidelines. HOME Program eligible activities are categorized in the table below according to the CPD objectives and outcomes standard.

#### ESGP Performance Measures, PY 2010

Outcomes and Objectives	Performance Indicators	Expected Number	Actual Number
<b>SL-1</b> Availability/ Accessibility and Create a Suitable Living Environment	Provide funding to support the provision of emergency and/or transitional shelter to homeless persons	28,000	41,158
<b>DH-2</b> Affordability and Provide Decent Housing	The provision of non-residential services including homelessness prevention assistance	72,000	23,055

### Racial and Ethnic Status of Persons Assisted

The racial and ethnic status of the 64,031 total individuals receiving assistance in PY 2010 is reported below.

**Racial and Ethnic Status of Persons Assisted in PY 2010**

Ethnicity	Persons Assisted	Percent
White	44,460	69.44
Black /African American	16,870	26.35
Asian	375	.59
American Indian/Alaska Native	409	.64
Native Hawaiian/Other Pacific Islander	60	.09
American Indian and White	143	.22
Asian and White	20	.03
Black/African American and White	212	.33
American Indian/Alaska Native And Black/African American	26	.04
Other Multi-Race	1,456	2.27
Native Hawaiian/Pacific Islander and Asian	0	0
Balance of Individuals	0	0
<b>Total</b>	<b>64,031</b>	<b>100</b>

Of 64,031 total persons, 24,465 persons, or 38 percent, are of Hispanic or Latino origin. The breakdown of this population is below.

**Hispanic Origin of Persons Assisted in PY 2010**

Ethnicity	Persons Assisted	Percent
Hispanic and White	24,927	97.89
Hispanic and Black /African American	130	.51
Hispanic and Asian	8	.03
Hispanic and American Indian/Alaska Native	39	.15
Hispanic and Native Hawaiian/Other Pacific Islander	15	.06
Hispanic and Other Race or Multiracial	242	.95
White and Native American Hispanic	79	.31
White and Asian Hispanic	2	.01
White and Black Hispanic	20	.08
Black and Native American Hispanic	3	.01
Balance of Individuals Hispanic	0	0
<b>Total</b>	<b>24,465</b>	<b>100</b>

### Income Status of Persons Assisted

Of the 64,031 persons assisted, over 63 percent would be extremely low income and 36 percent would be very low income. The persons with incomes in the very low income range would primarily be persons receiving assistance with rent or utilities to prevent homelessness, thus they would be part of the 23,055 persons receiving homelessness prevention assistance.

**Income Status of Persons Assisted in PY 2010**

Income Level	Persons Assisted	Percent
Extremely Low Income (0-30% AMFI)	40,976	63.99
Very Low Income (31-50% AMFI)	23,055	36.01
Low Income (51-80% AMFI)		
Moderate Income (81-95%)		
Higher than 95%		
<b>Total</b>	<b>64,031</b>	<b>100%</b>

**ACCOMPLISHMENTS IN HIGH PRIORITY AREAS**

This section demonstrates how activities undertaken during the program year address identified areas of high priority and objectives.

**Homeless Populations Needs Summary Table**

Populations	Priority Need Level
Homeless Population	H
Families	H
Chronic Substance Abusers	H
Seriously Mentally Ill	H
Persons with HIV/AIDS	H
Victims of Domestic Violence	H
Youth	H
Rural	H
General Homeless	H

The following 2010 ESGP (9/1/2010-8/31/2011) award recipients are targeting several of the priority homeless populations identified above. The table reflects the primary target population; however, the majority of the subrecipients serve other populations. The exception would be subrecipients who serve domestic violence victims or youth.

**Priority Homeless Populations Served by 2010 Award Recipients**

Target Population	Number of Subrecipients	Percent
All Homeless	30	38.96%
At-Risk Homeless	11	14.29%
Domestic Violence Victims	28	36.36%
Youth	5	6.49%
AIDS Victims	1	1.30%
Mentally Ill	2	2.60%
Other single Women who are Homeless	0	0%
Other Asylum seekers, Asylees, Immigrants	0	0%

Target Population	Number of Subrecipients	Percent
Homeless Families	0	0%
Other Homeless Men	0	0%
Other Women and Children	0	0%
Total Subrecipients	77	100%

### *Specific Accomplishments*

The Department considers all homeless populations to be a priority and the awards process does not give preference to any particular population; ESGP funds are awarded on a competitive basis. The services provided by ESGP subrecipients during the FY 2010 period addressed the high priority needs identified above. The information in the table reflects the primary target population of FY 2010 ESGP subrecipients. Most ESGP subrecipients also serve other populations and most of the shelters serving all homeless populations would include persons who are mentally ill, persons who are chronic substance abusers, and persons with HIV/AIDS.

### *Persons with Disabilities*

In order to meet the needs of persons with disabilities, the Department's ESGP subrecipients must make their facilities accessible to persons with disabilities.

ESGP subrecipients submit an annual Integrated Disbursement and Information System (IDIS) Report, and in that report, agencies state the percentage of persons assisted on an average day who meet a variety of identified characteristics, including the percentage of persons who are physically disabled. However, because a percentage is reported by each subrecipient and is not aggregated by the Department, the Department is unable to report a statewide number or percent of disabled persons assisted.



## HOUSING: HOME INVESTMENT PARTNERSHIPS PROGRAM

The purpose of the HOME Program is to expand the supply of decent, safe, and affordable housing for extremely low, very low, and low income households and to alleviate the problems of excessive rent burdens, homelessness, and deteriorating housing stock. HOME strives to meet both the short-term goal of increasing the supply and the availability of affordable housing and the long-term goal of building partnerships between State and local governments and, private and nonprofit organizations to strengthen their capacity to meet the housing needs of low income Texans.

### DESCRIPTION OF RESOURCES

This section describes HOME funding that was available for PY 2010.

#### *PY 2010 Activities*

For PY 2010, TDHCA was allocated \$43,593,825 by HUD. Program Income in the amount of \$3,188,856 was received in PY 2010 and was utilized in funding additional HOME activities. While not all of the received funds are reflected in the funding plan below, they are included in awards and expenditures detailed throughout this report.

#### **PY 2010 HOME State Allocation and Funding Plan**

<b>Plan</b>	<b>Funding Amount</b>	<b>Percentage</b>
Total HOME Allocation for PY 2010	\$ 43,593,825	100%
Less Administration Funds (10 percent of Allocation)	\$ 4,359,383	10%
Less CHDO Project Funds Set Aside (15 percent of Allocation) (Subject to Regional Allocation Formula)	\$ 6,539,074	15%
Less CHDO Operating Expenses Set Aside (5 percent of CHDO Set Aside)	\$ 326,954	1%
Less Persons with Disabilities Housing Programs	\$ 2,179,691	5%
Less Set Aside for Contract for Deed (CFD) Conversions	\$ 2,000,000	5%
Less Set Aside for Rental Housing Development Program (Subject to Regional Allocation Formula)	\$ 5,000,000	11%
Remaining Project Funds Subject to Regional Allocation Formula	<b>\$ 23,188,724</b>	51%
Estimated Program Income <sup>1</sup>	\$ 3,000,000	
Total HOME Funds subject to the Regional Allocation Formula (includes Estimated Program Income)	<b>\$ 37,727,798</b>	

<sup>1</sup>Preliminary estimate included in the 2010 One-Year Action Plan, Funding Plan. These funds were programmed with 2010 the Homeowner Rehabilitation Assistance Activity (formerly known as Owner-Occupied Housing Assistance Activity).

**Summary of Project Funds Subject to Regional Allocation Formula (RAF)**

Activity	Funding Amount	% of Available Funding
Homebuyer Assistance	\$ 3,478,309	15%
Homeowner Rehabilitation (Includes Program Income)	\$ 16,232,107	70%
Tenant-Based Rental Assistance	\$ 3,478,309	15%
Total Estimated Funding Available for Distribution	\$ 23,188,724	100%

*PY 2010 Activities*

HUD regulations allow the HOME program to serve a variety of activities such as homeowner rehabilitation, homebuyer assistance, tenant-based rental assistance, and rental housing development assistance. The PY 2010 allocation funded the following activities.

Homeowner Rehabilitation Assistance

Rehabilitation or reconstruction cost assistance, in the form of grants or loans, is provided to eligible homeowners for the repair or reconstruction of their existing homes. The homes must be the principal residence of the homeowner. Housing that is constructed or rehabilitated with HOME funds must meet all applicable local codes, rehabilitation standards, ordinances, and zoning ordinances at the time of project completion. In the absence of a local code for new construction or rehabilitation, HOME-assisted new construction or rehabilitation must meet, as applicable, the International Residential Code, Texas Minimum Construction Standards (TMCS) and be in compliance with the basic access standards in new construction, established by §2306.514, Texas Government Code. This statutory requirement applies to any applicants utilizing federal or state money administered by TDHCA in the construction of single-family homes.

Homebuyer Assistance With or Without Rehabilitation

Down payment closing cost, rehabilitation, and contract for deed conversion assistance may be provided to homebuyers for the acquisition of affordable single-family housing. This activity may also be used for the following:

- Construction costs associated with architectural barrier removal in assisting homebuyers with disabilities by modifying a home purchased with HOME assistance to meet their accessibility needs.
- Acquisition and rehabilitation costs associated with contract for deed conversions to serve colonia residents.
- Construction costs associated with the rehabilitation of a home purchased with HOME assistance.

Eligible homebuyers may receive loans up to \$20,000 per household for down payment and closing costs, in the form of a 2nd or 3rd lien, zero percent interest, 10-year deferred-forgivable loan term. Homebuyer assistance loans are to be repaid at the time of resale of the property, transfer of any interest in the property, lease of the property, default under the terms of the loan, refinance of the first lien, or repayment

of the first lien, if any of these events occur before the end of the 10-year term. The amount of recapture will be based on the pro-rata share of the remaining term subject to available net proceeds from the sale, voluntary or involuntary, of the property. The Department has elected to utilize the recapture provision under 24 §92.254(a)(5)(ii) as its method of recapturing HOME funds.

At the completion of the assistance, all properties must meet the Texas Minimum Construction Standards or local building codes, as applicable. Compliance with the basic access standards in new construction, established by §2306.514, Texas Government Code, is also required for any applicants utilizing federal or State money administered by TDHCA in the construction of single family homes.

### Tenant-Based Rental Assistance

Rental subsidy and security and utility deposit assistance is provided to tenants, in accordance with written tenant selection policies, for a period not to exceed twenty-four months, but may be renewed, subject to availability of HOME funds. TBRA allows the assisted tenant to live in and move to any dwelling unit with a right to continued assistance with the condition that assisted families participate in a self-sufficiency program.

### Rental Housing Development

Awards for eligible applicants are to be used for acquisition, construction, and rehabilitation of affordable multifamily rental housing. Owners are required to make the units available to extremely low, very low, and low income families, and must meet long-term rent restrictions.

### Rental Housing Preservation

Awards for eligible applicants are to be used for the acquisition and/or rehabilitation for the preservation of existing affordable or subsidized rental housing. Owners are required to make the units available to extremely low, very low, and low income families and must meet long-term rent restrictions.

### CHDO Set-Aside

A minimum of 15 percent of the annual HOME allocation, approximately \$6,539,074, plus \$326,954 in CHDO operating expenses, was reserved for CHDOs. CHDO set-aside projects are owned, developed, or sponsored by the CHDO, and result in the development of rental units or homeownership. Development includes projects that have a construction component, either in the form of new construction or the rehabilitation of existing units.

### Contract for Deed Conversions Set-Aside

In 2009, the 81st Legislature passed Appropriations Rider 6 to TDHCA's appropriation, which requires TDHCA to spend no less than \$4 million for the biennium on contract for deed conversions for families that reside in a colonia, and earn 60 percent or less of the applicable area median family income (AMFI) and the home converted must be their primary residence. The intent of this program is to help colonia residents become property owners by converting their contracts for deeds into traditional mortgages. Properties proposed for this initiative must be located in a colonia as defined in Chapter 2306, Texas Government Code or as published in the Department's program rules.

### Colonia Model Subdivision Program Set-Aside

Subchapter GG of Chapter 2306, Texas Government Code, created this program to provide low interest rate or possibly interest-free loans to promote the development of new, high-quality, residential housing, that provide alternatives to substandard colonias, and housing options affordable to individuals and families of extremely low and very low income who would otherwise move into substandard colonias. TDHCA will make loans to CHDOs certified by TDHCA for the types of activities and costs described under the previous section regarding CHDO development loans.

### Persons with Disabilities Set-Aside

Pursuant to §2306.111 (c)(2), Texas Government Code, in its administration of federal housing funds provided to the state under the Cranston-Gonzalez National Affordable Housing Act, five percent of these funds shall be expended for the benefit of persons with disabilities who live in any area of the state. Approximately \$2 million of directed assistance for persons with disabilities was issued under separate NOFAs for eligible activities including Rental Housing Development, TBRA, and HBA with optional rehabilitation activities.

## INVESTMENT OF RESOURCES

This section describes HOME funding commitments that were made with PY 2010 funds.

TDHCA receives an annual HOME allocation from HUD, which is then awarded to units of local government, public housing authorities (PHAs), community housing development organizations (CHDOs), and other nonprofits and for-profits eligible to receive HOME funds from the State. TDHCA provides technical assistance through application and implementation workshops to all recipients of HOME funds to ensure that all participants meet and follow the State implementation guidelines and federal regulations, and continues to provide technical assistance during the implementation of HOME awards.

HOME funds are reserved for persons at or below 80 percent of the area median family income as defined by HUD. By HUD regulations, 15 percent of TDHCA's total HOME allocation must be set aside for CHDOs.

### *PY 2010 Funding Commitments*

During 2010, TDHCA utilized a total of \$45,402,745 in HOME funds including program income and additional deobligated funds in the following manner:

**Total Amount of Funding Committed for PY 2010**

Activity	HOME Allocation	Project Funds Awarded <sup>1</sup>	Admin/Oper Exp. Funds Awarded
2010 HUD HOME Allocation and Reprogrammed Funds Committed	\$ 43,593,825	\$ 45,402,745	\$ 929,123
Less Administration (10% of Allocation) <sup>2</sup>	\$ 4,359,383	—	—
Less CHDO (15% of Allocation)	\$ 6,539,074	\$ 8,937,239	—
Less CHDO Operating Exp.(5% of CHDO)	\$ -326,954		\$ 250,000
TOTAL HUD Mandated deductions	\$ 11,225,411	—	—
<b>Sub-Total Available SF and MF Non-CHDO funds</b>	<b>\$ 32,368,414</b>	—	—
Less MF Rental Housing Development Program	\$ -5,000,000	\$ 19,658,386	\$ 0
Less Contract for Deed	\$ -2,000,000	\$ 120,500	\$ 4,480
Persons with Disabilities Set Aside	\$ -2,179,691	\$ 2,525,000	\$ 31,500
TOTAL State Set Asides	\$ -9,179,691	—	—
<b>Total Available SF &amp; MF Non-CHDO funds</b>	<b>\$ 23,188,724</b>	—	—
Plus Estimated Program Income	\$ 3,000,000	—	—
<b>Total Project Funds Subject to Reg. Alloc. Formula</b>	<b>\$ 26,188,724</b>	—	—

<sup>1</sup>includes program income and deobligated monies

<sup>2</sup> includes 60%TDHCA and 40%Contract Administrators

**Summary of Project Funds Subject to Regional Allocation Formula for PY 2010**

Activity	HOME Allocation	Project Funds Awarded	Admin/Oper Exp. Funds Awarded
Homebuyer Assistance	\$ 3,478,309	\$ 3,451,000	\$ 136,240
Homeowner Rehabilitation (Includes Program Income and Homeowner Rehabilitation Disaster Relief)	\$ 16,232,107	\$ 9,598,386	\$ 382,254
Tenant Based Rental Assistance	\$ 3,478,309	\$ 1,080,734	\$ 124,649

**Award of HOME Funds by Activity PY 2010**  
(Includes Administration and Reprogrammed Funds<sup>1</sup>)

Activity	Amount	Percentage
Homebuyer Assistance (All Activities)	\$ 3,697,340	7.98%
Homeowner Rehabilitation Assistance	\$ 9,980,640	21.54%
Tenant-Based Rental Assistance	\$ 1,793,383	3.87%
CHDO Rental Housing Development	\$ 7,461,428	16.10%
CHDO Operating Expense	\$ 250,000	0.53%
Rental Housing Development	\$ 21,658,386	46.75%
CHDO Single Family Development	\$ 1,475,811	3.19%
<b>Total</b>	<b>\$ 46,326,988</b>	<b>100%</b>

<sup>1</sup>includes program income and deobligated monies

### *Matching Requirements*

TDHCA provides matching contributions from several sources for HOME funds drawn down from the State HOME Investment Trust Funds Treasury account within the PY. The following sources may be utilized:

- Proceeds from the sale of single or multifamily mortgage revenue bonds issued by TDHCA.
- Match contributions from TDHCA non-federal funds to affordable housing projects that are not HOME-assisted but that meet the requirements as specified in 92.219(b)(2).
- Eligible match contributions from State recipients and subrecipients, as specified in 24 §92.220.

TDHCA annually submits a separate HOME match report, HUD 40107-A, which lists matching funds and sources provided by each HOME project.

### DISTRIBUTION OF INVESTMENTS

This section reports on how PY 2010 funds were distributed and the location of HOME awards.

#### *Allocation Formula*

Section 2306.111(c), Texas Government Code mandates that TDHCA is to allocate no less than 95 percent of HOME funds to applicants that serve households located in a non-participating jurisdiction. The Department may use 5 percent of the HOME funds in participating jurisdictions, that serve persons with disabilities<sup>1</sup> and for multifamily developments, adhere to TDHCA's Integrated Housing Rule.

In the One Year Action Plan, TDHCA had a goal of allocating a minimum of 20 percent of the annual HOME allocation to applicants serving persons with special needs. Persons with "special needs" include homeless persons, elderly persons, persons with disabilities, persons with AIDS and AIDS-related diseases, victims of domestic violence, persons with alcohol and/or drug addictions, colonia residents, and migrant farmworkers. Eligible applicants include nonprofits, units of general local government, and PHAs with documented histories of working with special needs populations. Eligible activities include homebuyer assistance, homeowner rehabilitation, and tenant-based rental assistance.

#### Regional Allocation Formula

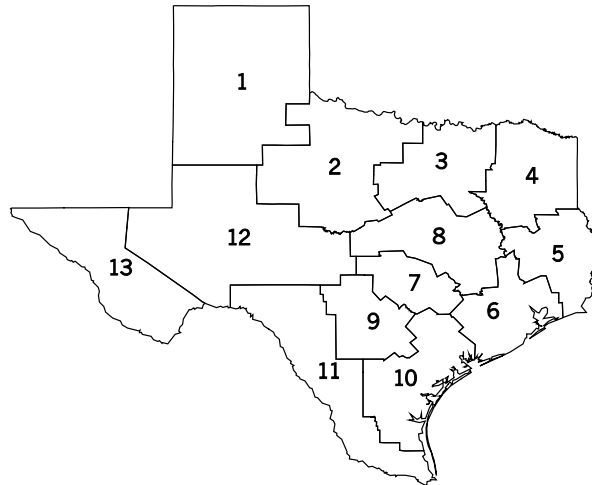
Section 2306.111(d), Texas Government Code, mandates that TDHCA allocate housing funds awarded in the HOME, Housing Trust Fund, and Housing Tax Credit (HTC) programs to each Uniform State Service Region using a formula developed by TDHCA. As a result, a large portion of the HOME funds were awarded using the Regional Allocation Formula (RAF) that responds to Section 2306.111. PY 2010 funding associated with the following set-asides was not distributed through the RAF: Contract for Deed Conversions and Persons with Disabilities Set-Aside.

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<sup>1</sup> According to HUD, a person shall be considered to have a disability if the person is determined to have a physical, mental, or emotional impairment that: (1) is expected to be of long-continued and indefinite duration, (2) substantially impeded his or her ability to live independently, and (3) is of such a nature that the ability could be improved by more suitable housing conditions. A person shall also be considered to have a disability if he or she has a developmental disability as defined in the Developmental Disabilities Assistance and Bill of Rights Act (42 USC. 6001-6006). The term also includes the surviving member(s) or any household described in the first sentence of this paragraph who is (were) living in an assisted unit with the disabled member of the household at the time of his or her death. Disabilities reflect the consequences of a bodily impairment in terms of functional performance.

Section 2306.1112, Texas Government Code established TDHCA’s Executive Award and Review Advisory Committee. HOME funding recommendations were presented to this committee prior to recommendation to TDHCA’s Governing Board.

State Service Regions Map



**Regional Award of HOME Funds PY 2010**  
(Includes Administration and Reprogrammed<sup>1</sup> Funds)

Region	Amount	% of Total Amount	Proposed Units to be Assisted	% of Total Units to be Assisted
1	\$ 1,170,000.00	2.53%	15	1.56%
2	\$ 1,398,560.00	3.02%	15	1.56%
3	\$ 6,233,240.00	13.45%	253	26.24%
4	\$ 7,101,450.00	15.33%	90	9.34%
5	\$ 5,531,151.00	11.94%	136	14.11%
6	\$ 626,111.00	1.35%	8	0.83%
7	\$ 4,866,529.00	10.50%	77	7.99%
8	\$ 2,256,447.00	4.87%	55	5.71%
9	\$ 2,299,600.00	4.96%	39	4.05%
10	\$ 5,197,040.00	11.22%	62	6.43%
11	\$ 8,045,448.00	17.37%	162	16.80%
12	\$ 896,811.00	1.94%	19	1.97%
13	\$ 130,500	0.28%	3	0.52%
Multiregional	\$ 574,101.00	1.24%	28	2.90%
<b>Total</b>	<b>\$46,326,988.00</b>	<b>100.00%</b>	<b>962</b>	<b>100.00%</b>

<sup>1</sup>includes program income and deobligated monies



Program Performance

Housing

Award Locations

PY 2010 HOME awards were made in the following areas of the state. These numbers include administration dollars awarded to the contractor.

**PY 2010 HOME Awards and Estimated Units by Region**

Regions Served	Administrator Name	Counties Served	Amount	Total Units
1	City of Brownfield	TERRY	\$ 390,000	5
1	Cochran County	COCHRAN	\$ 390,000	5
1	Terry County	TERRY	\$ 390,000	5
2	Abilene-Charger Properties LP	TAYLOY	\$ 500,000	5
2	City Of Albany	SHACKELFORD	\$ 449,280	5
2	Haskell County	HASKELL	\$ 449,280	5
3	Rockwall Housing Development Corp	ROCKWALL	\$ 166,400	2
3	County of Fannin	FANNIN	\$ 208,000	10
3	Affordable Housing of Parker County	PARKER< WISE	\$ 336,000	14
3	Weatherford Meadow Vista Apartments, LP	PARKER	\$ 500,000	16
3	City of West Tawakoni	HUNT	\$ 416,000	5
3	HPD Red Oak, LP	ELLIS	\$ 1,150,000	115
3	PWA Coalition of Dallas, Inc. d/b/a AIDS Services of Dallas	COLLIN, DALLAS	\$ 50,000	5
3	Evergreen Richardson Senior Community, LP.	DALLAS	\$ 2,000,000	34
3	Irving Britian Way Apartments, LP	DALLAS	\$ 500,000	17
3	City of Carrollton	DENTION	\$ 99,840	5
3	City Of Bonham	FANNIN	\$ 450,000	5
3	City of McKinney	COLLIN	\$ 357,000	30
4	City of Texarkana	BOWIE	\$ 104,000	5
4	City of Edgewood	VANZANDT	\$ 450,000	5
4	City Of Texarkana	VANZANDT	\$ 416,000	5
4	Silverleaf at Chandler II, LP	HENDERSON	\$ 1,592,848	15
4	City Of Clarksville	RED RIVER	\$ 497,520	6
4	SS Seniors, LLC	HOPKINS	\$ 2,000,000	20
4	City Of Queen City	CASS	\$ 416,000	5
4	City Of Paris	LAMAR	\$ 312,000	14
4	HVM Hooks, Ltd.	BOWIE	\$ 1,313,082	15
5	Hudson Green, Ltd	ANGELINA	\$ 415,000	20
5	Burke Center	ANGELINA, HOUSTON, JASPER, NACOGDOCHES, NEWTON, POLK, SABINE, SAN AUGUSTINE, SAN	\$ 326,782	30



Regions Served	Administrator Name	Counties Served	Amount	Total Units
		JACINTO, SHELBY, TRINITY, TYLER		
5	Spindletop MHMR Services	HARDIN, JEFFERSON, ORANGE	\$ 238,500	25
5	Hudson Manor, Ltd	ANGELINA	\$ 517,970	16
5	Auburn Square, Ltd.	ORANGE	\$ 500,000	8
5	Lufkin PC, LLC	ANGELINA	\$ 2,000,000	20
5	DM Ministries Community Development Corporation	ANGELINA	\$ 962,899	11
5	DM Ministries Community Development Corporation	ANGELINA	\$ 50,000	3
5	City of Lufkin	ANGELINA	\$ 520,000	6
6	HVM Wallis, Ltd.	AUSTIN	\$ 626,111	8
7	THF Marble Falls Redevelopment Venture, LP	BURNET	\$ 2,000,000	18
7	Community Partnership for the Homeless DBA Green Doors	BURNET, TRAVIS, WILLIAMSON	\$ 318,000	30
7	Center for Housing and Economic Opportunities Corp.	CALDWELL	\$ 50,000	32
7	Center for Housing and Economic Opportunities Corp.	CALWELL	\$ 2,498,529	29
8	Prince Hall Plaza Associates, LP	GRIMES	\$ 354,594	6
8	City of Whitney	HILL	\$ 312,000	14
8	City of Hillsboro	HILL	\$ 312,000	14
8	PK Cherrywood Apartments LP	MCLENNAN	\$ 710,074	13
8	HVM Groesbeck Longbridge, Ltd.	LIMESTONE	\$ 567,779	8
9	Hill Country Home Opportunity Council, Inc.	KERR	\$ 249,600	3
9	DDC Ashton, Ltd	GUADALUPE	\$ 2,000,000	36
9	Crossroads Housing Development Corporation	GUADALUPE	\$ 50,000	
10	City Of Beeville	BEE	\$ 416,000	5
10	City Of Ingleside	SAN PATRICIO	\$ 416,000	6
10	City of Sinton	SAN PATRICIO	\$ 416,000	5
10	Casa Ricardo, Ltd.	KLEBERG	\$ 2,000,000	19
10	HVM Mathis, Ltd.	SAN PATRICIO	\$ 617,040	8
10	City of Aransas Pass	SAN PATRICIO	\$ 416,000	5
10	City of George West	LIVE OAK	\$ 416,000	5
10	Costa Tarragona II, Ltd	NUECES	\$ 500,000	10
11	Starr County	STARR	\$ 312,000	15
11	City of Rio Hondo	CAMERON	\$ 312,000	15
11	Willacy County	WILLACY	\$ 416,000	6

*Program Performance*

*Housing*

<b>Regions Served</b>	<b>Administrator Name</b>	<b>Counties Served</b>	<b>Amount</b>	<b>Total Units</b>
11	ARDC Port Isabel, Ltd	CAMERON	\$ 2,000,000	17
11	Community Development Corporation of Brownsville	CAMERON	\$ 312,000	30
11	Community Development Corporation of Brownsville	CAMERON	\$ 941,000	10
11	Zavala County	ZAVALA	\$ 449,280	6
11	Las Brisas Manor, LP	VAL VERDE	\$ 1,293,888	15
11	City of Primera	CAMERON	\$ 312,000	15
11	City of Primera	CAMERON	\$ 449,280	11
11	Starr County	STARR	\$ 520,000	7
11	City of Asherton	DIMITT	\$ 416,000	5
11	Town of Combes	CAMERON	\$ 312,000	15
12	Midland Community Development Corporation	MIDLAND	\$ 534,811	5
12	Midland Community Development Corporation	MIDLAND	\$ 312,000	14
12	Midland Community Development Corporation	MIDLAND	\$ 50,000	
13	El Paso Collaborative for Community and Economic Development	EI PASO	\$ 130,500	3
Multiregional	Affordable Caring Housing, Inc.	GREGG, HENDERSON, CORYELL	\$ 238,101	10
Multiregional	Buckner Children & Family Ser., Inc., dba Buckner Family Place	ANGELINA, NACOGDOCHES, MONTGOMERY	\$ 336,000	18
<b>Total</b>			<b>\$ 46,326,988</b>	<b>962</b>

**FAMILIES AND PERSONS ASSISTED**

This section describes the households assisted with HOME funds.

*Anticipated Households Served with PY 2010 Funding*

For contracts that were awarded with PY 2010 funds, there are 962 total anticipated units.

**PY 2010 Estimated Funds and Units**  
(Including Administration and Reprogrammed Funds) <sup>1</sup>

Activity	Total Estimated Units	Total Funding
Owner -Homebuyer Assistance (all activities)	199	\$ 3,707,340
Owner-Homeowner Rehabilitation Assistance	122	\$ 9,980,640
Owner – CHDO Development Single Family	15	\$ 1,525,811
Renter - Tenant-Based Rental Assistance	127	\$ 1,793,383
Renter - CHDO Rental Development	110	\$ 7,661,428
Renter - Rental Housing Development	389	\$21,658,386
<b>Total</b>	<b>962</b>	<b>\$ 46,326,988</b>

<sup>1</sup> includes program income and deobligated monies

*Actual Households Served in PY 2010*

This section reports on the actual units completed in PY 2010 (February 1, 2010, through January 31, 2011) through current contracts. These contracts were originally awarded in 2005 through 2010, and units were completed during the PY 2010 reporting period. There were 766 total units completed in PY 2010 through these contracts.

**Actual Units Completed in PY 2010 by Activity**

Activity	Total Units	Total Disbursed
Owner - Homebuyer Assistance	176	\$ 2,165,983.46
Homeowner Rehabilitation Assistance	142	\$ 8,903,840.18
Owner - CHDO Single Family Development	6	\$ 552,460
Renter - Tenant-Based Rental Assistance	339	\$ 2,536,348.53
Renter - CHDO Rental Development	38	\$ 3,900,000
Renter - Rental Development	65	\$ 3,980,000
<b>Total</b>	<b>766</b>	<b>\$ 23,538,632.17</b>

**Special Needs Population Assisted Units Completed in PY 2010**

Special Needs Group	Units Assisted	% of Units
Alcohol & Drug Addiction	0	0%
Colonias	3	0.65%
Elderly Populations	192	41.47%
Homeless Populations	9	1.94%
Migrant Farmworkers	4	0.86%
People With Disabilities	227	49.03%
Persons with HIV/AIDS	26	5.62%
Victims of Domestic Violence	2	0.43%
<b>Total</b>	<b>463</b>	<b>100%</b>

**Racial Composition of Assisted Units Completed in PY 2010**

Race	Units Assisted	Percent of Units
American Indian/Alaska Native	2	0.26%
American Indian/Alaska Native & Black/African American	0	0.0%
American Indian/Alaska Native & White	0	0.0%
Asian	0	0.0%
Asian & White	0	0.0%
Black/African American	162	21.15%
Black/African American & White	6	0.78%
Native Hawaiian/Other Pacific Islander	0	0.0%
Other Multi Racial	34	4.44%
White	562	73.37%
Unknown	0	0%
<b>Total</b>	<b>766</b>	<b>100.00%</b>

**Hispanic Origin of Assisted Units Completed in PY 2010**

Ethnicity	Units Assisted	Percent of Units
Hispanic and American Indian/Alaska Native	1	0.4%
Hispanic and Asian & White	0	0.0%
Hispanic and Black/African American	1	0.4%
Hispanic and Black/African American & White	1	0.4%
Hispanic and Other Multi Racial	22	9.6%
Hispanic and White	203	89.0%
<b>Total</b>	<b>228</b>	<b>100.0%</b>

**Income Status of Owner/Renter Units Completed in PY 2010**

Income Category	Number of Units	% of Units
Extremely Low Income (0-30% AMFI)	379	49%
Very Low Income (31-50% AMFI)	197	26%
Low Income (51-60% AMFI)	84	11%
Low Income (61-80% AMFI)	106	14%
<b>Total</b>	<b>766</b>	<b>100%</b>

**Income Status of Units Completed in PY 2010 by Activity**

Activity	AMFI 0-30%	AMFI 31-50%	AMFI 51-60%	AMFI 61-80%	Total
Owner Homebuyer Assistance	4	46	45	81	176
Homeowner Rehabilitation	61	75	5	1	142
Owner - CHDO Single Family Development	0	4	2	0	6
Renter - Tenant-Based Rental Assistance	295	39	3	2	339
Renter - Rental Housing Development	19	33	29	22	103
<b>Total</b>	<b>379</b>	<b>197</b>	<b>84</b>	<b>106</b>	<b>766</b>

CPD Outcome Performance Measurement

The table below reports program performance measures as required by HUD CPD guidelines. HOME Program eligible activities are categorized in the table below according to the CPD objectives and outcomes standard and represent actual activities closed-out during PY 2010, including those from contracts awarded from 2006 through 2008. The table delineates 1) the number of anticipated units based on the award of dollars during the Program Year and 2) the number of actual units produced (closed activities) during the Program Year. Typically TDHCA HOME contracts are 24-36 months and most contracts also include construction, therefore the unit is not reported as a completed unit until 2 to 3 years after award. Additionally, actual units from closed contracts may include partial units on a contract or units from a previous year, deobligated and reprogrammed funds, or units funded with program income. It is difficult to measure progress of actual units from closed contracts with either the units proposed in the Funding Plan or those anticipated units awarded during the Program Year, as the data is not necessarily related. Additionally, the chart reflects revised performance measures for the number of units anticipated for PY 2010.

**HOME Program Performance Measures, PY 2010**

Outcomes and Objectives	Performance Indicators	Expected Number	Actual Number	Percentage
DH-2	No. of rental units assisted through new construction and rehabilitation	233	103	44%
DH-2	No. of tenant-based rental assistance units	310	339	109%
DH-2	No. of existing homeowners assisted through homeowner rehabilitation assistance	194	142	73%
DH-2	No. of first-time homeowners assisted through homebuyer assistance	305	182	60%

**ACCOMPLISHMENTS IN HIGH PRIORITY AREAS**

This section demonstrates how activities undertaken during the program year address identified areas of high priority and program objectives.

**Housing Priority Summary Needs Table**  
**H=High, M=Medium, L= Low, N=No Such Need**

Household Type	Housing Needs	Priority	Priority	Priority Need Level 51-80%
		Need Level 0-30%	Need Level 31-50%	
Renter Elderly HH	Cost Burden > 30%	H	H	H
	Cost Burden > 50%	H	H	H
	Substandard	H	H	H
	Overcrowded	H	H	H
Renter Small Related HH	Cost Burden > 30%	H	H	H
	Cost Burden > 50%	H	H	H
	Substandard	H	H	H
	Overcrowded	H	H	H
Renter Large Related HH	Cost Burden > 30%	H	H	H
	Cost Burden > 50%	H	H	H
	Substandard	H	H	H
	Overcrowded	H	H	H
All Other HH	Cost Burden > 30%	H	H	H
	Cost Burden > 50%	H	H	H
	Substandard	H	H	H
	Overcrowded	H	H	H
Owner	Cost Burden > 30%	H	H	H
	Cost Burden > 50%	H	H	H
	Substandard	H	H	H
	Overcrowded	H	H	H

### *Specific Accomplishments*

This section describes specific HOME Program activities undertaken during PY 2010 that address high priority needs. Please see the “Goals and Objectives” section for detailed information about HOME Program goals and objectives, which also address these needs.

The purpose of the HOME Program is to expand the supply of decent, safe, and affordable housing for extremely low, very low, and low income households and to alleviate the problems of excessive rent burdens, homelessness, and deteriorating housing stock. The HOME Program funds are awarded utilizing either an open (first-come, first-served) or a competitive application cycle, as defined in each Notice of Funding Availability (NOFA). Threshold and/or scoring criteria are included in each NOFA to meet statutory or federal requirements and program or Department goals, such as income and special population assistance targets. Additionally, the HOME Program addresses high priority needs areas by making available ninety-five percent (95%) of its annual HUD allocation to rural areas of Texas, pursuant to §2306.111(c)(1) of the Texas Government Code.

### *Persons with Disabilities*

In order to address the needs of Persons with Disabilities, the HOME Program accomplished the following during PY 2010.

Pursuant to §2306.111(c)(2), five percent (5%) of HOME Program funds, were made available to persons with disabilities living in any area of the state. As a part of the 2010 allocation, the Department established a \$2,179,691 Persons with Disabilities (PWD) set-aside to support the housing needs of this community in Texas. In 2010 the Department strengthened its commitment by publishing two NOFAs targeted toward assisting PWD households and included additional deobligated funds, previously set-aside for PWD activities. A Single Family PWD NOFA was published in the amount of \$2,844,136 and a Rental Housing Development NOFA was published with a set-aside for PWD in the amount of \$1,179,691 to support the development of accessible units.

### ON-SITE INSPECTIONS

On-site monitoring reviews of affordable HOME rental developments are conducted in accordance with 24 §92.504(d) of the HOME Final Rule. The Department is committed to ensuring all rental developments funded with HOME are in compliance with federal and state regulations and requirements. The Department’s monitoring rules are in 10TAC, Chapter 60, Subchapters A and C.

While onsite, monitors review resident files to ensure that households are eligible under the HOME program and that rents are properly restricted. In addition a Uniform Physical Condition Standards inspection is performed. If noncompliance is identified, owners are notified in writing and provided a 90 day corrective action period.

Possible sanctions for non-compliance include default, foreclosure, and receivership. However, the Department strives to work cooperatively with owners to restore compliance. Before imposing sanctions, alternative solutions are considered such as restructuring debt, intensive in-depth technical assistance, and/or requiring changes in management companies. In addition to the sanctions listed above prior to awarding any new funding, the Compliance and Asset Oversight Division conducts a previous

## *Program Performance*

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### *Housing*

participation review to determine if an applicant has control of an existing HOME development with any uncorrected noncompliance. If any issue(s) are identified during this review, the HOME administrator is notified in writing and provided a 5 day period to submit all necessary corrective action documentation to correct noncompliance. If the HOME administrator does not correct, the application for funding will be terminated.

The Department's enforcement provisions in 10 TAC, Subchapter C §60.309 established monetary penalties for owners who do not correct noncompliance violations. The Department has successfully brought developments into compliance through the administrative penalties process. The Department is continuing to conduct informal hearings with owners to address their compliance violations and restore compliance.

The following table reflects the results of on-site reviews and inspections conducted on HOME rental developments from February 1, 2010 through January 31, 2011. During this time period, TDHCA monitored 137 HOME rental developments. One hundred four of those reviews have been closed. Twenty two of the reviews are still open either because the Department is reviewing or awaiting corrective action responses from owners. Eleven of the properties monitored either have already been or will be referred to the Department's Administrative Penalty Committee for enforcement. The Department has made significant progress this year in ensuring HOME rental developments are in compliance. This success can be attributed to the Administrative Penalty and Enforcement Committee, technical assistance provided to owners and property staff and HOME training provided by the Department. The table below outlines the developments monitored during this time period and their current.



**PY 2010 HOME Program Property Inspections**

<b>CMTS No.</b>	<b>Development Name</b>	<b>Home No.</b>	<b>Date of Review</b>	<b>Description of Noncompliance</b>	<b>Status of Noncompliance</b>
18	Heatherwilde Apartments	530677	3/26/2010	Household income above income limit upon initial occupancy	Corrected
156	Eagle Lake Garden Apartments	530717	11/10/2010	None	None
178	Parkside Place Apartments	530737	8/26/2010	UPCS violations	Corrected
180	Raintree Apartments	530727	4/7/2010	None	None
369	La Villita Apartments	531100	7/23/2010	None	None
370	Seven Points Apartments	531101	1/14/2011	None	None
679	Shady Oaks Apartments	1000243	6/16/2010	UPCS violations	Corrective action due April 6, 2011
720	Golden Manor Apartments	1000239	6/16/2010	None	None
781	East Texas Apartments	1000441	7/6/2010	UPCS violations	Corrected
879	Fredericksburg Seniors Apartments	1000990	5/20/2010	UPCS violations	Corrected
1369	Longview Commons Apartments	532304	7/22/2010	None	None
1404	Llano Square Apartments	535003	2/24/2010	Household income above income limit upon initial occupancy	Corrected
1406	Jefferson Square Apartments	535028	6/17/2010	None	None
1473	Brownwood Apartments	536263	3/24/2010	UPCS violations	Corrective action due May 5, 2011
1525	Commonwealth Apartments	536264	7/7/2010	UPCS violations	Corrected
1574	Sunrise Villas II Apartments	536265	6/18/2010	Failure to provide HQS inspections and UPCS violations	Corrected
1596	Granada Apartments	537070	4/6/2010	UPCS violations	Corrective action due March 17, 2011

Program Performance

Housing

<b>CMTS No.</b>	<b>Development Name</b>	<b>Home No.</b>	<b>Date of Review</b>	<b>Description of Noncompliance</b>	<b>Status of Noncompliance</b>
1616	Brentwood Apartments	536266	5/20/2010	Household income above income limit upon initial occupancy and UPCS violations	Corrected-Household income above income limit. UPCS corrective action due May 3, 2011
1641	Crestview Apartments	536279	7/22/2010	Household income above income limit upon initial occupancy and Household income increased above 80% at recertification and the property failed to properly determine rent	Corrected
1747	Westwind Village	537078	4/15/2010	UPCS violations	Corrective action due March 17, 2011
1785	St. Augustine Seniors Apartments	537079	7/7/2010	UPCS violations	Corrected
1858	Heritage at Dartmouth Apartments	533504	2/17/2010	UPCS violations	Corrected
1904	Nueces Bend at Two River Place Apartments	538003	3/22/2010	UPCS violations	Corrective action due March 16, 2011
1922	Asbury Place Apartments	539119	6/22/2010	Household income above income limit upon initial occupancy and UPCS violations	Corrected
1952	Tierra Socorro Apartment	538006	9/27/2010	Failure to provide an Affirmative Marketing plan and UPCS violations	Corrected
2063	Brownwood II Apartments	538622	3/25/2010	UPCS violations	Corrective action due May 5, 2011
2206	May Road Apartments	538620	7/19/2010	None	None

<b>CMTS No.</b>	<b>Development Name</b>	<b>Home No.</b>	<b>Date of Review</b>	<b>Description of Noncompliance</b>	<b>Status of Noncompliance</b>
2214	Commonwealth II Apartments	538621	7/7/2010	None	None
2603	Bavarian Manor Apartments	539111	6/25/2010	Failure to provide HQS inspections and Gross rent violation	Corrected
2606	Bentcreek Apartments	534389	6/2/2010	None	None
2610	Casa De Manana Apartments	530707	4/22/2010	Failure to provide HQS inspections	Corrected
2612	Claremont Apartments	532322	3/22/2010	UPCS violations	Corrective action due March 17, 2011
2613	Crowley Senior Apartments	530607	11/17/2010	Failure to provide HQS inspections and Failure to provide an Affirmative Marketing plan	Corrected-HQS inspection. Affirmative Marketing plan finding uncorrected. The property was referred to the Administrative Penalties and Enforcement Committee
2615	Strata and Casie Court Single Family Homes	538610	9/22/2010	Failure to provide HQS inspections and Gross rent violation	Corrected
2616	Denver City Apartments	537605	8/26/2010	UPCS violations	Corrected
2618	Rincon Point Apartments	534031	4/22/2010	Failure to provide HQS inspections, Failure to calculate a utility allowance and UPCS violations	Corrected
2619	Garden Terrace Apartments	531105	3/11/2010	None	None
2624	Hill Street Apartments	535249	2/23/2010	UPCS violations	Corrected

Program Performance

Housing

CMTS No.	Development Name	Home No.	Date of Review	Description of Noncompliance	Status of Noncompliance
2625	Juan Linn Apartments	533345	9/29/2010	Owner has failed to respond to agency requests for monitoring reviews	The property was referred to the Administrative Penalties and Enforcement Committee. The owner has failed to comply with Department requests. Property currently in receivership and possible foreclosure by the Department
2626	Keystone Apartments	536268	11/16/2010	Household income above income limit upon initial occupancy and UPCS violations	Corrected
2631	Lincoln Court Apartments	533186	7/21/2010	Failure to provide an Affirmative Marketing plan and UPCS violations	Corrected-Affirmative Marketing plan. UPCS corrective action due March 23, 2011
2635	Medina Court Senior Housing Apartments	531103	8/6/2010	Household income above income limit upon initial occupancy, Failure to provide an Affirmative Marketing plan and UPCS violations	Corrected-UPCS violations. Corrective action due August 1, 2011. Owner is working with the Department to comply with program requirements and the corrective action period has been extended until the household's lease expires. The property was referred to the Administrative Penalties and Enforcement Committee.
2636	Freeport Apartments	532305	6/17/2010	UPCS violations	Corrective action due April 18, 2011.
2637	Mexia Homes	532323	9/15/2010	Owner has failed to respond to agency request for monitoring review	The property was referred to the Administrative Penalties and Enforcement Committee. The Department has referred this property to the Office of the Attorney General for further action
2638	Supportive Housing Apartments	537297	1/17/2011	None	None

<b>CMTS No.</b>	<b>Development Name</b>	<b>Home No.</b>	<b>Date of Review</b>	<b>Description of Noncompliance</b>	<b>Status of Noncompliance</b>
2640	Center Park Apartments	539122	10/31/2010	None	None
2641	Brazos Bend Villas Apartments	532300	2/18/2010	UPCS violations	Corrected
2649	Panola Seniors Community II Apartments	537073	7/6/2010	UPCS violations	Corrected
2652	Parkview Place Apartments	535031	6/18/2010	UPCS violations	Corrective action due April 3, 2011
2655	Brittons Place Apartments	538613	7/28/2010	Failure to provide Affirmative Marketing plan	Corrected
2658	Plainview II Apartments	532315	8/26/2010	Household income above income limit upon initial occupancy and UPCS violations	Household income above income limit corrective action due June 30, 2011. Owner is working with the Department to comply with program requirements and the corrective action period has been extended until the household's lease expires. UPCS corrective action is due March 11, 2011
2662	Primrose Estates	538090	3/18/2010	None	None
2663	Thomas H Molina Homes	532329	5/11/2010	UPCS violations	Owner did not submit corrective action. The property was referred to the Administrative Penalties and Enforcement Committee. A hearing at the State Office of Administrative Hearings (SOAH) is scheduled with the owner in April 2011
2664	Jose Gonzales Homes	532331	5/11/2010	UPCS violations	Corrected

Program Performance

Housing

<b>CMTS No.</b>	<b>Development Name</b>	<b>Home No.</b>	<b>Date of Review</b>	<b>Description of Noncompliance</b>	<b>Status of Noncompliance</b>
2665	Francisco G. Paco Homes	532306	11/17/2010	Household income above income limit upon initial occupancy, Failure to provide an Affirmative Marketing plan and Failure to calculate utility allowance	Owner did not submit corrective action. The property was referred to the Administrative Penalties and Enforcement Committee. A hearing at the State Office of Administrative Hearings (SOAH) is scheduled with the owner in April 2011
2667	Ranchland Apartments	533288	6/17/2010	Household income above income limit upon initial occupancy	Corrected
2668	Arturo A Figueroa Homes	532307	11/17/2010	Failure to provide an Affirmative Marketing plan, Failure to calculate utility allowance	Owner did not submit corrective action. The property was referred to the Administrative Penalties and Enforcement Committee. A hearing at the State Office of Administrative Hearings (SOAH) is scheduled with the owner in April 2011
2671	Cedar Ridge Apartments	534284	6/24/2010	Failure to provide HQS inspections and UPCS violations	Corrected-HQS inspections. UPCS corrective action due April 11, 2011
2672	Chandler Place Apartments	534142	3/9/2010	UPCS violations	Corrected
2680	Southeast Texas CDC Townhomes	537606	2/10/2010	Household income above income limit upon initial occupancy and UPCS violations	Corrected - UPCS violations. Household income above limit finding uncorrected. The property was referred to the Administrative Penalties and Enforcement Committee and owner is working with the Department to correct noncompliance
2683	Golden Age Homes	534276	8/6/2010	None	None
2684	Hayden Ridge Apartments	538088	3/9/2010	Household income above income limit upon initial occupancy	Corrected

<b>CMTS No.</b>	<b>Development Name</b>	<b>Home No.</b>	<b>Date of Review</b>	<b>Description of Noncompliance</b>	<b>Status of Noncompliance</b>
2685	Jourdanton Elderly Apartments	535004	5/12/2010	None	None
2694	Spur Triplex Apartments	533300	8/26/2010	Household income above income limit upon initial occupancy	Corrected
2695	St. Michaels Estates	539114	5/26/2010	Failure to provide an Affirmative Marketing plan	Corrected
2696	Sterling Park Square Apartments	532303	2/18/2010	UPCS violations	Corrected
2704	Mountain View Apartments	533027	6/17/2010	UPCS violations	Corrected
2706	Weldon Blackard Rentals	539112	4/7/2010	Household income above income limit upon initial occupancy, Failure to document household's annual income at recertification, Failure to provide an Affirmative Marketing plan, Failure to provide HQS inspections and UPCS violations	Corrected-Household income above limit and HQS inspections. UPCS corrective action due March 17, 2011. The owner did not submit all required corrective action. The property was referred to the Administrative Penalties and Enforcement Committee
2707	North Athens Homes	532340	1/14/2011	None	None
2710	Colonia Del Valle Apartments	532325	11/18/2010	Owner has failed to respond to agency requests for monitoring reviews	The property was referred to the Administrative Penalties and Enforcement Committee. The Department has contacted the owner. The owner is scheduled to come in for an informal conference on March 22, 2011 to meet with the committee
2713	Riverview Apartments	539116	6/25/2010	UPCS violations	Corrective action due March 11, 2011
2715	San Jacinto Senior Housing	532334	10/12/2010	None	None
2720	Spring Gardens II Apartments	538089	11/9/2010	None	None

Program Performance

Housing

<b>CMTS No.</b>	<b>Development Name</b>	<b>Home No.</b>	<b>Date of Review</b>	<b>Description of Noncompliance</b>	<b>Status of Noncompliance</b>
2721	Spring Gardens III Apartments	530617	11/9/2010	None	None
2722	Sunrise Villas I Apartments	532336	6/18/2010	Household income above income limit upon initial occupancy, Failure to provide HQS inspections and UPCS violations	Corrected
2724	Sutton Square Apartments	536292	9/22/2010	UPCS violations	Corrected
2726	Tembell Homes Apartments	533029	6/10/2010	UPCS violations	Corrected
2727	Thomas Street Apartments	532319	4/14/2010	UPCS violations	Corrected
2729	Turtle Creek Townhomes	537072	2/23/2010	Failure to provide an Affirmative Marketing plan and UPCS violations	Corrected
2732	West Avenue Apartments	537603	10/13/2010	UPCS violations	Corrected
3200	Alamo Plaza Apartments	530687	9/13/2010	None	None
3201	Brentwood Apartments	530627	3/10/2010	Household income above income limit upon initial occupancy, Failure to calculate utility allowance and UPCS violations	Corrected
3263	La Mirage Apartments	531099	6/1/2010	UPCS violations	Corrective action due March 29, 2011
3326	Santa Lucia Apartments	538263	9/27/2010	Failure to provide an Affirmative Marketing plan	Corrected
3383	Pine Meadows Apartments	542072	6/16/2010	UPCS violations	Corrective action due April 10, 2011
3384	Green Manor Apartments	542073	6/15/2010	Failure to provide an Affirmative Marketing plan and UPCS violations	Corrected-Affirmative Marketing plan. UPCS corrective action due April 6, 2011



<b>CMTS No.</b>	<b>Development Name</b>	<b>Home No.</b>	<b>Date of Review</b>	<b>Description of Noncompliance</b>	<b>Status of Noncompliance</b>
3385	Bayou Bend Apartments	542070	6/16/2010	None	None
3387	Willowchase Apartments	542071	6/15/2010	UPCS violations	Corrective action due April 18, 2011
3389	Mira Vista Apartments	542068	3/24/2010	Failure to provide an Affirmative Marketing plan	Corrected
3390	Pecan Creek Apartments	542069	3/12/2010	UPCS violations	Corrected
3407	Palestine Senior II Apartments	537076	8/25/2010	None	None
4003	Cedar Ridge II Apartments	531001	6/24/2010	Household income above income limit upon initial occupancy, Failure to provide HQS inspections, Failure to calculate utility allowance and UPCS violations	Corrected-Household income above limit, HQS inspections and Utility allowance. UPCS corrective action due April 11, 2011
4005	Country Villa Apartments	531102	5/11/2010	None	None
4006	Alta Vista Village Apartments	531300	3/23/2010	None	None
4056	Grand Montgomery Court Apartments	542075	6/30/2010	UPCS violations	Corrected
4069	Lexington Court Apartments	1000246	7/21/2010	None	None
4087	NCDO II Apartments	539117	9/27/2010	Failure to provide an Affirmative Marketing plan and UPCS violations	Corrected
4089	Bahia Palms Apartments	1000245	4/6/2010	None	None
4093	Briarwood Apartments	1000244	7/20/2010	None	None
4098	La Mirage Villas Apartments	1000238	6/1/2010	Failure to provide an Affirmative Marketing plan and UPCS violations	Corrected-Affirmative Marketing plan. UPCS corrective action due March 29, 2011

Program Performance

Housing

<b>CMTS No.</b>	<b>Development Name</b>	<b>Home No.</b>	<b>Date of Review</b>	<b>Description of Noncompliance</b>	<b>Status of Noncompliance</b>
4204	Mineola Seniors II Apartments	534501	4/15/2010	None	None
4205	George Gervin Garden Apartments	535247A	3/23/2010	UPCS violations	Corrective action due March 20, 2011
4207	Canel Street Apartments	1000084	7/28/2010	Failure to provide an Affirmative Marketing plan	Corrected
4222	Cypress Creek at River Bend Apartments	1000639	10/12/2010	UPCS violations	Corrected
4255	Pecan Village Apartments	1000431	6/18/2010	Failure to provide HQS inspections and UPCS violations	Corrected
4271	Los Ebanos Apartments	1000648	5/11/2010	None	None
4274	Spring Garden V Apartments	1000415	11/9/2010	UPCS violations	Corrected
4288	Windvale Park Apartments	1000437	8/26/2010	None	None
4298	Park Place Apartments	1000430	6/17/2010	UPCS violations	Corrected
4299	Country Square Apartments	1000429	4/14/2010	UPCS violations	Corrected
4300	Clifton Manor Apartments	1000434	3/9/2010	UPCS violations	Corrected
4301	Bel Aire Manor Apartments	1000432	2/24/2010	None	None
4303	Bayshore Manor Apartments	1000428	3/24/2010	UPCS violations	Corrected
4307	Bridgeport IV Apartments	1000370	11/9/2010	None	None
4312	Spring Terrace Apartments	1000417	4/1/2010	UPCS violations	Corrected
4313	Milam Creek Seniors Apartments	1000555	10/13/2010	None	None
4314	Floresville Square Apartments	1000586	11/16/2010	None	None
4336	Hayden Ridge II Apartments	1000609	3/9/2010	UPCS violations	Corrected

<b>CMTS No.</b>	<b>Development Name</b>	<b>Home No.</b>	<b>Date of Review</b>	<b>Description of Noncompliance</b>	<b>Status of Noncompliance</b>
4351	LULAC Amistad Apartments	1000651	4/21/2010	UPCS violations	Corrected
4356	Crestmoor Park West Apartments	1000657	3/10/2010	UPCS violations	Corrective action due March 24, 2011
4380	El Paraiso Apartments	1000655	7/14/2010	None	None
4387	Evergreen at Rockwall Apartments	1000659	2/17/2010	None	None
4394	Victoria Place II Apartments	1000646	1/14/2011	None	None
4395	Pembrook Park Apartments	1000654	3/26/2010	Failure to provide an Affirmative Marketing plan and UPCS violations	Corrected
4396	Picadilly Estates	1000656	3/31/2010	Household income above income limit upon initial occupancy, Failure to provide HQS inspections and UPCS violations	Corrected
4402	Skyline Terrace Apartments	1000652	3/9/2010	None	None
4408	Gardens of Mabank Apartments	1000660	1/19/2011	None	None
4433	Residence at Decordova Apartments	1000766	3/10/2010	UPCS violations	Corrected
4446	Prospect Point Apartments	1000771	7/19/2010	Failure to provide HQS inspections	Corrected
4479	Kingsville Manor Apartments	1000882	4/22/2010	UPCS violations	Corrected
4487	Shady Oaks Apartments	1000881	4/22/2010	UPCS violations	Corrected
4494	Bluff's Landing Senior Village Apartments	1000884	5/4/2010	None	None

## Program Performance

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### Housing

<b>CMTS No.</b>	<b>Development Name</b>	<b>Home No.</b>	<b>Date of Review</b>	<b>Description of Noncompliance</b>	<b>Status of Noncompliance</b>
4499	Constellation Ranch Apartments	1000879	6/16/2010	None	None
4522	Creek View Apartments	1000968	2/23/2010	Failure to provide an Affirmative Marketing plan	Corrected
4544	Evergreen at Morningstar Apartments	1000987	4/27/2010	None	None
4546	Brookhollow Manor Apartments	1001000	10/12/2010	None	None
4572	Mariposa Apartments	1001112	11/18/2010	UPCS violations	Corrective action due on March 23, 2011

## AFFIRMATIVE MARKETING AND MINORITY OUTREACH

Department staff ensures that HOME Program administrators comply with the fair housing, accessibility, and affirmative marketing requirements of the program. The following actions are taken by TDHCA to ensure compliance.

- An application guide, which discusses these issues and includes guidance regarding the affirmative marketing plan requirements, is provided at the time of application.
- HOME Program administrators must submit an Affirmative Marketing Plan at application.
- A technical guide, which discusses these issues, is provided to all HOME Program administrators.
- TDHCA conducts compliance training workshops for HOME multifamily administrators. These workshops include a chapter regarding Fair Housing, accessibility, and affirmative marketing requirements of the program.

In accordance with HOME regulations at 24 §92.351 (a) and (b) and in furtherance of Texas's commitment to nondiscrimination and equal opportunity in housing, TDHCA has established procedures to affirmatively market units assisted under the HOME Investment Partnerships Program. These procedures are intended to further the objectives of Title VIII of the Civil Rights Act of 1968, the Fair Housing Amendments of 1988, and Executive Order 11063.

### *Affirmative Marketing Actions*

For applications consisting of five or more HOME-assisted units, the applicant is required to submit an Affirmative Marketing Plan in accordance with the HOME Final Rule (24 §92.351). The Department's Compliance and Asset Oversight Division monitors for compliance with the requirements specified in the HOME Final Rule (24 §92.351) and also delineated in 10 Texas Administrative Code §60.112. Affirmative marketing steps consist of actions to provide information and otherwise attract eligible persons in the housing market area to the available housing without regard to race, color, national origin, sex, religion, familial status, or disability.

The marketing program outlines procedures by which applications will be solicited from eligible potential program participants, maintain records of efforts to affirmatively market program activities or available housing opportunities, and to develop a system for evaluating the affirmative marketing efforts.

### Specific Actions

- Program administrators must ensure that the public, including potential beneficiaries of HOME-assisted housing, is informed that the HOME program is administered under an established, affirmative marketing policy; applicable federal Fair Housing laws; and other applicable federal, state, and local housing laws. This policy must be promoted in the community through media and other outlets, and communicated to beneficiaries of housing that will be or has been assisted with HOME funds.

## Program Performance

### Housing

- Program administrators shall affirmatively market available housing in local newspapers and using other appropriate methods. All forms of program marketing should depict the Equal Housing Opportunity logo.
- To help ensure that available housing is affirmatively marketed to persons not likely to apply for such housing, Program administrators are encouraged to make HOME information available in non-English languages spoken by minority groups residing in or near the community. Furthermore, Program administrators are encouraged to distribute marketing materials to area social service agencies that work with minorities, disabled individuals, or other protected groups.

### Affirmative Marketing Record Keeping

Program administrators are required to develop an affirmative marketing plan to identify persons who are the least likely to apply and how to reach those persons. Administrators must maintain documentation of their affirmative marketing activities. Program administrators also must update their affirmative marketing plan for HUD every five years. TDHCA collects Fair Housing Sponsor Report data from each rental housing development program administrator annually. The program administrators use this information in preparing their affirmative marketing plan update.

### Minority Outreach

Information on the number and dollar value of contracts for HOME projects completed during the reporting period to Minority Business Enterprises (MBE) and Women Business Enterprises (WBE) is provided below.

#### HOME Projects Completed by Minority Business Enterprises, PY 2010

Contractor or Subcontractor Business Racial/Ethnic Code	Contracts Total	Contracts Percent of Total	Contracts Amount	Subcontracts Total	Subcontracts Percent of Total	Subcontracts Amount
American Indian/Alaska Native & White	0	0.0%	\$0	0	0.00%	\$0
Black/African American	6	5.61%	\$ 305,315	12	1.52%	\$ 551,025
Hispanic	12	11.21%	\$ 621,385	54	6.84%	\$ 428,823
White	87	81.31%	\$5,343,060	724	91.65%	\$ 5,928,282
Unknown	2	1.87%	\$ 4,804.37	0	0.00%	\$0
<b>Total</b>	<b>107</b>	<b>100.0%</b>	<b>\$6,274,534</b>	<b>790</b>	<b>100.0%</b>	<b>\$ 6,908,130</b>

#### HOME Projects Completed by Women Business Enterprises, PY 2010

Gender Business Code	Contract Total	Contract Percent of Total	Contract Amount	Subcontracts Total	Subcontracts Percent of Total	Subcontracts Amount
Man Owned	106	99.07%	\$ 6,212,778.87	788	99.75%	\$ 6,901,829.60
Woman Owned	1	0.93%	\$ 61,785.00	2	0.25%	\$ 6,300.00
<b>Total</b>	<b>107</b>	<b>100.00%</b>	<b>\$ 6,274,563.87</b>	<b>790</b>	<b>100.00%</b>	<b>\$ 6,908,129.60</b>

## OTHER ACTIONS

This section describes actions by the Texas Department of Rural Affairs (TDRA) and Texas Department of Housing and Community Affairs (TDHCA) to address the following: Obstacles to Meeting Underserved Needs and Developing Affordable Housing, Public Housing Resident Initiatives, Lead-Based Paint Hazards, Poverty-Level Households, Compliance, Gaps in Institutional Structure, Enhancing Coordination and Furthering Fair Housing. Department of State Health Services has reported on these topics in Part II.

## MEETING UNDERSERVED NEEDS AND DEVELOPING AFFORDABLE HOUSING

The Departments have identified various obstacles that may affect the ability to meet underserved needs in Texas. They include the lack of affordable housing, lack of organization capacity, lack of organizational outreach, local opposition to affordable housing, regulatory barriers to affordable housing, and area income characteristics (particularly in rural areas). The Departments take actions to mitigate these obstacles such as effectively using existing resources to administer programs, providing information resources to individuals and local areas, and coordinating resources. The following paragraphs outline specific actions taken by the program areas to meet underserved needs and develop affordable housing.

### **CDBG**

Texas CDBG encourages affordable housing projects using several methods in the allocation of CDBG funds to the eligible communities that can participate in its programs, including favorable state scoring and regional prerogative to prioritize funding for housing infrastructure and rehabilitation. Each region is encouraged to set aside a percentage of the regional allocation for housing improvement projects, and housing applications are scored as high priority projects at the state level.

Currently, the primary method of promoting and supporting affordable housing is by providing the water and wastewater infrastructure for residential housing. The CDBG funding provides a cost savings for housing when CDBG funds are used to provide first-time water and wastewater services by installing water and sewer yardlines and paying impact and connection fees for qualifying residents. During PY 2010 CDBG funded 33 contracts through four different grant programs to provide water or sewer services on private property, obligating contracts totaling \$11,137,000 of which \$4,493,048 will be directly used for work on private property, including installing water and sewer yardlines and on-line sewer facilities, to benefit 3,631 low to moderate income persons. Private property improvements installed to benefit persons that are not low to moderate income may be included in the project but must be funded through local or other private funds.

CDBG funding also helps cities and counties study affordable housing conditions. The plans produced through a CDBG planning contracts provide both valuable data concerning a city's or county's affordable housing stock and planning tools for expanding their affordable housing. In PY 2010, CDBG funded 26 Planning/Capacity Building grants throughout the state, obligating \$972,513 to benefit 45,532 persons, of which 27,480 are low to moderate income.

## *Other Actions*

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### *Underserved Needs*

The most commonly cited obstacle to meeting the underserved community development needs of Texas cities (aside from inadequate funding) is the limited administrative capacity of the small rural towns and counties the CDBG program serves. Rural areas may also have difficulty finding interested contractors who have the financial stability to wait a minimum of two weeks for payment after the work is complete. Contractors can earn more working in metropolitan areas with larger projects and without the location costs required to transport materials and equipment to rural communities. Texas CDBG staff offers technical assistance to communities to promote successful CDBG projects.

Another obstacle to meeting underserved needs applies to colonias projects. There have been cases when a county applies to provide water service to an area, but more than one water supply corporation or city may have a Certificate of Convenience and Necessity (CCN) in that territory (CCNs have been issued which have overlapping territories). In these cases, a dispute over which water supply corporation/city has the right to serve the territory (and therefore collect the revenues) may arise. A public hearing process may be necessary to resolve this issue, which can then delay projects for months. Texas CDBG works with regulatory agencies as appropriate to resolve issues in project areas in a timely manner.

The physical size and the diversity of the State of Texas can present challenges to understanding and meeting underserved needs in local communities. The TDRA Field Offices have been established to better serve these communities by providing technical assistance and support in Bedia, Bishop, Uvalde, Levelland, La Grange, Kountze, Nacogdoches, and Trent. The Colonia Self-Help Centers continue to address affordable housing needs in border counties by assisting qualifying colonia residents to finance, refinance, construct, improve or maintain a safe, suitable home in suitable areas.

### ***HOME and ESGP***

The HOME Program provides grant funds, deferred forgivable loans, and repayable loans to units of local government, nonprofit and for-profit organizations, community housing development organizations (CHDOs), and public housing authorities (PHAs). These funds are primarily used to foster and maintain affordable housing by providing rental assistance, rehabilitation, or reconstruction of owner-occupied housing units, down payment and closing cost assistance for the acquisition of affordable single family housing, and funding for rental housing development preservation of existing affordable or subsidized rental housing.

HOME funds may also be used in conjunction with the Housing Tax Credit Program to construct or rehabilitate affordable multifamily housing.

Regarding ESGP, while TDHCA encourages the use of ESGP funds to provide affordable transitional housing, the majority of funds are utilized to provide emergency shelter. These funds meet the needs of local homeless populations.



## PUBLIC HOUSING RESIDENT INITIATIVES

The future success of PHAs will center on ingenuity in program design, emphasis on resident participation towards economic self-sufficiency, and partnerships with other organizations to address the needs of this population. While the Departments do not have any direct or indirect jurisdiction over the management or operations of PHAs, it is important to maintain relationships with these service providers.

### **CDBG**

Texas CDBG serves public housing areas through various funding categories as residents of PHAs qualify as low- to moderate-income beneficiaries for CDBG projects.

### **HOME and ESGP**

TDHCA publishes all Notices of Funding Availability on its website and sends notification of funding availability statewide through the Department's email subscriber lists. Because PHAs are eligible applicants under the HOME Program, application processes related to homebuyer assistance and tenant based rental assistance are discussed in detail at application workshops. As PHAs have received homebuyer assistance and tenant-based rental assistance funds, information is provided to enable them to transition families toward homeownership or provide additional households with rental assistance and services to increase self-sufficiency.

Finally, PHAs, including those receiving HOME funds and those with Section 8 Homeownership programs, are targeted by TDHCA's Texas Statewide Homebuyer Education Program for training to provide homebuyer education opportunities and self-sufficiency tools for PHA residents.

PHA residents are eligible to receive assistance and services from ESGP grantees.

In addition to HOME and ESGP activities related to PHAs, TDHCA performs certifications of consistency. TDHCA, as required by 24 §903.15, continues a certification process to ensure that the annual plans submitted by PHAs in an area without a local Consolidated Plan are consistent with the State of Texas's Consolidated Plan. For the PY 2010 reporting period, February 1, 2010, through January 31, 2011, TDHCA processed and approved 124 PHA certifications of consistency in a fair and impartial manner.

## LEAD-BASED PAINT HAZARDS

The health risks posed by lead-based paint to young children are the most significant health issue facing the housing industry today. According to the EPA's Report on the National Survey of Lead Based Paint in Housing (April 1995), 64 million homes have conditions that are likely to expose families to unsafe levels of lead. These homes are disproportionately older housing stock typical to low income neighborhoods, and the potential for exposure increases as homeowners and landlords defer maintenance. This older housing stock is the target of rehabilitation efforts and is often the desired "starter home" of a family buying their first home.

The 1992 Housing and Community Development Act included Title X, a statute that represents a major change to existing lead-based paint regulations. However, HUD's final regulations for Title X (24 CFR Part 105) were not published until September 15, 1999 and became effective September 15, 2000. Title X calls for a three pronged approach to target conditions that pose a hazard to households: 1) Notification of occupants about the existence of hazards so they can take proper precautions, 2) Identifications of lead-based paint hazards before a child can be poisoned and, 3) control of these lead-based paint hazards in order to limit exposure to residents. Title X mandated that HUD issue "The Guidelines for the Evaluation and Control of Lead-Based Paint Hazards in Housing" (1995) to outline risk assessments, interim controls, and abatement of lead-based paint hazards in housing. Section 1018 required EPA and HUD to promulgate rules for disclosure of any known lead-based paint or hazards in target housing offered for sale or lease. These rules came into effect on March 6, 1996 in 40 CFR Part 745/24 CFR Part 35.<sup>2</sup>

While TDHCA monitors its properties for compliance with these regulations, at the state level, the Texas Department of State Health Services (DSHS) has been charged with oversight of the Texas Environmental Lead Reduction Rules (TELRR). These rules cover areas of lead-based paint activities in target housing (housing constructed prior to 1978) and child-occupied facilities, including the training and certification of persons conducting lead inspections, risk assessments, abatements, and project design. For all projects receiving over \$25,000 in federal assistance, contractors need to follow inspections and abatements standards overseen by DSHS. By following these standards, the state is increasing the access to housing without lead-based paint hazards. The adherence to inspection and abatement standards is related to the extent of lead-based paint in that a majority of the housing in need of rehabilitation is likely housing built before 1978.

### **CDBG**

In accordance with CDBG state regulations and the Lead-Based Paint Poisoning Prevention Act, CDBG has adopted a policy to eliminate as far as practicable the hazards of lead poisoning due to the presence of lead-based paint in any existing housing assisted under the CDBG. In addition, this policy prohibits the use of lead-based paint in residential structures constructed or rehabilitated with federal assistance. Abatement procedures should be included in the housing rehabilitation contract guidelines for each project and must appear in the approved work write-up documentation for all homes built prior to 1978 that will be rehabilitated, as outlined in the TxCDBG Project Implementation Manual.

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<sup>2</sup>Texas Department of State Health Services

**HOME and ESGP**

The HOME Program increases the awareness of the hazards of lead-based paint by requiring screening for TBRA, homebuyer assistance and homeowner rehabilitation. Furthermore, single-family and multifamily development activities in HOME increase the access to lead-based-paint-free housing because they create new housing.

The HOME Program requires lead screening in housing built before 1978 for all HOME-eligible activities. Rehabilitation activities fall into three categories: 1) Requirements for federal assistance up to and including \$5,000 per unit; 2) Requirements for federal assistance from \$5,000 per unit up to and including \$25,000 per unit; and 3) Requirements for federal assistance over \$25,000 per unit.

Requirements for federal assistance up to and including \$5,000 per unit are: distribution of the pamphlet “Protect Your Family from Lead in Your Home” is required prior to renovation activities; notification within 15 days of lead hazard evaluation, reduction, and clearance must be provided; receipts for notification must be maintained in the administrator file; paint testing must be conducted to identify lead based paint on painted surfaces that will be disturbed or replaced or administrators may assume that lead based paint exist; administrators must repair all painted surfaces that will be disturbed during rehabilitation; if lead based paint is assumed or detected, safe work practices must be followed; and clearance is required only for the work area.

Requirements for federal assistance from \$5,000 per unit up to and including \$25,000 per unit include all the requirements for federal assistance up to and including \$5,000 per unit and the following: a risk assessment must be conducted prior to rehabilitation to identify hazards in assisted units, in common areas that serve those units and exterior surfaces or administrators can assume lead based paint exist and; clearance is required for the completed unit, common areas which serve the units, and exterior surfaces where the hazard reduction took place.

Requirements for federal assistance over \$25,000 per unit included all the requirements for federal assistance from \$5,000 per unit up to and including \$25,000 per unit and the following: if during the required evaluations lead-based paint hazards are detected on interior surfaces of assisted units, on the common areas that serve those units or on exterior surfaces including soils, then abatement must be completed to permanently remove those hazards; and if lead based paint is detected during the risk assessment on exterior surfaces that are not disturbed by rehabilitation then interim controls may be completed instead of abatement.

For ESGP, TDHCA requires subrecipients to evaluate and reduce lead-based paint hazards for conversion, renovation, or rehabilitation projects funded with ESGP funds, and tracks work in these efforts as required by Chapter 58 of the Environmental Protection Act. During the annual contract implementation training, TDHCA provides ESGP subrecipients with Department requirements and information related to lead-based paint regulations. The Department will require ESGP funded subrecipients to determine if a housing unit was built prior to 1978, for households seeking ESGP funded rent or rent deposit assistance whose household has a family member(s) 6 year of age or younger. If the housing unit is built prior to 1978, the ESGP subrecipient will notify the household of the hazards of lead-based paint.

## *Other Actions*

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### *Lead-Based Paint*

ESGP subrecipients utilizing ESGP funds for renovation, rehabilitation or conversion must comply with the Lead Based Paint Poisoning and Prevention Act and the Residential Lead-Based Paint Hazard Reduction Act of 1992. Through renovation, rehabilitation or conversion, ESGP increases access to shelter without lead-based paint hazards.

## POVERTY-LEVEL HOUSEHOLDS

According to the American Community Survey for 2005 to 2009, Texas had a poverty rate of 16.8 percent during this time period compared to the national poverty rate of 13.5 percent. The federal government defined the poverty threshold in 2010 is \$22,050 for a family of four. Poverty can be self-perpetuating, creating barriers to education, employment, health, and financial stability.

TDRA, TDHCA, and DSHS have an important role in addressing Texas poverty. These agencies seek to reduce the number of Texans living in poverty, thereby providing a better future for all Texans. This means trying to provide long-term solutions to the problems facing people in poverty and targeting resources to those with the greatest need.

### **CDBG**

A substantial majority of Texas CDBG funds are obligated to cities and counties under the funding competitions meeting the national objective to “principally benefit low and moderate income persons”. Texas CDBG encourages the funding of communities with a high percentage of persons in poverty through its application scoring. The CDBG projects funded under this national objective are required to serve 51% low- to moderate-income persons. In addition, the CDBG allocation formula used to distribute Community Development funds among regions includes a variable for poverty in its community distress scoring. The percentage of persons in poverty for each region is factored into the allocation formula in order to target funding toward the greatest need.

In PY 2010, CDBG awarded 302 contracts under the National Objective of benefiting primarily low- to moderate-income persons. The \$83,145,701 in funds obligated for this National Objective in PY 2010 benefits 422,773 persons, of whom 240,700 are low- to moderate-income persons.

The CDBG economic development funds can be instrumental in creating infrastructure and jobs. By creating and retaining jobs through assistance to businesses and then providing lower income people access to these jobs, CDBG can be a very effective anti-poverty tool. This potential can be further maximized by providing jobs that offer workplace training and education, fringe benefits, opportunities for promotion, and services such as child care. In addition, programs that improve infrastructure affords the opportunity to upgrade existing substandard housing (such as in the colonias) and build new affordable housing where none could exist before.

In accordance with Section 3 of the Housing and Urban Development Act of 1968 as amended, Grant Recipients using CDBG funding for housing or other public construction are required, to the greatest extent feasible, to provide training and employment opportunities to lower income residents and contracting opportunities to businesses in the project area when those opportunities are “triggered” by HUD funding. CDBG provides Technical Assistance and program guidance on methods to be employed to attain Section 3 goals and monitors closely the results of those efforts. During PY 2010, CDBG enhanced the oversight and reporting of Section 3 requirements, with reporting now required both on an annual basis as well as when construction and non-construction contracts are executed.

**HOME and ESGP**

Through the HOME Tenant-Based Rental Assistance Program, TDHCA assists households with rental subsidy and security and utility deposit assistance for a period not to exceed twenty four months. As a condition to receiving rental assistance, households must participate in a self-sufficiency program, which can include job training, GED classes, or drug dependency classes. The HOME Program enables households to receive rental assistance while participating in programs that will enable them to improve employment options and increase their economic independence and self-sufficiency.

The ESGP Program funds activities that provide shelter and essential services for homeless persons, as well as intervention services for persons threatened with homelessness. Essential services for homeless persons include medical and psychological counseling, employment counseling, substance abuse treatment, transportation, and other services. In PY 2010, ESGP committed \$1,101,887 for essential services for homeless persons. These services are intended to help homeless individuals and those with poverty-level incomes improve their conditions and achieve self-sufficiency.

For individuals threatened with homelessness, homelessness prevention funds can be used for short-term subsidies to defray rent and utility arrearages for households receiving late notices, security deposits, and payments to prevent foreclosure. In PY 2010, ESGP committed \$2,957,667 for homelessness prevention activities. These services are intended to assist very low income households and those with poverty-level incomes avoid becoming homeless.

## COMPLIANCE

TDRA and TDHCA ensure compliance with program and comprehensive planning requirements through various compliance measures.

### **CDBG**

The monitoring function of TDRA has four components: project implementation, contract management, audit, and monitoring compliance.

**Project Implementation:** Prior to the award of funds, each community is evaluated for compliance in prior contracts. The application scoring process at the state level includes a scoring factor for past performance on CDBG contracts. In addition, once a funding recommendation has been made the contract is routed through the Program Development, Operations, Legal, and Fiscal Operations Departments to verify that no outstanding issues in previously awarded contracts prevent the contract execution for the recommended award.

**Contract Management:** All open CDBG projects are assigned to a specific Regional Coordinator who is responsible for contract compliance and project management. All projects have formal contracts that include all federal and state requirements. Regional Coordinators monitor progress and compliance through formal reporting procedures. Program Specialists for Labor Standards and Environmental compliance also exist under the Project Management function. Additionally, all reimbursement requests require complete supporting documentation before payment is made.

**Audit:** The audit function is authorized by OMB A-133, which requires that governmental units and nonprofit organizations spending more than \$500,000 in either federal or state funds during their fiscal years ending after December 31, 2003, submit a copy of a Single Audit to the Agency. A Single Audit is required for desk review by TDRA regardless of whether there are findings noted in the audit pertaining to CDBG funds, since it is an additional monitoring tool used to evaluate the fiscal performance of grantees.

**Monitoring Compliance:** The on-site programmatic reviews are conducted on every CDBG contract prior to close-out to ensure the contractual obligations of each grant are met. The projects are considered available for review when 75 percent of the contracted funds have been drawn down, and for construction projects, when construction has been substantially completed. Interim monitoring reviews may be conducted as necessary. The areas reviewed include procurement procedures paid with CDBG funds or with match dollars, accounting records including copies of cancelled checks, bank statements and general ledgers (source documentation is reviewed at the time of draw requests), equipment purchases and/or procurement for small purchases, on-site review of environmental records, review of any applicable construction contracts, file review of any applicable client files for rehabilitation services, review of labor standards and/or a review of local files if internal staff used for construction projects, and a review of documentation on hand pertaining to fair housing and civil rights policies.

In addition to the formal monitoring function described above, the staff of the Compliance unit communicates with the staff of the Project Management unit as needed to evaluate issues throughout the contract implementation phase of CDBG contracts in order to identify and possibly resolve contract issues prior to the monitoring phase of the project.

## **HOME and ESGP**

TDHCA has established oversight and monitoring procedures within the Compliance and Asset Oversight and Community Affairs divisions to ensure that activities are completed and funds are expended in accordance with contract provisions and applicable state and federal rules, regulations, policies, and related statutes. TDHCA's monitoring efforts are guided by both its responsibilities under the HOME and ESG programs and its affordable housing goals for the State of Texas. These monitoring efforts include the following:

- Identifying and tracking program and project results
- Identifying technical assistance needs of subrecipients
- Ensuring timely expenditure of funds
- Documenting compliance with program rules
- Preventing fraud and abuse
- Identifying innovative tools and techniques that support affordable housing goals
- Ensuring quality workmanship in funded projects
- Long-term compliance

### **Identifying and Tracking Program and Project Results**

HOME contract and project activities are tracked through the TDHCA Contract Database (CDB) system, including pending projects, funds drawn, and funds disbursed through the internet-based system, HUD's IDIS, and other reports generated as needed. The CDB provides information necessary to track the success of the program and identify process improvements and administrator training needs. IDIS tracks HOME Program data such as commitment and disbursement activities, the number of units developed, the number of families assisted, the ongoing expenditures of HOME funds, and beneficiary information.

Other resources utilized by TDHCA to track project results include asset management and a loan servicing division. If either of these areas identifies problems, steps are taken to resolve the issue, including project workouts and oversight of reserve accounts.

ESGP project and contract activities are tracked through TDHCA's website, which maintains an Oracle-based reports system. This system maintains funds drawn, funds expended, performance data, and other reports as needed. ESGP data such as commitment and disbursement activities, number of persons assisted, ongoing expenditures, and program activities are also tracked through HUD's IDIS.

### **Identifying Technical Assistance Needs of Subrecipients**

Identification of technical assistance needs for HOME and ESGP subrecipients is performed through analysis of administrator management practices, analysis of sources used by TDHCA to track technical assistance such as information captured in the Housing Contract System and division's database, review of documentation submitted, desk reviews based on the requirements identified in the Compliance



Supplement and State Affordable Housing Program requirements, project completion progress, results of on-site audits and monitoring visits, and desk reviews conducted by Department staff.

### **Ensuring Timely Expenditure of Funds**

TDHCA ensures adequate progress is made toward committing and expending HOME and ESGP funds. Regular review of internal reports and data from IDIS is performed to assess progress of fund commitment and to ensure that all funds are committed by the expiration date of 24 months from the last day of the month in which HUD and TDHCA enter into an agreement. Performance deadlines for spending and matching funds are reviewed on a quarterly basis to track expenditure totals. HOME set-aside requirements are also tracked.

### **Documenting Compliance with Program Rules**

Compliance with program rules is documented through contract administration and formal monitoring processes. Staff documents compliance issues as part of their ongoing contract management reviews and notifies administrators of any noncompliance and required corrective action.

Contract monitoring is on-going throughout the contract period and/or the construction period. Monitoring reviews are scheduled and planned based on risk. Areas tested include specific program requirements, such as eligibility and program match. Federal cross-cutting requirements, such as financial, procurement, environmental, labor and fair housing are also included in the monitoring scope.

On-site monitoring reviews are conducted every 1 to 3 years as determined by federal requirements. HOME rental developments may be monitored more frequently if a development continues to have uncorrected noncompliance, change in ownership, or any other risk factors determined by the Division. An on-site monitoring file review consists of reviewing 20% percent of the HOME units or a minimum of 5 units. During a file review staff confirms resident eligibility, rent restrictions, income recertification requirements and that lease agreements contain required tenant protections. In addition, the development's resident selection criteria, program forms (including the availability of forms in second language), waiting list, affirmative marketing plan and utility allowance documentation is reviewed to ensure compliance with the program. Technical assistance is provided to on-site staff during the review. A Uniform Physical Conditions Standards (UPCS) inspection of the development, buildings, and units is also completed. The UPCS inspection is typically conducted one to three months after the onsite file review. UPCS inspections are conducted by Department staff or by outside inspectors contracted by the Department. All on-site monitoring reviews are completed in accordance with the requirements of the HOME Program and Department policies and procedures, as described in the Financing/Loan Agreements, Deed Restrictions, and Regulatory and Land Use Restriction Agreements.

TDHCA has developed a set of standards for HOME administrators to follow to ensure that subcontractors and lower-tiered organizations entering into contractual agreements with administrators perform activities in accordance with contract provisions and applicable state and federal rules, regulations, policies, and related statutes.

TDHCA has taken a proactive approach to ensure HOME administrators with any uncorrected noncompliance are not eligible to receive any additional funding. The Department maintains a database to document an administrator's compliance history with rental housing developments. Prior to the award of

## *Other Actions*

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### *Compliance*

any new funding the Compliance and Asset Oversight Division conducts a previous participation review to determine if an applicant has control of an existing HOME development with any uncorrected noncompliance. If any issues are identified during this review, the HOME administrator is notified in writing and provided a 5 day period to submit all necessary corrective action to correct noncompliance. If the HOME administrator does not correct noncompliance, the application for funding will be terminated. TDHCA adopted this rule which is outlined in further detail in 10 TAC, Chapter 60, Subchapter A, §60.124. The compliance history is considered by TDHCA's Board prior to finalizing awards.

### **Preventing Fraud and Abuse**

TDHCA monitors for potential fraud and mismanagement of funds through the assistance of written agreements with HOME administrators and review of supporting documentation throughout the HOME contract period to ensure that activities are eligible, through information gathered from outside sources and Department staff, and through onsite monitoring visits of HOME and ESGP subrecipients. If fraud or mismanagement of funds is found, sanctions are enforced and disallowed costs are refunded to TDHCA. Also, if fraud or mismanagement of funds is suspected, TDHCA will make referrals and work closely with HUD, the State Auditor's Office, the Inspector General, the Internal Revenue Service, and local law enforcement agencies as applicable.

### **Identifying Innovative Tools and Techniques that Support Affordable Housing Goals**

Staff identifies innovative tools and techniques to support affordable housing goals by attending trainings and conferences, maintaining contact with other state affordable housing agencies, and through the HUD internet listserv and HUD website.

### **Ensuring Quality in Funded Projects**

Ensuring the administrator provides the committed product, amenities, and compliance with accessibility is a Departmental priority. Division staff monitors for the quality of workmanship in HOME-funded projects through the inspection process. TDHCA staff, in conjunction with Manufactured Housing Inspectors, conducts inspections to substantiate compliance with program standards and application commitments and representations. Deficiencies and concerns are identified at pre-construction plan review, mid construction and final construction inspections. Construction inspections examine a sample of units based on size of the development, unit type and related risk factors. If any deficiencies or concerns are identified during these inspections, the HOME administrator is notified in writing and provided a corrective action period. In addition, technical assistance is available and provided during the entire construction process. All identified deficiencies require correction prior to retainage release and final inspection clearance for all HOME rental developments.

TDHCA staff is trained in the design standards and technical requirements of Section 504, Rehabilitation Act of 1973, Fair Housing Act, and Model Construction Codes including Energy Efficiency Standards. The tools and training provided to field inspection staff include comprehensive inspection checklist, annual training class and one-on-one training in the field to ensure accuracy and consistency. TDHCA is committed to ensuring all inspectors are trained thoroughly on the Division's procedures, expectations, and accessibility requirements.

## **Long-Term Compliance**

The Compliance and Asset Oversight Division (CAO) is responsible for long term monitoring of HOME rental developments. Long-term monitoring begins at the commencement of leasing. CAO monitors performance by conducting desk reviews and performing on-site monitoring and on-site physical inspections reviews. Desk reviews are required to be submitted electronically through the Department's web-based Compliance Monitoring and Tracking System (CMTS) throughout the affordability period. All HOME rental developments are required to submit electronic quarterly desk reports during the initial lease up phase. Once a development has achieved 100% occupancy and is in compliance with all program rules and regulations, the development's reporting schedule is changed to an annual basis. All HOME rental developments are required to submit an electronic annual desk report and an Annual Owner's Compliance Report (AOCR) March 1 of each year.

At the commencement of leasing all HOME rental developments are scheduled for an on-site monitoring review. HOME developments are monitored throughout the affordability period. An on-site monitoring review consists of reviewing 20% percent, or 5 minimum, resident files to ensure compliance with income and rent restrictions and all other federal requirements. In addition, a physical inspection of the development, buildings, and units is completed. In 2007, the Division adopted HUD's Uniform Physical Condition Standards (UPCS) to ensure all rental developments are decent, safe, sanitary, in good repair and suitable for occupancy. The UPCS inspections are completed by Department Contractor, or Inspection staff. The physical inspection is not limited to health and safety issues, but also includes an on-going limited accessibility inspection with the construction requirements of Section 504, Rehabilitation Act of 1973, and Fair Housing.

All on-site monitoring reviews are completed in accordance with the requirements of the HOME Program and Department policies and procedures, as described in the Financing/Loan Agreements, Deed Restrictions, and Regulatory and Land Use Restriction Agreement. If a HOME rental development fails to comply with requirements as listed above, the Department has implemented enforcement procedures and administrative penalties described in 10 TAC, Compliance Rules, Subchapters A and C.

## **Risk Management**

HOME contracts are monitored based on a risk assessment model that is updated on an annual basis or more frequently if required. Some of the elements of the Risk Assessment Model may include the type of activity, existence of a construction component, Davis-Bacon requirements, results of previous on-site visits, status of the most recent monitoring report, amount funded, previous administrator experience, entity type, and Single Audit status. In addition to the results of the risk assessment survey, referrals from division staff are considered when determining in depth monitoring reviews or required technical assistance. An emphasis is placed on monitoring of contracts within the current draw period and contracts with projects in the affordability period as defined by HUD.

If complaints are received by TDHCA, they are considered a risk management element and will be reviewed in detail. Supplemental monitoring activities will be performed to ensure program compliance and detection of possible fraud or mismanagement.

The Risk Assessment Model is also implemented for ESGP modified for the ESG program. Some of the elements of the Risk Assessment Model include the following: length of time since last on-site visit,

## *Other Actions*

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### *Compliance*

results of last on-site visit, status of most recent monitoring report, timeliness of grant reporting, total amount funded during assessment period, total amount funded for all TDHCA contracts during assessment period, number of TDHCA contracts funded during assessment period, and Single Audit Status. In addition to the results of the risk assessment survey consideration is also given to recommendations made from other TDHCA divisions regarding performance with other TDHCA funded programs. Based on this assessment, higher ranking ESGP subrecipients are prioritized for monitoring. This occurs on an annual basis.

### **Sanctions**

Based on the results of ongoing HOME monitoring, sanctions are imposed for noncompliance issues based on the severity of noncompliance, which may include delays in project set-ups, draw request processing, questioned/disallowed costs, suspension of the contract, or contract termination. When necessary, the Executive Director executes a referral to the State Auditor's Office for investigation of fraud as required by Section 321.022(a) of the Texas Government Code. Sanctions imposed affect future application requests and scoring. In addition, if fraud or mismanagement of funds is suspected, TDHCA will make referrals and work closely with HUD, the State Auditor's Office, the Inspector General, and local law enforcement agencies as applicable.

The results of ongoing ESGP monitoring will also determine if sanctions are imposed for noncompliance issues. Sanctions range from the use of the cost reimbursement method of payment, deobligation of funds, suspension of funds, and termination of the contract. TDHCA's legal staff is notified and referrals are made to the Attorney General's Office. Sanctions imposed affect the future consideration of ESGP applications for funding.

## INSTITUTIONAL STRUCTURE

Understanding that no single entity will be able to address the enormous needs of the State of Texas, TDRA, TDHCA, and DSHS support the formation of partnerships in the provision of housing, housing-related, and community development endeavors. This is especially important considering that the limited amount of financial resources available for affordable housing, community service, and community development activities can be a major obstacle for a single agency to try to address the needs of the state, partnering with other organizations, as well as fund layering and leveraging, helps to stretch those funds that are available.

TDRA, TDHCA, and DSHS are primarily pass-through funding agencies and distribute federal funds to local entities that in turn provide assistance to households. Because of this, the agencies work with many housing and community development partners, including consumer groups, community based organizations, neighborhood associations, community development corporations, councils of governments, community housing development organizations, community action agencies, real estate developers, social service providers, local lenders, investor-owned electric utilities, local government, nonprofits, faith-based organizations, property managers, state and local elected officials, and other state and federal agencies.

There are many benefits to these partnerships: risk and commitment are shared; the principle of reciprocity requires that local communities demonstrate an awareness of their needs and a willingness to participate actively in solving problems, therefore local communities play an active role in tailoring the project to their needs; partners are able to concentrate specifically on their area of expertise; and a greater variety of resources insure a well targeted more affordable product.

### **CDBG**

CDBG funds are awarded to non-entitlement units of general local government thereby providing these communities with financial resources to respond to its community development needs. Such may include planning; constructing community facilities, infrastructure, and housing; and implementing economic development initiatives. Each applicant to the CDBG program is required through its citizen participation process to inform local housing organizations of its intention to apply for CDBG funding through the Texas CDBG and invite their input into the project selection process. Texas CDBG continues to coordinate with the Texas Department of Housing and Community Affairs, the Texas Department of Agriculture, the Texas Water Development Board, Annual State Agency Meeting on Rural Issues, and the 24 Regional Councils of Governments to further its mission and target beneficiaries of CDBG funds through programs such as the Colonia Self-Help Centers, the Colonia Economically Distressed Areas Program, the Housing Tax Credit Program, and the Texas Capital Fund.

### **HOME and ESGP**

The HOME Program encourages partnerships in order to improve the provision of affordable housing. Organizations receiving HBA funds are required to provide homebuyer education classes to households directly, or coordinate with a local organization that will provide the education. In addition, organizations receiving TBRA funds must provide self-sufficiency services directly, or coordinate with a local organization that will provide the services.

## *Other Actions*

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### *Institutional Structure*

TDHCA welcomes collaborative applications and in the 2011 Notice of Funding Availability has included additional points for true collaborative. The Department also encourages all ESGP subgrantees to develop partnerships with service providers in their area. ESGP subgrantees are also required to participate in the local HMIS system and are awarded points if an applicant can demonstrate participation in the local Continuum of Care.

## AFFIRMATIVELY FURTHERING FAIR HOUSING

Detail on the state's progress to address the impediments identified in the Analysis of Impediments to Fair Housing Choice is included below, followed by actions taken by TDRA and TDHCA's programs included in the CAPER to affirmatively further fair housing.

### **Impediments to Fair Housing**

The following impediments were identified in the most recent State of Texas Analysis of Impediments to Fair Housing Choice from 2003. The State is in the process of updating its Analysis of Impediments to Fair Housing Choice ("AI"). This is being done in two phases, as provided for in a HUD-approved Conciliation Agreement resolving a fair housing complaint. The first phase will cover areas funded with CDBG funds appropriated and allocated to Texas for recovery from Hurricanes Ike and Dolly. Phase II will address the remainder of the state and will be undertaken once HUD has accepted the Phase One AI. To see the entire 2003 Analysis of Impediments to Fair Housing report, go online at <http://www.tdhca.state.tx.us/housing-center/docs/04-Impediments-040511.pdf>.

### **Summary of Impediment: Affordable Housing**

Texas does not have an adequate supply of affordable housing for extremely low-income Texans. Extremely low-income households are defined as earning 30 percent or less of the area median income.

#### *Progress on Impediment*

The State works with a network of federal, state, local and private affordable housing providers to increase the supply of housing for low-income Texans. The State, through federal and state funding sources, administers programs that assist with funding for the development of affordable housing, including housing that serves extremely low-income households. In addition to the federal funding that is administered by the State, the State also leverages the resources it administers with programs administered by other federal agencies that use their own funding in Texas, such as the U.S. Department of Housing and Urban Development (HUD) and the U.S. Department of Agriculture (USDA). Finally, the State works with housing finance corporations to assist them in their efforts to create new housing opportunities.

The following table shows the number of multifamily units in the state financed through state and federal sources, including TDHCA, the U.S. Department of Housing and Urban Development (HUD), public housing authorities (PHAs), Section 8 Housing Choice Vouchers and the United States Department of Agriculture (USDA). The table also includes local housing finance corporations (HFCs), a category which encompasses the Texas State Affordable Housing Corporation (TSAHC). Please note that because some developments layer funding from multiple sources, there may be double counting.

Because this is a count of subsidized units, the unit total only includes those units that have income restrictions and does not include market-rate units that may have affordable rents available in some developments. TDHCA units represent the active multifamily units as taken from TDHCA's internal Central Database. Section 8 Housing Choice Vouchers and PHA data was obtained from HUD's Housing Authority website: <https://pic.hud.gov/pic/haprofiles/haprofilelist.asp>. HUD unit data was obtained from HUD's Multifamily Assistance and Section 8 Contracts database available at



## Other Actions

### Affirmatively Furthering Fair Housing

<http://www.hud.gov/offices/hsg/mfh/exp/mfhdiscl.cfm>. The USDA subsidized units was taken from its online database at [http://rdmfhrentals.sc.egov.usda.gov/RDMFHRentals/select\\_state.jsp](http://rdmfhrentals.sc.egov.usda.gov/RDMFHRentals/select_state.jsp).

HFC data, including TSAHC data, was obtained from the Housing Finance Corporation Annual Report that HFCs are required to submit to TDHCA annually. The figure below describes the total units financed by the HFCs through June 2010 and does not specify assisted units, so these unit totals will also include market-rate units in the area. Because the majority of HFC-financed developments also receive housing tax credits from TDHCA, these units are not included in the final state total.

### Subsidized Multifamily Units, Texas 2010

Multifamily Units	State Total	Percent of State Inventory
TDHCA Units	201,167	42.3%
HUD Units	39,685	8.4%
PHA Units	63,416	13.4%
Section 8 Vouchers	155,770	32.8%
USDA Units	14,981	3.2%
HFC Units*	97,722	
<b>Total</b>	<b>475,019</b>	<b>100.0%</b>

\*Because HFC developments report total units and do not specify assisted units and that the majority of HFC-financed developments also receive housing tax credits from TDHCA, these units are not included in the final total.

Of the total 475,019 units of subsidized multifamily housing in the state, the total number of affordable units for extremely low-income households is unknown. PHA and Section 8 units charge a percentage of income for their rent payment, regardless of amount of income, making all of those units (219,186) affordable to extremely low-income households, but some of those units may be accessed by households with higher incomes. Of TDHCA's Housing Tax Credit funded units according to the TDHCA's Housing Tax Credit 2010 reporting period, approximately 34 percent of households living in those units have incomes at or below 30 percent of median family income and 70 percent of those extremely low-income households have some kind of housing assistance, including Section 8 vouchers.

In addition to the subsidized and/or assisted multifamily housing units listed above, TDHCA gave priority points under the Community Development Block Grant (CDBG) Hurricane Rita program to applicants applying to develop multifamily housing units under the state-run affordable rental program for households at or below 30 percent of median family income. To date, this program has built 83 units for those at or below 30 percent of median family income, ten percent of the total units built.



### Summary of Impediment: Funding for Affordable Housing

A large obstacle to meet the underserved housing needs in Texas is the shortage of funding sources to assist in the development of affordable housing stock.

#### *Progress on Impediment*

The State of Texas provides state funding, reflecting a commitment to the development of affordable housing and homeless services. The Housing Trust Fund Program receives several sources of funding from the State including general appropriations, multifamily bond issuance fees, loan repayments and other funds that are received and appropriated by TDHCA or the Legislature. The Housing Trust Fund serves as an important flexible funding source for a variety of programs such as veterans housing support, accessibility modifications for people with disabilities, self-help housing, single family housing, multifamily housing, capacity building and matching grants. During the 81st Legislative Session, TDHCA received a significant increase to the General Revenue appropriated to the Housing Trust Fund, nearly doubling the prior biennial appropriation, for a total of \$21.9 million for the fiscal year 2010-11 biennium. With the larger amount of allocated funds, the Housing Trust Fund developed a new program, with stakeholder support, to bring additional funds to the State. This program, called the Affordable Housing Match Program, provides funding to nonprofit organizations to earn competitive points for matching funds or meet minimum match requirements for affordable housing grants or government programs. This program was available in 2010 and 2011. An example of an eligible use includes direct match for state, federal or private grants or loans for single-family development.

During the 81st Legislative Session, the Legislature also appropriated \$20 million in general revenue funds over the biennium for the Homeless Housing and Services Program (HHSP) for the purposes of assisting regional urban areas in providing services to homeless individuals and families. Since the start of the program, these funds have assisted 26,355 persons as of January 2011.

From the federal government, TDHCA has experienced an increase in funds due to the American Recovery and Reinvestment Act of 2009 (Recovery Act) and from CDBG funds for disaster recovery received from HUD for Hurricanes Ike and Dolly. TDHCA received approximately \$1.16B in Recovery Act funds. A summary of individuals and households served as a result of receiving the funds can be found below.

#### Recovery Act Program Summary

Program	Activities	Total Funding	Expended to Date*	Percent Expended	Served to Date**
Weatherization Assistance Program	Minor home repair to increase energy efficiency, maximum \$6,500 per household. Households at or below 200% of poverty.	\$ 326,975,732	\$ 158,957,793	48.61%	29,306 households
Homelessness Prevention and Rapid Re-Housing Program	Rental asst, housing search, credit repair, deposits, moving cost assistance, & case management. Persons at or below 50% AML.	\$ 41,472,772	\$ 27,264,209	65.74%	32,182 persons
Community Services Block Grant Program	Assists existing network of Community Action Agencies with services including child care, job training, and poverty-related programs. Persons at or below 200% of poverty.	\$ 48,148,071	\$ 48,119,270	99.94%	99,325 persons

## Other Actions

### Affirmatively Furthering Fair Housing

Program	Activities	Total Funding	Expended to Date*	Percent Expended	Served to Date**
Tax Credit Assistance Program	Provides assistance for 2007, 2008 or 2009 Housing Tax Credit awarded developments. Households at or below 60% AMI.	\$ 148,354,769	\$ 127,701,169	82.03%	8,346 households
Housing Tax Credit Exchange Program	Provides assistance to 2007, 2008 or 2009 Housing Tax Credit awarded developments. Households at or below 60% AMI.	\$ 594,091,929	\$ 357,116,270	60.11%	8,015 households
Total		\$ 1,159,043,273	\$ 713,158,711	61.53%	131,507 persons
Total					45,667 households

\*This table includes updated expenditure data as of 3/11/11.

\*\*Total served data through 12/31/10 for HPRP and CSBG; 3/7/11 for WAP, 2/2/2011 for TCAP; and 12/10/2010 for HTC Ex. For TCAP and HTC Ex, households represent closed transactions.

In addition, TDHCA has received an additional \$620 million in CDBG funds for disaster recovery.

### Summary of Impediment: Organizational Capacity

A lack of local organizational capacity to oversee development and administer compliance with complex compliance-based programs, especially in rural areas of the state, is a challenge to the development of needed affordable housing.

#### *Progress on Impediment*

Capacity building continues to be a priority for TDHCA. When funding is available for housing programs, the Department outreaches to stakeholders and housing providers, including outreach in rural areas of the state, and encourages participation in application workshops and provides technical assistance as needed. In addition, the Housing Trust Fund Rural Housing Expansion Program provides funding for rural housing production. This program also conducts a capacity assessment of the organizations to determine its needs, weakness and strengths to build their capacity for affordable housing development. Technical assistance funds are also provided to assist the organizations to obtain the necessary training, equipment, software and other organizational issues as identified in the capacity assessments that will increase the efficiency and effectiveness of the organizations to deliver affordable housing in the rural areas of Texas.

### **Summary of Impediment: Knowledge of Organizational Capacity**

Many communities are not aware of public and private resources available for affordable housing development.

#### *Progress on Impediment*

TDHCA continually works with stakeholders, developers, local governments, and consultants to educate the public about its programs and funding sources. TDHCA promotes information regarding programs and available funding through its website, email announcements, and by posting in the Texas Register. The HOME Division regularly contacts cities and counties to encourage them to apply for funds. Divisions in TDHCA also provide one-on-one technical assistance to community representatives interested in applying for funds. For those interested in applying for funding, TDHCA Divisions provide application workshops throughout the state. TDHCA regularly participates in meetings, conferences, and workshops throughout Texas to educate the community about our programs. During PY 2010, TDHCA participated in or initiated more than 300 outreach activities, including trainings, application workshops, housing-related conferences, and grand openings of TDHCA-funded apartment complexes.

In establishing programs to utilize CDBG disaster recovery funds, TDHCA has worked and will continue to work with local program recipients to ensure that the programs developed and administered are widely known and that those who administer the funds have the necessary qualifications to be successful.

### **Summary of Impediment: “Not In My Backyard” (NIMBY)**

A barrier to the implementation of affordable multifamily development arises when local objection to low-income housing (often referred to as “Not In My Backyard” or “NIMBY”), arises.

#### *Progress on Impediment*

In its applications and training seminars, TDHCA encourages developers to meet with local neighborhood groups, educate neighborhoods about the affordable housing development and the benefits of affordable housing for the community, and address any neighborhood concerns.

TDHCA’s Housing Tax Credit funded programs encourage developers to work with affected groups to garner support for their competitive applications. Finally, to the extent that TDHCA is considering a request for funding where there is such opposition, TDHCA is scrupulous in ensuring that the opposition is treated in accordance with the law and that illegal discrimination is not condoned or rewarded.

### **Texas Affordable Housing Task Force Identified Impediments**

In 1997, the 75th Texas Legislature enacted SB 1852, which created the Texas Affordable Housing Task Force. The Task Force was comprised of 11 gubernatorial appointees representing the private sector industry, municipalities, code officials, public and community-based housing organization, and the general public.

The Task Force’s purpose was to evaluate and identify federal, State, and local government regulations and policies that unnecessarily increase the cost of constructing or rehabilitating housing, create barriers to affordable housing for low-income Texans, and limit the availability of affordable housing.

## *Other Actions*

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### *Affirmatively Furthering Fair Housing Progress on Impediments from TDHCA*

The majority of the findings from the Task Force did not pertain to state government but were strictly under the control of local municipalities. Many of the regulatory barriers found at the state level in other states do not exist in Texas because the State of Texas gives local governments a great amount of authority over their jurisdictions. However, TDHCA does have two identified regulatory barriers to affordable housing: environmental regulations and public notice procedures which can create public opposition.

TDHCA's required enforcement of federal environmental regulations, such as the National Environmental Policy Act, Endangered Species Act, the National Pollutant Discharge Elimination System, and the Floodplain/Wetland regulations, may increase the cost of housing. In Texas, rules to protect the environment are promulgated by the Texas Commission on Environmental Quality (TCEQ). These include rules for the installation of septic systems and for development over the Edwards Aquifer. The restrictions associated with the regulations can add to the cost of development which, in turn, may raise the cost of the housing thereby decreasing affordability.

TDHCA has a notification process for multifamily developments. Public opposition as well as support generally surfaces as a result. When a developer proposes an affordable housing development, regulations require that the developer notify local community groups and state and local officials. The required public notification process provides notice to all affected persons including those who may support or oppose particular affordable housing applications.

TDHCA's efforts to overcome regulatory barriers to affordable housing include taking steps to make it easier for developers and communities to comply with the regulations and acting as an information resource.

TDHCA mitigates the affects of its environmental and public notice regulatory barriers propagated by TDHCA. For example, TDHCA offers environmental compliance training free of charge for organizations that receive funding through TDHCA. These trainings are conducted throughout the state. Environmental staff at TDHCA provides daily technical assistance on the environmental process to all funded entities to not only ensure the regulations are met but timelines are not compromised for the various projects. In this way, TDHCA helps local communities comply with environmental rules.

As a part of the environmental process, public notices are required to be published as directed in HUD's Part 58 regulations. Although TDHCA has overseen thousands of these publications, no more than two projects within the last five years have received comments. Once received TDHCA worked with HUD to review the comments and alleviate any concerns raised based on the environmental impact. For those projects that received comments, the actual projects were delayed but all concerns were dealt with and those projects proceeded.

TDHCA also acts as an information resource to assist localities to overcome unnecessary regulatory barriers which may increase the cost of housing. TDHCA accomplishes this through several resources, including the Housing and Health Services Coordination Council, Texas Statewide Homebuyer Education Program and continuing research on defining and eliminating or reducing both state and local policy barriers.

The 81st Legislature created the Housing and Health Services Coordination Council (Council) through SB 1878. The Council's purpose is to increase the amount of service-enriched housing for seniors and people with disabilities; improve interagency understanding of housing and services and increase the number of staff in state housing and state health services agencies that are conversant in both housing and health care policies; and offer a continuum of home and community-based services that is affordable to the state and the target population. On September 1, 2010 the Council submitted the 2010-2011 Biennial Plan to the Governor and Legislative Budget Board. The 2010-2011 Biennial Plan provides policy and programmatic recommendations for meeting statutory directives and increasing service-enriched housing. The Plan can be found on the Council's webpage at: <http://www.tdhca.state.tx.us/hhsec>. In the Plan, the Council offered eleven housing policy and ten service policy recommendations for increasing and promoting production of service-enriched housing.

TDHCA funds the Texas Statewide Homebuyer Education Program (TSHEP), which provides lenders and homebuyer educators information on serving traditionally underserved populations (e.g. persons with disabilities, lower income populations). TSHEP contracts with training professionals to offer provider-certification training to nonprofit organizations including Texas Agriculture Extension Agents, units of local government, faith-based organizations, Community Housing Development Organizations, community development corporations, community-based organizations and other organizations with a proven interest in community building. The classes are conducted by NeighborWorks America. In addition, a referral service for individuals interested in taking a homebuyer education class is available through TDHCA.

TDHCA acts as an information resource for affordable housing studies and information so that the public has access to accurate information about which populations actually need affordable housing and how affordable housing may actually impact important local issues such as traffic patterns, crime, and property values. Affordable housing can allow productive members of the community to live in the same neighborhoods they serve.

### **Progress on Impediments from Sources Other than TDHCA**

#### **Impact Fees**

The Task Force identified impact and development fees as impediments to affordable housing. For Housing Tax Credit developments, impact fees were not eligible tax credit expenses. In February 2002, the Internal Revenue Service issued Revenue Ruling 2002-9 that allows impact fees as eligible tax credit expenses.

#### **Building Codes**

The Task Force suggested the adoption of a single code across Texas to reduce costs. In 2001, the Internal Revenue Service changed their monitoring regulations to require housing agencies to use local health, safety, and building codes or the Uniform Physical Conditions Standard (UPCS) to assess the physical condition of Housing Tax Credit developments. In Texas, building codes can vary from city to city and many areas do not have code enforcement at all. In 2005, to ensure a uniform inspection standard is used state wide, TDHCA adopted the UPCS for all its programs.

## *Other Actions*

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### *Affirmatively Furthering Fair Housing*

#### **Rural Median Incomes**

The Task Force identified problems related to the low median income of rural areas compared to urban areas of the state. Median incomes are used for calculating maximum rental rates, homeownership maximum purchase prices, and general programmatic eligibility, making a disincentive to develop in rural areas, where profits are limited. In order to address rural needs and make sure that rural input and concerns are adequately considered across all aspects of TDHCA's program development, design and implementation, TDHCA established the Rural Housing Workgroup in 2010. This Workgroup provides a forum for feedback to TDHCA management and staff as they develop policies, programs and rules for the federal and state programs administered by TDHCA. The Rural Housing Workgroup includes representatives from a spectrum of rural housing interests. The group includes for- and non-profit rural housing providers, rural policy advocates, farmworker housing policy advocates, legislative staff and affordable housing membership organizations.

#### **Texas Workforce Commission Civil Rights Division**

##### *Background*

The Texas Workforce Commission-Civil Rights Division (TWCCRD), formerly known as the Texas Commission on Human Rights (TCHR), which was created by the Texas Legislature in 1983, was the first state agency to receive interim substantial equivalency certification by the U.S. Department of Housing and Urban Development (HUD) in 1990 under the 1988 amendments to the Federal Fair Housing Laws. The duties, functions, and responsibilities of TCHR were transferred to TWCCRD on March 1, 2004.

TWCCRD implements the Fair Housing Assistance Program (FHAP) throughout the state of Texas, TWCCRD is directly involved in preventing and eliminating discriminatory housing practices through the enforcement of the Texas Fair Housing Act, as amended, and codified in Texas Property Code, Chapter 301. This property code prohibits discrimination in housing transactions because of race, color, religion, sex, familial status, national origin and disability.

TWCCRD also conducts education and outreach programs in an effort to increase the public's knowledge and awareness of fair housing rights and responsibilities, improve complaint processing, and reduce housing discrimination.

### **Summary of Impediments**

#### *Discrimination based on Fair Housing for those with Disabilities*

In state fiscal year 2010, 38% of cases closed statewide (includes cases closed cooperatively by HUD, TWCCRD and local fair housing agencies) were closed with no cause findings, 26.7% were successfully conciliated and 21.8% were withdrawals with settlement.

TWCCRD closed 33.8% of its cases with no cause findings, 35% were successfully conciliated and 16.3% were withdrawals with settlement. TWCCRD found cause and issued one charge during the fiscal year that was based on disability.

However, more than half (51%) of cases closed by TWCCRD and more than 48% of cases closed statewide were closed with merit resolutions. Merit resolutions are defined as cases with outcomes favorable to charging parties and/or charges with meritorious allegations. Housing merit resolutions include cause findings, successful conciliations, and withdrawals with settlement.



An analysis of the merit resolutions resolved by TWCCRD shows that 44% were based on disability. Although age is not a protected basis under the Texas Fair Housing Act, many of our Complainants who filed based on disability were elderly.

*Efforts to Alleviate Discrimination Based on Disabilities*

The merit resolution cases resolved by TWCCRD included terms that consisted of housing providers retrofitting properties to make them accessible and usable; amending their housing policies, procedures, and practices to ensure housing consumers were treated fairly; and attending fair housing training to ensure housing consumers are not harmed by discriminatory practices.

The TWCCRD will continue its enforcement efforts and will continue to reach out to housing consumers, housing providers, state and local governments, and other fair housing stakeholders. Special emphasis will be placed on prevention of housing discrimination based on race and disability and in the areas of terms and conditions, noncompliance with design and construction, refusal to rent, and reasonable accommodations.

*Specific Discrimination Based on Fair Housing for Seniors with Disabilities*

For the elderly disabled and others with disabilities, finding a housing opportunity can prove to be a difficult task filled with covert discriminatory practices by the housing provider. These covert discriminatory practices are often shadowed as concerns for the welfare and safety of elderly and/or disabled. “Although it is prohibited by the Fair Housing Act, some housing providers still require applicants to demonstrate that they are “capable of living independently,” and ask questions about their medical history as part of the application process.” (See Fact Sheet: The Illegality of “Independent Living” Requirements in Rental Housing, Assisted Living Centers and Continuing Care Retirement Communities).

The older adult population in Texas continues to grow and projections for 2000 to 2040 have some counties projected to grow well over 300%. Counties experiencing the greatest growth include Denton (872.8%), Collin (864.5%), Williamson (697.6%), and Hays (602.4%). (*Texas Demographics: Older Adults in Texas, April 2003.*)

Furthermore, it is expected that a large number of these elderly will either experience or have a loved one experience a disability at some point in their life time that may affect their housing opportunities.

TWCCRD will continue its enforcement efforts and will continue to reach out to housing consumers, housing providers, state and local governments, and other fair housing stakeholders.

*Discrimination Based on Ignorance of Fair Housing Laws*

The TWCCRD continues to see housing consumers and housing providers who are unaware of fair housing rights and responsibilities.

*Efforts to Alleviate Discrimination Based on Ignorance of Fair Housing Laws*

From 2005 to 2008, TWCCRD utilized funding from HUD to educate people living in Texas cities and counties throughout the state. Partnering with state and local governments, private housing providers, public housing providers, and other fair housing partners, TWCCRD distributed fair housing plain-English readable materials throughout the state. These materials informed Complainants about their fair housing rights and housing providers about their fair housing responsibilities. TWCCRD continues to use

## *Other Actions*

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### *Affirmatively Furthering Fair Housing*

available resources to provide education and outreach to housing consumers and housing providers by partnering with fair housing stakeholders.

### *Discrimination Based On Homeowner Insurance Industry*

During fiscal year 2010, there were no homeowner insurance complaints filed with the TWCCRD

## CDBG FAIR HOUSING ACTIVITIES

In compliance with 24 CFR Part 570, §570.487, other applicable laws and related program requirements, the state has completed the required actions to affirmatively further fair housing. The State of Texas conducts training and provides educational material to the participating units of general local government on federal and state fair housing laws and procedures, including technical assistance. The following are examples of this performance:

### **Contractor Certifications**

All applicants for the CDBG funds must certify that they will take action to affirmatively further fair housing. This certification must be signed and submitted with the initial application for funding and is also included in the contract, if awarded. This affirmatively further fair housing certification is discussed at the application workshops and is clearly noted in the application guides.

### **Civil Rights and Fair Housing Technical Assistance**

The Texas Community Development Block Grant Program has assigned a staff member to be responsible for the fair housing and civil rights requirements of the program. Staff addresses questions from the grantees and general public regarding civil rights and makes any appropriate referrals on an on-going basis. TDRA implemented a fair housing training for all staff including an overview of all related civil rights and fair housing laws, regulations and executive orders; discussion of fair housing activities that can be accomplished to comply with fair housing requirements and certifications; record keeping requirements; and the procedures to use regarding fair housing complaints.

### **Project Implementation Manual**

A copy of the TxCDBG Project Implementation Manual was made available to all new grantees to assist them in the administration of project activities and to inform them of all the applicable laws and regulations. This manual includes a chapter regarding fair housing and a chapter on equal opportunity with detailed information, forms and checklists to ensure compliance with all regulations. This manual includes clear instructions so that city and county employees are able to understand and complete the necessary forms and requirements. TxCDBG Project Implementation Manual workshops held across the state provided opportunities for grantees and administrative consultants to clarify these requirements as needed. Beginning in PY 2009, administrative consultants must have attended a TxCDBG Project Implementation Manual Workshop in order to be certified to administer TxCDBG contracts.

### **Pre-Funding Site Visits**

TDRA staff conducted pre-funding site visits to all localities that were recommended for funding under the Community Development Fund. All CDBG grantees (contractor localities) are informed that they are required to conduct at least one fair housing activity during the contract period. During this personal visit the localities are informed of the Project Implementation Manual available on the TDRA website. A list of acceptable fair housing activities, samples of Fair Housing Ordinances (also contained in the manual)



and a checklist of reporting and record keeping requirements of the CDBG program are provided in the Manual. Grantees are encouraged to pass fair housing ordinances and to update existing fair housing ordinances to include all federally protected classes. The fair housing ordinance must include a penalty clause and the locality must have the staff and the capacity to enforce the ordinance.

### **Availability of Fair Housing Posters and Brochures**

The Texas Community Development Block Grant Program distributed fair housing posters and brochures to participating cities and counties and requested that they be posted where city council meeting announcements and county commission meeting announcements are posted. In addition, copies of civil rights laws, various samples of public service announcements and fair housing ordinances, etc. are available and mailed upon request. Staff is encouraged to deliver posters to grantees to increase awareness of fair housing laws. The Texas Health and Human Rights Commission and TDRA also provide fair housing brochures and technical assistance upon request.

### **Fair Housing Expenses**

The CDBG utilizes funds from the technical assistance funding for the cost of providing fair housing technical assistance. This includes the cost of reproducing/printing fair housing brochures and memorandums; related postage; and the purchase of office supplies and materials. Additional funds were utilized on travel expenses to conferences and workshops as well as staff time.

As referenced above, Texas CDBG distributed Fair Housing Posters throughout the state. Further TxCDBG staff stamp outgoing correspondence with the phrase “TDRA SUPPORTS FAIR HOUSING, IT’S RIGHT, IT’S FAIR, IT’S FOR EVERYONE!” to increase public awareness of the fair housing law. These fair housing activities were performed throughout the year and continue this year.

### **Affirmatively Furthering Fair Housing (AFFH) Consultants and Guidance Resources**

The agency in conjunction with the delivery of the disaster recovery funds has contracted with Fair Housing consultants. These consultants provide an additional Fair Housing resource to both agency staff and jurisdictions and their consultants. In addition, the agency has added many resources on Fair Housing resources to its web page to provide further guidance. These include copies of the HUD Fair Housing Planning Guide, links to the HUD Fair Housing Equal Opportunity web page, and links to EEOC laws and guidance.

### **Monitoring of Civil Rights Requirements**

Texas CDBG administers on average between 800 and 1,000 open CDBG contracts throughout the year. Program Monitors review each contractor for civil rights requirements using a detailed checklist on civil rights and fair housing requirements. A review of the files includes, but is not limited to, the following:

- All bid documents and contracts must contain equal employment opportunity provisions including an equal opportunity plan.
- All Grant Recipients are required to publish a notice of non-discrimination in a general circulation newspaper in the affected community and complete a Section 504 self-evaluation review.
- Grant Recipients with 15 or more employees must have appointed a Section 504 coordinator, adopted grievance procedures, and notified all CDBG project participants that they must not discriminate on the basis of an individual’s disability.

## *Other Actions*

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### *Affirmatively Furthering Fair Housing*

- The Texas Community Development Block Grant Program requires that each Grant Recipient appoint a Fair Housing/Equal Opportunity Officer to be responsible for the fair housing and civil rights program requirements, and to take any possible complaints and make referrals, as necessary.
- Each Grant Recipients is monitored closely to ensure that at least one fair housing activity was completed within the contract period.
- The project completion report must include a description of the fair housing activities conducted during the contract period.
- The project completion report also contains the documentation of beneficiaries by income level, gender, race, and ethnicity.

Evidence of the civil rights and fair housing activities performed must be well-documented and available for review at the locality files. This evidence is reviewed by Program Monitors when conducting on-site monitoring visits. If documentation of these activities is not available at the time of the monitoring visit, the locality is provided with a written request for these documents and instructed to provide the evidence within 30 days. Contracts are not administratively closed until the civil rights and fair housing requirements are met.

### **Staff Outreach, Training, Conferences, and Workshops**

The Texas Health and Human Services Commission's Civil Rights Office has the responsibility to investigate claims of discrimination; to conduct new, periodic, and special compliance reviews of offices, programs and contractors; to provide training and guidance; and to take other appropriate steps to ensure that programs and services do not discriminate.

The staff members of the TDRA Field Offices attended various workshops to provide technical assistance regarding TDRA programs and fair housing issues. These staff members provide technical assistance in housing, community and economic development, and capacity building, and provide health-care related information for the rural areas. The staff members also provide limited information on TDHCA's housing programs and refer communities to the appropriate office.

In addition to TDRA Field Offices, Border Field Offices, operated by TDHCA's Office of Colonia Initiatives (OCI) and supported in part by CDBG funds, promote fair housing in border counties. The OCI staff provides one-on-one training and technical assistance on their housing and community affairs programs and services including Contract for Deed Conversion, Texas Bootstrap Loan Program, First-Time Homebuyer, and Contract for Deed Consumer Education. Furthermore, CDBG provides grants for colonia self-help centers in seven border counties. The centers provide on-site technical assistance and conduct community development activities, housing activities, public service activities, infrastructure improvements, outreach and education.

## **HOME FAIR HOUSING ACTIVITIES**

This section describes TDHCA's efforts to affirmatively further fair housing through the HOME Program.

## **Monitoring and Compliance of the Fair Housing Requirements**

TDHCA's Compliance and Asset Oversight division is responsible for on-site monitoring of all HOME rental developments. Compliance staff utilizes comprehensive checklists to review compliance with accessibility, fair housing, and affirmative marketing requirements.

- Accessibility requirements are monitored throughout the affordability period. All Rental housing developments must comply with Section 504 of the Rehabilitation Act of 1973, the Fair Housing Act and the Texas Accessibility Standards (TAS). Prior to the commencement of construction or rehabilitation, a plan review is conducted by Department staff to assess compliance with the above mentioned accessibility standards. HOME rental developments also receive a mid-construction inspection. At the completion of construction or rehabilitation, a final construction inspection is performed to ensure compliance with Section 504 and the design and construction requirements of the Fair Housing Act. If any deficiencies are noted, the owner is provided an opportunity to cure. Final retainage is not released until all deficiencies are corrected. A limited accessibility inspection is conducted with each on-site monitoring review to ensure the development's amenities and common areas continue to comply with applicable Fair Housing and Section 504 accessibility requirements.
- Fair Housing requirements are monitored by reviewing pertinent development documents. The property's written leasing criteria is reviewed to ensure objective standards are used for selecting tenants and for establishing applicant household eligibility to receive HOME assistance. Objective standards would include household income, rental history, credit history and criminal history. The criteria is also reviewed to ensure preferences do not discriminate on the basis of race, color, religion, sex, national origin, disability, or familial status.
- The Affirmative marketing plan and documentation of outreach efforts are reviewed in-depth during the on-site monitoring review. All HOME assisted properties containing 5 or more units must operate under an Affirmative Marketing Plan. The plan is reviewed to ensure it is property-specific and describes actions and marketing steps that will be utilized to provide information to attract eligible persons from all racial, ethnic, and gender groups in the housing market. The plan is required to be in writing and must specify methods for soliciting potential program applicants; such as persons least likely to apply and persons with disabilities. These groups must be identified and marketed to appropriately. Records of the Affirmative Marketing Plan is reviewed during the on-site monitoring visit to ensure the development is appropriately marketing to persons with disabilities and service agencies that serve minority groups. Owners are also encouraged to make marketing materials in Non-English languages spoken by minority groups residing in or near the community of the property.

## **Fair Housing Conferences and Workshops**

Staff members of the TDHCA Field Offices attended various workshops to provide technical assistance regarding TDHCA housing programs and fair housing issues. USDA, local community services, lenders and realtors participated in these workshops.

## *Other Actions*

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### *Affirmatively Furthering Fair Housing*

#### **Fair Housing Training**

State rules regarding developments require owners and architects to attend at least eight hours of Fair Housing training. To address capacity of applicants, the Department maintains a list of entities that provide ongoing training to ensure that training opportunities are shared with developers, architects, and TDHCA staff. Training attended by owners and architects that is offered by other entities may also satisfy this requirement. The Department also posts Fair Housing Training and Resources online.

#### **Public Education and Outreach**

To address public opposition to low-income housing development, the Department has collected independent studies that illustrate how low-income housing developments affect neighborhood property values, schools, and economy. These studies can be found on the Department's website.

#### **Efficient Use of Funds**

To address the limited availability of funding for affordable housing, the Department reviews contract performance and available fund balances to ensure the efficient use of funds. Furthermore, the Department has performance benchmarks in place that allow the Department to deobligate funds from non-performing contracts and reprogram these funds for low-income housing.

## **ESGP FAIR HOUSING ACTIVITIES**

This section describes TDHCA's efforts to affirmatively further fair housing through ESGP.

ESGP subrecipients, in providing rental assistance to homeless persons or persons who are at risk of homelessness due to a foreclosure or eviction or due to loss of utilities, ensure that owners or renters are not discriminated against. The Department's ESGP subrecipient contracts include a provision on compliance with the Fair Housing Act. Finally, the Department's monitoring of subrecipients includes a process where we review compliance with provisions of the McKinney-Vento Homeless Assistance Act, the ESGP contract, and other federal or State regulations.

## GOALS AND OBJECTIVES

This section describes those goals and objectives that pertain to the Community Development, Homeless, Housing activities. Non-Homeless Special Needs goals and objectives are included in Part II of this document.

### COMMUNITY DEVELOPMENT GOALS AND OBJECTIVES: CDBG

The following includes the reported outputs of key and non-key measures for CDBG goals as found in the TDRA Strategic Plan 2009-2013 and reported to the Legislative Budget Board for Fiscal Year 2010.

Number of new community and economic development contracts awarded.

FY 2010 Target:	335
FY 2010 Actual:	305

Number of projected beneficiaries from new contracts awarded.

FY 2010 Target:	517,000
FY 2010 Actual:	497,342

Number of programmatic monitoring visits conducted.

FY 2010 Target:	295
FY 2010 Actual:	303

Number of jobs created/retained through contracts awarded.

FY 2010 Target:	981
FY 2010 Actual:	347

Number of Single Audit reviews conducted.

FY 2010 Target:	150
FY 2010 Actual:	155

## HOMELESS AND HOUSING GOALS AND OBJECTIVES

### ESGP and HOME

The goals below, taken from the TDHCA Strategic Plan, reflect program performance during State Fiscal Year 2010 based upon measures developed with the State’s Legislative Budget Board and Governor’s Office of Budget and Planning. The goals are also based upon Riders attached to the Department’s Appropriations. State Fiscal Year 2010 covers the period September 1, 2009 to August 31, 2010. The following performance measures are distinct from the HUD Performance Indicators reported in each program section of this document, which measure performance during the 2010 Program Year, February 1, 2010 through January 31, 2011.

**GOAL 1:** TDHCA will increase and preserve the availability of safe, decent and affordable housing for very low-, low- and moderate-income persons and families.

#### Strategy 1.1

Provide mortgage financing and homebuyer assistance through the Single-Family Mortgage Revenue Bond Program

Strategy Measure	2010 Target	2010 Actual	% of Goal	2011 Target
Number of single-family households assisted through the First Time Homebuyer Program	2,000	1,739	86.95%	1,583

**Explanation of Variance:** None needed.

#### Strategy 1.2

Provide funding through the HOME Program for affordable single family housing

Strategy Measure	2010 Target	2010 Actual	% of Goal	2011 Target
Number of single-family households assisted with HOME funds	952	654	68.70%	580

**Explanation of Variance:** Due to under subscription in funding requests for the single family activities Notice of Funding Availability (NOFA), the Department has not met its annual target for this strategy. Funds have been reprogrammed to housing activities experiencing greater demand. Additionally, programmatic changes were made in order to stimulate interest to provide more flexible access to funding for single family activities.

#### Strategy 1.3

Provide funding through the HTF program for affordable single family housing

Strategy Measure	2010 Target	2010 Actual	% of Goal	2011 Target
Number of single-family households assisted through the Housing Trust Fund	344	386	112.21%	458

**Explanation of Variance:** None needed.

#### Strategy 1.4

Provide tenant-based rental assistance through Section 8 certificates

Strategy Measure	2010 Target	2010 Actual	% of Goal	2011 Target
Number of households assisted through Statewide Housing Assistance Payments Program	1,100	868	78.91%	1,050

**Explanation of Variance:** None needed.

**Strategy 1.5**

Provide federal tax credits to develop rental housing

Strategy Measure	2010 Target	2010 Actual	% of Goal	2011 Target
Number of multifamily households assisted with Housing Tax Credits	10,928	7,875	72.06%	5,436

**Explanation of Variance:** The Housing Tax Credit program activity for this measure is a combination of 4% (multifamily bond related) and 9% (competitive application cycle) rental development funding awards. The overall economy and financial market has limited private investment in the tax credit industry. The decrease in equity pricing has increased the amount of credit needed per unit, therefore reducing the number of units produced through the tax credit program.

**Strategy 1.6**

Provide funding through the HOME Program for affordable multifamily housing

Strategy Measure	2010 Target	2010 Actual	% of Goal	2011 Target
Number of multifamily households assisted with HOME funds	526	620	118%	262

\*This strategy has been removed from the performance measures requested by the Legislative Budget Board for 2012 and 2013 and not included in the FY2012-2013 LAR. Therefore, the 2011 target for Strategy 1.6 was taken from the FY2010-2011 LAR.

**Explanation of Variance:** Increased households served reflect heightened demand for the program. The Department was able to reprogram additional HOME funds, consisting primarily of funds deobligated from non-performing contracts, to meet this demand and exceed its 2010 target.

**Strategy 1.7**

Provide funding through the Housing Trust Fund for affordable multifamily housing

Strategy Measure	2010 Target	2010 Actual	% of Goal	2011 Target
Number of multifamily households assisted through the Housing Trust Fund	38	16	42.10%	23

\*This strategy has been removed from the performance measures requested by the Legislative Budget Board for 2012 and 2013 and not included in the FY2012-2013 LAR. Therefore, the 2011 target for Strategy 1.6 was taken from the FY2010-2011 LAR.

**Explanation of Variance:** The Housing Trust Fund's only multifamily program, the Rental Housing Development Fund for Unique Needs, was defunded due to the 5% General Revenue reduction that was requested for 2010-2011. The Rural Housing Expansion Program, which could serve both single and multifamily projects, provided three awards. Of the three awards, one was awarded for the purpose of constructing 16 multifamily units.

**Strategy 1.8**

Provide funding through the Mortgage Revenue Bond Program for affordable multifamily housing

Strategy Measure	2010 Target	2010 Actual	% of Goal	2011 Target
Number of households assisted through the Mortgage Revenue Bond Program	1,627	0	0.00%	500

**Explanation of Variance:** This measure is tied to the bond market which is experiencing a dramatic slowdown. Economic conditions in the equity markets have made it very difficult for developers to present financially feasible applications for private activity bonds for 2011.

**GOAL 2:** TDHCA will promote improved housing conditions for extremely low-, very low- and low-income households by providing information and technical assistance.

## Goals and Objectives

### ESGP and HOME

#### Strategy 2.1

Provide information and technical assistance to the public through the Public Affairs Division and the Housing Resource Center

Strategy Measure	2010 Target	2010 Actual	% of Goal	2011 Target
Number of information and technical assistance requests completed	5,000	5,607	112.14%	5,000

Explanation of Variance: None needed.

#### Strategy 2.2

To provide technical assistance to colonias through field offices

Strategy Measure (A)	2010 Target	2010 Actual	% of Goal	2011 Target
Number of on-site technical assistance visits conducted annually from the field offices	900	1,071	119.00%	900

Explanation of Variance: None needed.

Strategy Measure (B)	2010 Target	2010 Actual	% of Goal	2011 Target
Number of colonia residents receiving assistance	12,000	14,039	116.99%	15,000

Explanation of Variance: None needed.

Strategy Measure (C)	2010 Target	2010 Actual	% of Goal	2011 Target
Number of entities and/or individuals receiving informational resources	1,000	1,204	120.40%	1,000

Explanation of Variance: None needed.

**GOAL 3: TDHCA will improve living conditions for the poor and homeless and reduce the cost of home energy for very low-income Texans.**

#### Strategy 3.1

Administer homeless and poverty-related funds through a network of community action agencies and other local organizations so that poverty-related services are available to very low-income persons throughout the state.

Strategy Measure (A)	2010 Target	2010 Actual	% of Goal	2011 Target
Number of persons assisted through homeless and poverty related funds	531,498	908,992	171.02%	584,921

Explanation of Variance: This measure is impacted by the number of person assisted through the CSBG and ESGP. However, two new programs were funded through the American Recovery and Reinvestment Act (ARRA), the CSBG ARRA program and the Homelessness Prevention and Rapid Re-Housing Program (HPRP). Additionally, CSBG-funded organizations also received other ARRA funding which enabled them to serve many more persons and those numbers are reflected in the number of persons served through CSBG.



Strategy Measure (B)	2010 Target	2010 Actual	% of Goal	2011 Target
Number of persons assisted that achieve incomes above poverty level.	2,800	2,058	73.50%	1,200

**Explanation of Variance:** During 2010 it was more difficult to transition persons out of poverty due to the economic downturn and high levels of unemployment. Those additional persons served through all ARRA programs administered by CSBG subrecipients are reflected in the number of persons served through CSBG.

Strategy Measure (C)	2010 Target	2010 Actual	% of Goal	2011 Target
Number of shelters assisted through the Emergency Shelter Grant Program	76	75	98.68%	77

**Explanation of Variance:** None needed.

### Strategy 3.2

Administer the state energy assistance programs by providing grants to local organizations for energy related improvements to dwellings occupied by very low-income persons and for assistance to very low-income households for heating and cooling expenses and energy related emergencies.

Strategy Measure (A)	2010 Target	2010 Actual	% of Goal	2011 Target
Number of households assisted through Community Energy Assistance Program	66,050	200,956	304.25%	48,152

**Explanation of Variance:** Federal LIHEAP funding increased, allowing assistance to more households than expected. As the program year progressed, more households received cooling assistance in the warmer months.

Strategy Measure (B)	2010 Target	2010 Actual	% of Goal	2011 Target
Number of dwelling units weatherized through Weatherization Assistance Program	3,809	21,856	573.80%	19,127

**Explanation of Variance:** Figures reflect the impact of \$327 million in DOE Weatherization Assistance funds made available through the Recovery Act (ARRA). Also allowed under ARRA, the eligible income for both CEAP and WAP were temporarily increased from 125% to 200% of poverty for 2010-2012. These changes allowed assistance to more households than expected.

**GOAL 4:** TDHCA will ensure compliance with the Texas Department of Housing and Community Affairs' federal and state program mandates.

### Strategy 4.1

The Compliance and Asset Oversight Division will monitor and inspect for Federal and State housing program requirements.

Strategy Measure	2010 Target	2010 Actual	% of Goal	2011 Target
Total number of onsite reviews conducted	864	908	105.09%	858

**Explanation of Variance:** None needed.

## Goals and Objectives

### ESGP and HOME

#### Strategy 4.2

The Compliance and Asset Oversight Division will administer and monitor federal and state subrecipient contracts for programmatic and fiscal requirements.

Strategy Measure (A)	2010 Target	2010 Actual	% of Goal	2011 Target
Total number of contract monitoring reviews conducted	258	176	68.22%	208

**Explanation of Variance:** Existing contracts and new program contracts progressed slower than anticipated. Monitoring reviews will be conducted on existing contracts as they become active and expend funds.

Strategy Measure (B)	2010 Target	2010 Actual	% of Goal	2011 Target
Number of single audit reviews conducted	224	218	97.32%	194

**Explanation of Variance:** None needed.

**GOAL 5:** To protect the public by regulating the manufactured housing industry in accordance with state and federal laws.

#### Strategy 5.1

Provide titling and licensing services in a timely and efficient manner.

Strategy Measure (A)	2010 Target	2010 Actual	% of Goal	2011 Target
Number of manufactured housing statements of ownership and location issued	80,000	57,240	71.55%	65,000

**Explanation of Variance:** This measure is under the targeted amount due to the excessive number of applications which were submitted incomplete. Approximately 37% of the applications received were rejected, but will ultimately be resubmitted for issuance. A large number of these applications were rejected based on a law change that went into effect in January 2008, which required a tax statement from the tax assessor-collector stating that there are no personal property taxes due on the manufactured home that may have accrued on each January 1, that falls within 18 months before the date of sale.

Strategy Measure (B)	2010 Target	2010 Actual	% of Goal	2011 Target
TOTAL Number of licenses issued	3,100	2,703	87.19%	2,100

**Explanation of Variance:** None needed.

#### Strategy 5.2

Conduct inspections of manufactured homes in a timely manner.

Strategy Measure (A)	2010 Target	2010 Actual	% of Goal	2011 Target
Number of routine installation inspections conducted	5,000	5,703	114.06%	4,600

**Explanation of Variance:** None needed.

Strategy Measure (B)	2010 Target	2010 Actual	% of Goal	2011 Target
Number of non-routine installation inspections conducted	2,300	2,230	96.96%	2,300

**Explanation of Variance:** None needed.

**Strategy 5.3**

To process consumer complaints, conduct investigations and take administrative actions to protect the general public and consumers.

Strategy Measure	2010 Target	2010 Actual	% of Goal	2011 Target
Number of complaints resolved	850	587	69.06%	550

**Explanation of Variance:** The Department has received fewer complaints than expected, resulting in fewer complaints resolved.

Riders 5 & 6 are established in legislation, as found in the General Appropriations Act.

Rider 5 (a): TDHCA will target its housing finance programs resources for assistance to extremely low-income households.

The housing finance divisions shall adopt an annual goal to apply \$30,000,000 of the divisions' total housing funds toward housing assistance for individuals and families earning less than 30 percent of median family income.

Rider 5 (a)	2010 Target	2010 Actual	% of Goal	2011 Target
Amount of housing finance division funds applied towards housing assistance for individuals and families earning less than 30 percent of median family income	\$30,000,000	\$50,058,301	166.86%	\$30,000,000

**Explanation of Variance:** The performance is higher than expected because the Rider 5 report now captures actual incomes of households served by TDHCA and not projected income groups.

**Note:** For more information, see Rider 5 of TDHCA's Appropriations as found in HB 1 (General Appropriations Act), 81<sup>st</sup> Legislature, Regular Session.

Rider 5 (b): TDHCA will target its housing finance resources for assistance to very low-income households.

The housing finance divisions shall adopt an annual goal to apply no less than 20% of the division's total housing funds toward housing assistance for individuals and families earning between 31% and 60% of median family income.

Rider 5 (b)	2010 Target	2010 Actual	% of Goal	2011 Target
Percent of housing finance division funds applied towards housing assistance for individuals and families earning between 31% and 60% of median family income	20%	51.87%	259.35%	20%

**Explanation of Variance:** The majority of TDHCA housing programs serve households under 60% of median family income. The Rider 5 Report includes Section 8, HOME Single Family, HOME Multifamily, Housing Trust Fund Single Family, Housing Trust Fund Multifamily and Housing Tax Credit Programs.

**Note:** For more information, see Rider 5 of TDHCA's Appropriations as found in HB 1 (General Appropriations Act), 81<sup>st</sup> Legislature, Regular Session.

Rider 6: TDHCA will provide contract for deed conversions for families who reside in a colonia and earn 60 percent or less of the applicable area median family income.

## Goals and Objectives

### ESGP and HOME

Help colonia residents become property owners by converting their contracts for deed into traditional mortgages.

Strategy Measure	2010 Target	2010 Actual	% of Goal	2011 Target
Amount of TDHCA funds applied toward contract for deed conversions for colonia families earning less than 60% of median family income.	100	0	0%	100

**Explanation of Variance:** Rider 6 of the Department's appropriations act requires that the Department direct \$2,000,000 a year towards completing 100 contract for deed conversions. The decline in the number of contract for deed requests has inhibited the ability of the Department to attain the target.

**Note:** For more information, see Rider 6 of TDHCA's Appropriations as found in HB 1 (General Appropriations Act), 81<sup>st</sup> Legislature, Regular Session.

The following TDHCA-designated goal addresses the housing needs of person with special needs.

**HOME PROGRAM STATUTE REQUIREMENT:** TDHCA will work to address the housing needs and increase the availability of affordable and accessible housing for persons with special needs.

Dedicate five percent (5%) of the HOME project allocation for benefits of persons with disabilities who live in any area of this state.\*

Strategy Measure	2010 Target	2010 Actual	% of Goal	2011 Target
Amount of HOME project allocation awarded to applicants that target persons with disabilities.	\$2,179,691	\$2,445,796	112%	\$2,000,000

**Explanation of Variance:** These include funds from the Persons with Disabilities Set-Aside and HOME general funds that were used to assist households with person with disabilities. It is important to note that while funds from the set-aside may be used anywhere in the state, HOME general funds may only be utilized in non-participating jurisdictions, those communities that do not receive funds directly from HUD.

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PART II: CONSOLIDATED ANNUAL PERFORMANCE AND  
EVALUATION REPORT FOR HOPWA



**Housing Opportunities for Persons with AIDS  
(HOPWA) Program**

**Consolidated Annual Performance and  
Evaluation Report (CAPER)  
Measuring Performance Outcomes**

OMB Number 2506-0133 (Expiration Date: 12/31/2010)

The HOPWA CAPER report for formula grantees provides annual information on program accomplishments in meeting the program's performance outcome measure: maintain housing stability; improve access to care; and reduce the risk of homelessness for low-income persons and their families living with HIV/AIDS. This information is also covered under the Consolidated Plan Management Process (CPMP) report and includes Narrative Responses and Performance Charts required under the Consolidated Planning Regulations. The public reporting burden for the collection of information is estimated to average 45 hours per manual response, or less if an automated data collection and retrieval system is in use, along with 68 hours for record keeping, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Grantees are required to report on the activities undertaken only, thus there may be components of these reporting requirements that may not be applicable. This agency may not conduct or sponsor, and a person is not required to respond to a collection of information unless that collection displays a valid OMB control number.

Overview. **The Consolidated Annual Performance and Evaluation Report (CAPER) provides annual performance reporting on client outputs and outcomes that enables an assessment of grantee performance in achieving the housing stability outcome measure. The CAPER, in conjunction with the Integrated Disbursement Information System (IDIS), fulfills statutory and regulatory program reporting requirements and provides the grantee and HUD with the necessary information to assess the overall program performance and accomplishments against planned goals and objectives**

HOPWA formula grantees are required to submit a CAPER, and complete annual performance information for all activities undertaken during each program year in the IDIS, demonstrating coordination with other Consolidated Plan resources. HUD uses the CAPER and IDIS data to obtain essential information on grant activities, project sponsors, housing sites, units and households, and beneficiaries (which includes racial and ethnic data on program participants). The Consolidated Plan Management Process tool (CPMP) provides an optional tool to integrate the reporting of HOPWA specific activities with other planning and reporting on Consolidated Plan activities.

**The revisions contained within this edition are designed to accomplish the following: (1) provide for an assessment of unmet need; (2) streamline reporting sources and uses of leveraged resources; (3) differentiate client outcomes for temporary/short-term and permanent facility-based assistance; (4) clarify indicators for short-term efforts and reducing the risk of homelessness; and (5) clarify indicators for Access to Care and Support for this special needs population. In addition, grantees are requested to comply with the Federal Funding Accountability and Transparency Act 2006 (Public Law 109-282) which requires federal grant recipients to provide general information for all entities (including subrecipients) receiving \$25,000+ in federal funds.**

**Table of Contents**

**PART 1: Executive Summary**

1. Grantee Information
2. Project Sponsor Information
3. Contractor(s) or Subcontractor(s) Information
  - A. Grantee and Community Overview
  - B. Annual Performance under the Action Plan
  - C. Barriers or Trends Overview
  - D. Assessment of Unmet Housing Needs

**PART 2: Sources of Leveraging**

**PART 3: Accomplishment Data**

**PART 4: Summary of Performance Outcomes**

1. Housing Stability: Permanent Housing and Related Facilities
2. Prevention of Homelessness: Short-Term Housing Payments
3. Access to Care and Support: Housing Assistance with Supportive Services

**PART 5: Worksheet - Determining Housing Stability Outcomes**

**PART 6: Certification of Continued Use for HOPWA Facility-Based Stewardship Units (Only)**

**Continued Use Periods.** Grantees that use HOPWA funds for new construction, acquisition, or substantial rehabilitation are required to operate their facilities for ten years for HOPWA-eligible beneficiaries. For the years in which grantees do not receive and expend HOPWA funding for these activities, the grantee must submit an Annual Certification of Continued Project Operation throughout the required use periods. This certification is included in Part 5 in CAPER.

**Final Assembly of Report.** After the entire report is assembled, please number each page sequentially.

**Filing Requirements.** Within 90 days of the completion of each program year, grantees must submit their completed CAPER to the CPD Director in the grantee's State or Local HUD Field Office, and to the HOPWA Program Office: Office of HIV/AIDS Housing, Room 7212, U.S. Department of Housing and Urban Development, 451 Seventh Street, SW, Washington, D.C. 20410.

**Definitions: Facility-Based Housing Assistance:** All HOPWA housing expenditures which provide support to facilities, including community residences, SRO dwellings, short-term or transitional facilities, project-based units, master leased units, scattered site units leased by the organization, and other housing facilities approved by HUD.

**Grassroots Organization:** An organization headquartered in the local community where it provides services; has a social services budget of \$300,000 or less annually; and six or fewer full-time equivalent employees. Local affiliates of national or larger organizations are not considered "grassroots."

**Housing Assistance Total:** The non-duplicated number of households receiving housing subsidies and residing in units of facilities that were dedicated to persons living with HIV/AIDS and their families that were supported with HOPWA or leveraged funds during this operating year.

**In-kind Leveraged Resources:** These involve additional types of support provided to assist HOPWA beneficiaries such as volunteer services, materials, use of equipment and building space. The actual value of the support can be the contribution of professional services, based on customary rates for this specialized support, or actual costs contributed from other leveraged resources. In determining a rate for the contribution of volunteer time and services, use the rate established in HUD notices, such as the rate of ten dollars per hour. The value of any donated material, equipment, building, or lease should be based on the fair market value at time of donation. Related documentation can be from recent bills of sales, advertised prices, appraisals, or other information for comparable property similarly situated.

**Leveraged Funds:** The amount of funds expended during the operating year from non-HOPWA federal, state, local, and private sources by grantees or sponsors in dedicating assistance to this client population. Leveraged funds or other assistance used directly in HOPWA program delivery.

**Output:** The number of units of housing or households that receive HOPWA housing assistance during the operating year.

**Outcome:** The HOPWA assisted households who have been enabled to establish or better maintain a stable living environment in housing that is safe, decent, and sanitary, (per the regulations at 24 CFR 574.310(b)) and to reduce the risks of homelessness, and improve access to HIV treatment and other health care and support. The goal that eighty percent of HOPWA clients will maintain housing stability, avoid homelessness, and access care by 2011.

**Permanent Housing Placement:** A supportive housing service that helps establish the household in the housing unit, including reasonable costs for security deposits not to exceed two months of rental costs).

**Program Income:** Gross income directly generated from the use of HOPWA funds, including repayments. See grant administration requirements on program income for state and local governments at 24 CFR 85.25, or for non-profits at 24 CFR 84.24.

**Short-Term Rent, Mortgage and Utility Payments (STRMU):** Subsidy or payments subject to the 21-week limited time period to prevent the

homelessness of a household (e.g., HOPWA short-term rent, mortgage and utility payments).

**Stewardship Units:** Units developed, where HOPWA funds were used for acquisition, new construction and rehabilitation, but no longer receive operating subsidies. Report information for the units subject to the three-year use agreement if rehabilitation is non-substantial, and those subject to the ten-year use agreement if rehabilitation is substantial.

**Tenant-Based Rental Assistance:** (TBRA): An on-going rental housing subsidy for units leased by the client, where the amount is determined based in part on household income and rent costs. Project-based costs are considered facility-based expenditures.

**Total by Type of Housing Assistance/Services:** The non-duplicated households assisted in units by type of housing assistance dedicated to persons living with HIV/AIDS and their families or services provided that were supported with HOPWA and leveraged funds during the operating year

**Housing Opportunities for Persons with AIDS (HOPWA)  
Consolidated Annual Performance and Evaluation Report -  
Measuring Performance Outcomes**

OMB Number 2506-0133 (Expiration Date: 12/31/2010)

**Part 1: Grantee Executive Summary**

As applicable, complete the charts below followed by the submission of a written narrative to questions A through C, and the completion of Chart D. Chart 1 requests general grantee information and Chart 2 is to be completed for each organization selected or designated as a project sponsor, as defined by CFR 574.3. In Chart 3, indicate each subrecipient organization with a contract/agreement of \$25,000 or greater that assists grantees or project sponsors carrying out their activities. Agreements include: grants, subgrants, loans, awards, cooperative agreements, and other forms of financial assistance; and contracts, subcontracts, purchase orders, task orders, and delivery orders. These elements address requirements in the Federal Funding and Accountability and Transparency Act of 2006 (Public Law 109-282).

**1. Grantee Information**

<b>HUD Grant Number</b> TXH-010-F999		<b>Operating Year for this report</b> <i>From (mm/dd/yy)</i> 02/01/2010 <i>To (mm/dd/yy)</i> 01/31/2011		
<b>Grantee Name</b> The State of Texas HOPWA Formula Program – Texas Department of State Health Services				
<b>Business Address</b>		P.O. Box 149347 MC 1873		
<b>City, County, State, Zip</b>		Austin	Travis	Texas 78714-9347
<b>Employer Identification Number (EIN) or Tax Identification Number (TIN)</b>		1-32-0113643-A2		<b>Central Contractor Registration (CCR):</b> <b>Is the grantee's CCR status currently active?</b> <small>(See pg 2 of instructions)</small> <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
<b>DUN &amp; Bradstreet Number (DUNs)</b>		807391511		
<b>Congressional District of Business Address</b>		10		
<b>*Congressional District(s) of Primary Service Area(s)</b>		n/a		
<b>*Zip Code(s) of Primary Service Area(s)</b>		n/a		
<b>*City(ies) and County(ies) of Primary Service Area(s)</b>		n/a		n/a
<b>Organization's Website Address</b> www.dshs.state.tx.us		<b>Does your organization maintain a waiting list?</b> <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <b>If yes, explain in the narrative section how this list is administered.</b>		
<b>Have you prepared any evaluation reports?</b> <i>If so, please indicate the location on an Internet site (url) or attach copy.</i> n/a				

\* Service delivery area information only needed for program activities being directly carried out by the grantee



**2. Project Sponsor Information**

In Chart 2, provide the following information for each organization designated or selected to serve as a project sponsor, as defined by CFR 574.3.

<b>Project Sponsor Agency Name</b> Alamo Area Resource Center		<b>Parent Company Name, if applicable</b>		
<b>Name and Title of Contact at Project Sponsor Agency</b>		Randy Hinkle, Program Manager		
<b>Email Address</b>		<a href="mailto:randyh@aarcsa.com">randyh@aarcsa.com</a>		
<b>Business Address</b>		527 N. Leona, Bldg A, 3 <sup>rd</sup> Fl		
<b>City, County, State, Zip,</b>		San Antonio	Bexar	Texas 78207
<b>Phone Number (with area codes)</b>		(210) 358-9893		<b>Fax Number (with area code)</b> (210) 358-9953
<b>Employer Identification Number (EIN) or Tax Identification Number (TIN)</b>		74-2583211		
<b>DUN &amp; Bradstreet Number (DUNs)</b>		825117906	<b>Central Contractor Registration (CCR): Is the grantee's CCR status currently active?</b> <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	
<b>Congressional District of Business Location of Sponsor</b>		20		
<b>Congressional District(s) of Primary Service Area(s)</b>		14, 20, 23, 28		
<b>Zip Code(s) of Primary Service Area(s)</b>		78002, 78003, 78004, 78005, 78006, 78008, 78009, 78010, 78011, 78012, 78013, 78015, 78016, 78023, 78026, 78027, 78039, 78050, 78052, 78054, 78056, 78057, 78059, 78063, 78064, 78065, 78066, 78069, 78070, 78073, 78074, 78101, 78108, 78109, 78112, 78113, 78114, 78115, 78117, 78121, 78123, 78124, 78130, 78131, 78132, 78133, 78135, 78143, 78144, 78147, 78148, 78150, 78152, 78154, 78155, 78156, 78160, 78161, 78163, 78201, 78202, 78203, 78204, 78205, 78206, 78207, 78208, 78209, 78210, 78211, 78212, 78213, 78214, 78215, 78216, 78217, 78218, 78219, 78220, 78221, 78222, 78223, 78224, 78225, 78226, 78227, 78228, 78229, 78230, 78231, 78232, 78233, 78234, 78235, 78236, 78237, 78238, 78239, 78240, 78241, 78242, 78243, 78244, 78245, 78246, 78247, 78248, 78249, 78250, 78251, 78252, 78253, 78254, 78255, 78256, 78257, 78258, 78259, 78260, 78261, 78262, 78263, 78264, 78265, 78266, 78268, 78269, 78270, 78275, 78278, 78279, 78280, 78283, 78284, 78285, 78286, 78287, 78288, 78289, 78291, 78292, 78293, 78294, 78295, 78296, 78297, 78298, 78299, 78623, 78638, 78670, 78861, 78883, 78886		

<b>Project Sponsor Agency Name</b> Alamo Area Resource Center		<b>Parent Company Name, if applicable</b>			
<b>City(ies) and County(ies) of Primary Service Area(s)</b>		Atascosa, Bandera, Berghem, Bigfoot, Boerne, Campbellton, Castroville, Centerpoint, Charlotte, Christine, Comfort, Devine, Helotes, Jourdanton, Kendalia, La Coste, Leming, Lytle, Macдона, Mico, Moore, Natalia, Pipe Creek, Pleasanton, Poteet, Rio Medina, Somerset, Spring Branch, Von Ormy, Waring, Adkins, Cibolo, Converse, Elmendorf, Falls City, Floresville, Geronimo, Hobson, La Vernia, McQueeney, Marion, New Braunfels, Canyon Lake, Pandora, Panna Maria, Poth, Universal City, Saint Hedwig, Schertz, Seguin, Stockdale, Sutherland Springs, Bulverde, San Antonio, Fischer, Kingsbury, Staples, Hondo, Tarpley, Yancey	Bexar, Comal, Wilson, Guadalupe, Gillespie, Kerr, Kendall, Frio, Medina, Atascosa, Kendall, and Bandera		
<b>Total HOPWA contract amount for this Organization</b>		\$114,500			
<b>Organization's Website Address</b>  http://www.aarcsa.com/		<b>Does your organization maintain a waiting list?</b> <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No			
<b>Is the sponsor a nonprofit organization?</b> <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No  <i>Please check if yes and a faith-based organization.</i> <input type="checkbox"/> <i>Please check if yes and a grassroots organization.</i> <input checked="" type="checkbox"/>		<b>If yes, explain in the narrative section how this list is administered.</b>  Waitlisted clients are ranked on a first-come first-serve basis. Clients at the top of the list are contacted every six months in order to re-certify their need and interest in remaining on the waiting list.			

<b>Project Sponsor Agency Name</b> United Medical Centers Health Counseling Services		<b>Parent Company Name, if applicable</b>		
<b>Name and Title of Contact at Project Sponsor Agency</b>	Connie Villarreal, Program Coordinator			
<b>Email Address</b>	umhcsep@swbell.net			
<b>Business Address</b>	474 North Adams			
<b>City, County, State, Zip,</b>	Eagle Pass	Maverick	Texas	78852
<b>Phone Number (with area codes)</b>	(830) 773-9271		<b>Fax Number (with area code)</b> (830) 757-4239	
<b>Employer Identification Number (EIN) or Tax Identification Number (TIN)</b>	741993570			

<b>Project Sponsor Agency Name</b> United Medical Centers Health Counseling Services		<b>Parent Company Name, if applicable</b>	
<b>DUN &amp; Bradstreet Number (DUNS)</b>	031926009	<b>Central Contractor Registration (CCR): Is the grantee's CCR status currently active?</b> <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	
<b>Congressional District of Business Location of Sponsor</b>	District 23		
<b>Congressional District(s) of Primary Service Area(s)</b>	District 23		
<b>Zip Code(s) of Primary Service Area(s)</b>	78852, 78840, 78832, 78829, 78880, 78873, 78834, 78801, 78830		
<b>City(ies) and County(ies) of Primary Service Area(s)</b>	Eagle Pass, Del Rio, Bracketville, Batesville, Rocksprings, Leakey, Carrizo Springs, Uvalde, Big Wells	Maverick, Val Verde, Kinney, Uvalde, Zavala, Dimmitt, Edwards, Real, La Salle	
<b>Total HOPWA contract amount for this Organization</b>	\$29,350		
<b>Organization's Website Address</b> www.health.com		<b>Does your organization maintain a waiting list?</b> <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	
<b>Is the sponsor a nonprofit organization?</b> <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No  <i>Please check if yes and a faith-based organization.</i> <input checked="" type="checkbox"/> <i>Please check if yes and a grassroots organization.</i> <input checked="" type="checkbox"/>		<b>If yes, explain in the narrative section how this list is administered.</b>  Clients with children are given priority, then first come first served basis.	

<b>Project Sponsor Agency Name</b> Victoria City-County Health Department		<b>Parent Company Name, if applicable</b>	
<b>Name and Title of Contact at Project Sponsor Agency</b>	Paul M. Kelliher, Program Manager		
<b>Email Address</b>	pkelliher@vctx.org		
<b>Business Address</b>	2805 North Navarro		
<b>City, County, State, Zip,</b>	Victoria	Victoria	Texas 77901
<b>Phone Number (with area codes)</b>	361-578-6281	<b>Fax Number (with area code)</b> 361-578-7046	
<b>Employer Identification Number (EIN) or Tax Identification Number (TIN)</b>	74-6002445		
<b>DUN &amp; Bradstreet Number (DUNS)</b>	603165804	<b>Central Contractor Registration (CCR): Is the grantee's CCR status currently active?</b> <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	
<b>Congressional District of Business Location of Sponsor</b>	14		
<b>Congressional District(s) of Primary Service Area(s)</b>	14		

<b>Project Sponsor Agency Name</b> Victoria City-County Health Department		<b>Parent Company Name, if applicable</b>		
<b>Zip Code(s) of Primary Service Area(s)</b>	77901-77905			
<b>City(ies) and County(ies) of Primary Service Area(s)</b>	Victoria	Victoria County		
<b>Total HOPWA contract amount for this Organization</b>	\$80,650			
<b>Organization's Website Address</b>  www.vctx.org		<b>Does your organization maintain a waiting list?</b> <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No		
<b>Is the sponsor a nonprofit organization?</b> <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No  Please check if yes and a faith-based organization. <input type="checkbox"/> Please check if yes and a grassroots organization. <input type="checkbox"/>		<b>If yes, explain in the narrative section how this list is administered.</b> The waiting list is updated every 6 months. Clients will be prioritized according to the following criteria: <ul style="list-style-type: none"> <li>➤ Date of initial request</li> <li>➤ AIDS diagnosis</li> <li>➤ # of affected individuals in household</li> </ul> Nature of emergency (STRMU only)		

<b>Project Sponsor Agency Name</b> Community Action, Inc of Hays, Caldwell, & Blanco Counties		<b>Parent Company Name, if applicable</b>		
<b>Name and Title of Contact at Project Sponsor Agency</b>	Danny Cedillo, Coordinator of Case Management			
<b>Email Address</b>	DCedillo@communityaction.com			
<b>Business Address</b>	101 Uhland Road Suite 101			
<b>City, County, State, Zip,</b>	San Marcos	Hays	Texas	78667
<b>Phone Number (with area codes)</b>	512-392-1161		<b>Fax Number (with area code)</b> 512-396-4255	
<b>Employer Identification Number (EIN) or Tax Identification Number (TIN)</b>	74-1541726			
<b>DUN &amp; Bradstreet Number (DUNs)</b>	037318342		<b>Central Contractor Registration (CCR): Is the grantee's CCR status currently active?</b> <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	
<b>Congressional District of Business Location of Sponsor</b>	25			
<b>Congressional District(s) of Primary Service Area(s)</b>	25			

<b>Project Sponsor Agency Name</b> Community Action, Inc of Hays, Caldwell, & Blanco Counties		<b>Parent Company Name, if applicable</b>	
<b>Zip Code(s) of Primary Service Area(s)</b>	78666,78621,78644,78664, 78762		
<b>City(ies) and County(ies) of Primary Service Area(s)</b>	San Marcos, Lockhart, Burnet, Round Rock, Georgetown, Elgin, and Bastrop.	Hays, Caldwell, Blanco, Burnet, Bastrop, Williamson, Lee, Llano and Fayette.	
<b>Total HOPWA contract amount for this Organization</b>	\$37,164		
<b>Organization's Website Address</b> http://www.communityaction.com/		<b>Does your organization maintain a waiting list?</b> <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	
<b>Is the sponsor a nonprofit organization?</b> <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No  Please check if yes and a faith-based organization. <input type="checkbox"/> Please check if yes and a grassroots organization. <input type="checkbox"/>		<b>If yes, explain in the narrative section how this list is administered.</b> Community Action maintains separate waiting list for STRMU and TBRA eligible clients, as needed. Eligible clients are: HIV positive, income eligible, and have an identified housing need. The waiting list will be updated every six months or as needed. As funding becomes available families with young children and the disabled will receive priority consideration for services.	

<b>Project Sponsor Agency Name</b> Project Unity		<b>Parent Company Name, if applicable</b>	
<b>Name and Title of Contact at Project Sponsor Agency</b>	Ella McGruder, Program Director		
<b>Email Address</b>	Emcgruder@projectunity.org		
<b>Business Address</b>	3991 East 29 <sup>th</sup> Street		
<b>City, County, State, Zip,</b>	Bryan	Brazos	Texas 77802
<b>Phone Number (with area codes)</b>	979-595-2800	<b>Fax Number (with area code)</b> 979-764-8115	
<b>Employer Identification Number (EIN) or Tax Identification Number (TIN)</b>	74-2932865		
<b>DUN &amp; Bradstreet Number (DUNs)</b>	030539121	<b>Central Contractor Registration (CCR): Is the grantee's CCR status currently active?</b> <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	
<b>Congressional District of Business Location of Sponsor</b>	17		
<b>Congressional District(s) of Primary Service Area(s)</b>	17,6,10		
<b>Zip Code(s) of Primary Service Area(s)</b>	77801, 77802, 77803, 77805,77806, 77807, 77808, 77840, 77841, 77842, 77843, 77844, 77869, 77881, 77836, 77878, 77879, 77868, 77831, 75833, 75846, 77864, 77859, 77833, 77834, 77833		

<b>Project Sponsor Agency Name</b> Project Unity		<b>Parent Company Name, if applicable</b>	
<b>City(ies) and County(ies) of Primary Service Area(s)</b>	Bryan, College Station, Navasota, Wellborn, Caldwell, Snook. Buffalo, Centerville, Normangee, Madisonville, Bremond, Hearne, Brenham.	Brazos, Burleson, Grimes, Leon, Madison, Robertson and Washington Counties.	
<b>Total HOPWA contract amount for this Organization</b>	\$77,409		
<b>Organization's Website Address</b> http://www.projectunitytx.org/		<b>Does your organization maintain a waiting list?</b> <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	
<b>Is the sponsor a nonprofit organization?</b> <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No  Please check if yes and a faith-based organization. <input type="checkbox"/> Please check if yes and a grassroots organization. <input type="checkbox"/>		<b>If yes, explain in the narrative section how this list is administered.</b> Each active application folder will be assigned an ordinal ranking at any given time based on the date of application completion. When a rental assistance slot becomes available (i.e., a client moves to Housing Choice Voucher funding, leaves the geographic area, dies, or is otherwise terminated from the program) the Case Manager will review all current applicants and determine if any have a relatively more serious and immediate need for housing than the client who is number one on the list. Consideration will be given with regard to housing safety, sanitation, space requirements, client immediate health condition, and other such negative concerns. If no one has a more serious need, the applicant in the first slot will be served with available funds. The applicant next in line will move up to the first position.	

<b>Project Sponsor Agency Name</b> Shannon Supportive Health Services *Contract terminated with San Angelo AIDS Foundation March 2010 and was transferred to Shannon Supportive Health Services		<b>Parent Company Name, if applicable</b>	
<b>Name and Title of Contact at Project Sponsor Agency</b>	Vicky Howell		
<b>Email Address</b>	vickyhowell@shannonhealth.org		
<b>Business Address</b>	201 East Harris		
<b>City, County, State, Zip,</b>	San Angelo	Tom Green	Texas 76903
<b>Phone Number (with area codes)</b>	325-481-8905	<b>Fax Number (with area code)</b> 325-481-8456	
<b>Employer Identification Number (EIN) or Tax Identification Number (TIN)</b>	43-2038769		

<b>Project Sponsor Agency Name</b> Shannon Supportive Health Services *Contract terminated with San Angelo AIDS Foundation March 2010 and was transferred to Shannon Supportive Health Services		<b>Parent Company Name, if applicable</b>	
<b>DUN &amp; Bradstreet Number (DUNS)</b>	92-932-7195	<b>Central Contractor Registration (CCR): Is the grantee's CCR status currently active?</b> <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	
<b>Congressional District of Business Location of Sponsor</b>	11		
<b>Congressional District(s) of Primary Service Area(s)</b>	11		
<b>Zip Code(s) of Primary Service Area(s)</b>	76901,76936,76950,76932, 76943,76951,76933,76837, 76825,76859,76849,76856, 76941		
<b>City(ies) and County(ies) of Primary Service Area(s)</b>	San Angelo, Eldorado, Sonora, Big Lake, Ozona, Sterling City, Bronte, Eden, Brady, Menard, Junction, Mason, Mertzon	Tom Green, Schleicher, Sutton, Regan, Crockett, Sterling, Coke, Concho, McCulloch, Menard, Kimble, Mason, and Irion.	
<b>Total HOPWA contract amount for this Organization</b>	\$39,427		
<b>Organization's Website Address</b>  http://www.shannonhealth.com		<b>Does your organization maintain a waiting list?</b> <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No  <b>If yes, explain in the narrative section how this list is administered.</b> Priority given to clients who are homeless or in imminent danger of being homeless because of their increased health risks due to HIV/AIDS.	
<b>Is the sponsor a nonprofit organization?</b> <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No  <i>Please check if yes and a faith-based organization.</i> <input type="checkbox"/> <i>Please check if yes and a grassroots organization.</i> <input type="checkbox"/>			

<b>Project Sponsor Agency Name</b> United Way of the Greater Fort Hood Area		<b>Parent Company Name, if applicable</b>	
<b>Name and Title of Contact at Project Sponsor Agency</b>	Robert Luckey, Program Director		
<b>Email Address</b>	reluckey@aol.com		
<b>Business Address</b>	208 West Avenue A		
<b>City, County, State, Zip,</b>	Killeen	Bell	Texas 76541
<b>Phone Number (with area codes)</b>	245-778-1444	<b>Fax Number (with area code)</b> 254-778-4302	
<b>Employer Identification Number (EIN) or Tax Identification Number (TIN)</b>	741750544		
<b>DUN &amp; Bradstreet Number (DUNS)</b>	161440201	<b>Central Contractor Registration (CCR): Is the grantee's CCR status currently active?</b> <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	

<b>Project Sponsor Agency Name</b> United Way of the Greater Fort Hood Area		<b>Parent Company Name, if applicable</b>		
<b>Congressional District of Business Location of Sponsor</b>	31			
<b>Congressional District(s) of Primary Service Area(s)</b>	31			
<b>Zip Code(s) of Primary Service Area(s)</b>	76501,76502,76504,76513,76541, 76544,76549, 76542,76543,76548, 76559			
<b>City(ies) and County(ies) of Primary Service Area(s)</b>	Temple, Belton, Killeen, Harker Heights, Nolanville	Bell		
<b>Total HOPWA contract amount for this Organization</b>	\$41,000			
<b>Organization's Website Address</b>  http://www.unitedway-gfha.org/		<b>Does your organization maintain a waiting list?</b> <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No		
<b>Is the sponsor a nonprofit organization?</b> <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No  Please check if yes and a faith-based organization. <input type="checkbox"/> Please check if yes and a grassroots organization. <input type="checkbox"/>		<b>If yes, explain in the narrative section how this list is administered.</b> based on "first come, first serve" that will also take into consideration the seriousness of an individual's need, with those having the most limited resources and immediacy of need taking priority over those who have other housing options, even though the current options may not meet all the client's expectations.		

<b>Project Sponsor Agency Name</b> Waco/McLennan County Public Health District		<b>Parent Company Name, if applicable</b>		
<b>Name and Title of Contact at Project Sponsor Agency</b>	Laurel Churchman, Program Manager			
<b>Email Address</b>	LaurelC@ci.waco.tx.us			
<b>Business Address</b>	225 West Waco Drive			
<b>City, County, State, Zip,</b>	Waco	McLennan	Texas	76707
<b>Phone Number (with area codes)</b>	254-750-5499		<b>Fax Number (with area code)</b> 254-750-5480	
<b>Employer Identification Number (EIN) or Tax Identification Number (TIN)</b>	1-74-6002468-4			
<b>DUN &amp; Bradstreet Number (DUNs)</b>	075090779		<b>Central Contractor Registration (CCR): Is the grantee's CCR status currently active?</b> <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	
<b>Congressional District of Business Location of Sponsor</b>	11			
<b>Congressional District(s) of Primary Service Area(s)</b>	5,6,11			



<b>Project Sponsor Agency Name</b> Waco/McLennan County Public Health District		<b>Parent Company Name, if applicable</b>		
<b>Zip Code(s) of Primary Service Area(s)</b>		76632, 76661, 75840, 75860, 76692, 76642, 76667, 76686, 76624, 76705, 76655, 76706, 76707, 76708		
<b>City(ies) and County(ies) of Primary Service Area(s)</b>		Valley Mills, Chilton, Marlin, Fairfield, Teague, Whitney, Groesbeck, Mexia, Tehuacana, Axtell, Bellmead, Leroy, Lorena, Waco.	Bosque, Freestone, Hill, Limestone and McLennan counties.	
<b>Total HOPWA contract amount for this Organization</b>		\$80,000		
<b>Organization's Website Address</b> http://www.mclennanpublichealth.org/		<b>Does your organization maintain a waiting list?</b> <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No		
<p><b>Is the sponsor a nonprofit organization?</b> <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p> <p>Please check if yes and a faith-based organization. <input type="checkbox"/></p> <p>Please check if yes and a grassroots organization. <input type="checkbox"/></p>		<p><b>If yes, explain in the narrative section how this list is administered.</b></p> <p>The waiting list will be maintained using the following criteria:  HIV positive; Income eligible; Identified housing need as determined by the needs assessment; and are unable to receive TBRA due to insufficient HOPWA funds. Prioritization of the waiting list will be based on the following criteria, in this order:</p> <ol style="list-style-type: none"> <li>a. Homeless or at risk of homelessness, within the next 3 months. Priority focus on individuals who do not have family or friends to stay with;</li> <li>b. Substandard housing</li> <li>c. Payment of rent and utilities is larger than 50% of income</li> </ol> <p>Upon determining priority between two or more clients, if the above criteria are similar, then the next determining factor to consider will be whether the client has any dependants in their care. If it is determined that all the eligible clients have dependents then the final deciding factor will be the date of application. The waiting list will be reviewed quarterly. TBRA and STRMU waiting lists are separate.</p>		

<b>Project Sponsor Agency Name</b> Dallas County Health and Human Services – HOPWA Program (Unit)		<b>Parent Company Name, if applicable</b>		
<b>Name and Title of Contact at Project Sponsor Agency</b>		Charletta Moaning, HOPWA Supervisor		
<b>Email Address</b>		CMoaning@dallascounty.org		
<b>Business Address</b>		2377 N. Stemmons Freeway, Suite 200		
<b>City, County, State, Zip,</b>		Dallas	Dallas	Texas 75207

<b>Project Sponsor Agency Name</b> Dallas County Health and Human Services – HOPWA Program (Unit)		<b>Parent Company Name, if applicable</b>	
<b>Phone Number (with area codes)</b>	214.819.2844	<b>Fax Number (with area code)</b>	214.819.6023
<b>Employer Identification Number (EIN) or Tax Identification Number (TIN)</b>	75-6000905		
<b>DUN &amp; Bradstreet Number (DUNS)</b>	073128597	<b>Central Contractor Registration (CCR): Is the grantee's CCR status currently active?</b> <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	
<b>Congressional District of Business Location of Sponsor</b>	6		
<b>Congressional District(s) of Primary Service Area(s)</b>	3, 5, 24, 26, 30, 32		
<b>Zip Code(s) of Primary Service Area(s)</b>	75102, 75105, 75109, 75110, 75144, 75151, 75153, 75155, 76626, 76639, 76641, 76679, 76681		
<b>City(ies) and County(ies) of Primary Service Area(s)</b>	Barry, Chatfield, Corsicana, Kerens, Powell, Rice, Blooming Grove, Dawson, Frost, Purdon, Richland	Navarro	
<b>Total HOPWA contract amount for this Organization</b>	\$1,587		
<b>Organization's Website Address</b> www.dallascounty.org		<b>Does your organization maintain a waiting list?</b> <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	
<b>Is the sponsor a nonprofit organization?</b> <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No  <i>Please check if yes and a faith-based organization.</i> <input type="checkbox"/> <i>Please check if yes and a grassroots organization.</i> <input type="checkbox"/>		<b>If yes, explain in the narrative section how this list is administered.</b> The Dallas County Health and Human Services HOPWA waiting list for Navarro County is to be maintained on a first come, first served basis. The waiting list will reflect the name and date of which the eligible applicant is added to the waiting list. <u>Open Waiting List:</u> The waiting list is to remain open at all times, with the exception of creating false hope. As the waiting list grows and applicant's wait is for an unforeseeable amount of time, then the waiting list will close for a period of time. <u>Additions to the Waiting List:</u> Only eligible persons residing in the Navarro County area will be added to the waiting list.	

<b>Project Sponsor Agency Name</b> Your Health Clinic		<b>Parent Company Name, if applicable</b>	
<b>Name and Title of Contact at Project Sponsor Agency</b>	Gwynne Palmore, Executive Director		
<b>Email Address</b>	g.palmore@verizon.net		
<b>Business Address</b>	303 Sunset Blvd.		

<b>Project Sponsor Agency Name</b> Your Health Clinic		<b>Parent Company Name, if applicable</b>		
<b>City, County, State, Zip,</b>		Sherman	Sherman	Texas 75092
<b>Phone Number (with area codes)</b>		903.891.1972		<b>Fax Number (with area code)</b> 903.892.6093
<b>Employer Identification Number (EIN) or Tax Identification Number (TIN)</b>		75-2395756		
<b>DUN &amp; Bradstreet Number (DUNS)</b>		879477875		<b>Central Contractor Registration (CCR): Is the grantee's CCR status currently active?</b> <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
<b>Congressional District of Business Location of Sponsor</b>		4		
<b>Congressional District(s) of Primary Service Area(s)</b>		4,13,26		
<b>Zip Code(s) of Primary Service Area(s)</b>		75020, 75021, 75058, 75076, 75090, 75091, 75092, 75414, 75459, 75489, 75491, 75495, 76233, 76245, 76264, 76268, 76271, 76273, 75413, 75418, 75438, 75439, 75443, 75446, 75447, 75449, 75452, 75475, 75476, 75479, 75488, 75490, 75492, 76238, 76240, 76241, 76250, 76252, 76253, 76263, 76272		
<b>City(ies) and County(ies) of Primary Service Area(s)</b>		Denison, Gunter, Pottsboro, Sherman, Bells, Howe, Tom Bean, Whitewright, Van Alstyne, Collinsville, Gordonville, Sadler, Southmayd, Tioga, Whitesboro, Bailey, Bonham, Dodd City, Ector, Gober, Honey Grove, Ivanhoe, Ladonia, Leonard, Randolph, Ravenna, Savoy, Telephone, Trenton, Windom, Era, Gainesville, Lindsay, Muenster, Myra, Rosston, Valley View Grayson, Fannin, Cooke		
<b>Total HOPWA contract amount for this Organization</b>		\$57,413		
<b>Organization's Website Address</b> n/a		<b>Does your organization maintain a waiting list?</b> <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No		
<b>Is the sponsor a nonprofit organization?</b> <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No  <i>Please check if yes and a faith-based organization.</i> <input type="checkbox"/> <i>Please check if yes and a grassroots organization.</i> <input type="checkbox"/>		<b>If yes, explain in the narrative section how this list is administered.</b> At the time that funds or spaces are not available, a waiting list will go into effect. This waiting list policy will be as follows: <u>First Come, First Served:</u> YHC's DSHS HOPWA waiting list for the above listed counties is to be maintained on a first come, first served basis. The waiting list will reflect the name and date of each eligible applicant as they are added onto the waiting list. All eligible applicants on the waiting list will complete a HOPWA application that will reflect the client's name and the date when this client was placed on the HOPWA waiting list.		

<b>Project Sponsor Agency Name</b> AIDS Coalition of Coastal Texas, Inc.		<b>Parent Company Name, if applicable</b>		
<b>Name and Title of Contact at Project Sponsor Agency</b>	Georgia Nelson, Program Director			
<b>Email Address</b>	Georgia1405@yahoo.com			
<b>Business Address</b>	707 Tremont			
<b>City, County, State, Zip,</b>	Galveston	Galveston	Texas	77550
<b>Phone Number (with area codes)</b>	409-763-2437		<b>Fax Number (with area code)</b> 409-763-5482	
<b>Employer Identification Number (EIN) or Tax Identification Number (TIN)</b>	76-0230757			
<b>DUN &amp; Bradstreet Number (DUNS)</b>	806086583	<b>Central Contractor Registration (CCR): Is the grantee's CCR status currently active?</b> <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
<b>Congressional District of Business Location of Sponsor</b>	7 <sup>th</sup> District			
<b>Congressional District(s) of Primary Service Area(s)</b>	14 <sup>th</sup> District			
<b>Zip Code(s) of Primary Service Area(s)</b>	77457, 77458, 77465			
<b>City(ies) and County(ies) of Primary Service Area(s)</b>	Bay City, Palacios, Markham		Matagorda	
<b>Total HOPWA contract amount for this Organization</b>	\$7,033			
<b>Organization's Website Address</b> n/a			<b>Does your organization maintain a waiting list?</b> <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
<b>Is the sponsor a nonprofit organization?</b> <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No  <i>Please check if yes and a faith-based organization.</i> <input type="checkbox"/> <i>Please check if yes and a grassroots organization.</i> <input type="checkbox"/>	<b>If yes, explain in the narrative section how this list is administered.</b>			

<b>Project Sponsor Agency Name</b> AIDS Foundation Houston, Inc.		<b>Parent Company Name, if applicable</b>		
<b>Name and Title of Contact at Project Sponsor Agency</b>	Vera L. Johnson, Vice President of Client Services			
<b>Email Address</b>	JohnsonV@afhouston.org			
<b>Business Address</b>	3202 Wesleyan, Annex			
<b>City, County, State, Zip,</b>	Houston	Harris	Texas	77027

<b>Project Sponsor Agency Name</b> AIDS Foundation Houston, Inc.		<b>Parent Company Name, if applicable</b>		
<b>Phone Number (with area codes)</b>	713-623-6796		<b>Fax Number (with area code)</b> 713-623-4029	
<b>Employer Identification Number (EIN) or Tax Identification Number (TIN)</b>	76-0073661			
<b>DUN &amp; Bradstreet Number (DUNs)</b>	190074179		<b>Central Contractor Registration (CCR): Is the grantee's CCR status currently active?</b> <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	
<b>Congressional District of Business Location of Sponsor</b>	7			
<b>Congressional District(s) of Primary Service Area(s)</b>	22			
<b>Zip Code(s) of Primary Service Area(s)</b>	77412, 77434, 77442, 77460, 77475, 78934, 78935, 78943, 78951, 78962, 77320, 77334, 77340, 77341, 77342, 77343, 77344, 77348, 77349, 77358, 77367, 77420, 77432, 77435, 77436, 77437, 77443, 77448, 77453, 77454, 77455, 77467, 77488			
<b>City(ies) and County(ies) of Primary Service Area(s)</b>	Altair, Eagle Lake, Garwood, Nada, Sheridan, Columbus, Alleyton, Glidden, Oakland, Weimar, Huntsville, Dodge, New Waverly, Riverside, Boling, Danevang, East Bernard, Egypt, El Campo, Glen Flora, Hungerford, Lane City, Lissie, Louise, Pierce, Wharton		Colorado, Walker & Wharton	
<b>Total HOPWA contract amount for this Organization</b>	\$36,000			
<b>Organization's Website Address</b> www.aidshelp.org		<b>Does your organization maintain a waiting list?</b> <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No		
<b>Is the sponsor a nonprofit organization?</b> <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No <i>Please check if yes and a faith-based organization.</i> <input type="checkbox"/> <i>Please check if yes and a grassroots organization.</i> <input checked="" type="checkbox"/>		<b>If yes, explain in the narrative section how this list is administered.</b> A separate waiting list will be utilized for STRMU and TBRA programs. The wait list will include a section regarding the client outcome. Date client removed from the waiting list; Reason client removed from the waiting list (client no longer in need, service provided, etc.).		

<b>Project Sponsor Agency Name</b> Health Horizons of East Texas, Inc.		<b>Parent Company Name, if applicable</b>		
<b>Name and Title of Contact at Project Sponsor Agency</b>	Dr. Wilbert Brown Jr., Executive Director			
<b>Email Address</b>	drwilbertbrown@sbcglobal.net			
<b>Business Address</b>	412 North Street, Suite F			
<b>City, County, State, Zip,</b>	Nacogdoches	Nacogdoches	Texas	75961

<b>Project Sponsor Agency Name</b> Health Horizons of East Texas, Inc.		<b>Parent Company Name, if applicable</b>		
<b>Phone Number (with area codes)</b>	936-569-8240	<b>Fax Number (with area code)</b> 800809741		
<b>Employer Identification Number (EIN) or Tax Identification Number (TIN)</b>	75-2335884			
<b>DUN &amp; Bradstreet Number (DUNS)</b>	800809741	<b>Central Contractor Registration (CCR): Is the grantee's CCR status currently active?</b> <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No		
<b>Congressional District of Business Location of Sponsor</b>	1			
<b>Congressional District(s) of Primary Service Area(s)</b>	1,6,8			
<b>Zip Code(s) of Primary Service Area(s)</b>	75961, 75901, 75835, 75935, 75972, 75951, 75966, 75979, 75948, 77351, 75845, 77371			
<b>City(ies) and County(ies) of Primary Service Area(s)</b>	Nacogdoches, Lufkin, Crockett, Center, San Augustine, Jasper, Newton, Woodville, Hemphill, Livingston, Groveton, Shepherd	Nacogdoches, Angelina, Houston, Shelby, San Augustine, Jasper, Newton, Tyler, Sabine, Polk, Trinity, San Jacinto		
<b>Total HOPWA contract amount for this Organization</b>	\$162,000			
<b>Organization's Website Address</b> n/a		<b>Does your organization maintain a waiting list?</b> <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No		
<b>Is the sponsor a nonprofit organization?</b> <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No  <i>Please check if yes and a faith-based organization.</i> <input type="checkbox"/> <i>Please check if yes and a grassroots organization.</i> <input type="checkbox"/>		<b>If yes, explain in the narrative section how this list is administered.</b> The agency will maintain a separate waiting list for clients who are eligible for STRMU and TBRA. Medical Case Managers will request that clients be moved to the top of the HOPWA waiting list pertaining to STRMU or TBRA who: <ul style="list-style-type: none"> <li>• are living in unsafe conditions,</li> <li>• have major changes that may threaten homelessness (i.e. sudden and permanent loss of income),</li> <li>• are living in life threatening living situations</li> </ul>		

<b>Project Sponsor Agency Name</b> Special Health Resources for Texas, Inc. – 4806 Tyler		<b>Parent Company Name, if applicable</b>		
<b>Name and Title of Contact at Project Sponsor Agency</b>	Bruce Wallace, Director of Client Services			
<b>Email Address</b>	bwallace@shrt.net			
<b>Business Address</b>	2020 Bill Owens Parkway, Suite 230			
<b>City, County, State, Zip,</b>	Longview	Gregg	Texas	75606-2709

<b>Project Sponsor Agency Name</b> Special Health Resources for Texas, Inc. – 4806 Tyler		<b>Parent Company Name, if applicable</b>		
<b>Phone Number (with area codes)</b>	903-234-0776		<b>Fax Number (with area code)</b> 903-234-9769	
<b>Employer Identification Number (EIN) or Tax Identification Number (TIN)</b>	75-2405203			
<b>DUN &amp; Bradstreet Number (DUNS)</b>	135826449		<b>Central Contractor Registration (CCR): Is the grantee's CCR status currently active?</b> <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
<b>Congressional District of Business Location of Sponsor</b>	1			
<b>Congressional District(s) of Primary Service Area(s)</b>	1			
<b>Zip Code(s) of Primary Service Area(s)</b>	75601, 75602, 75604, 75701			
<b>City(ies) and County(ies) of Primary Service Area(s)</b>	Longview, Tyler		Anderson, Camp, Cherokee, Gregg, Harrison, Henderson, Marion, Panola, Rains, Rusk, Smith, Upshur, Van Zandt, Wood	
<b>Total HOPWA contract amount for this Organization</b>	\$425,727			
<b>Organization's Website Address</b> www.shrt.org		<b>Does your organization maintain a waiting list?</b> <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No		
<b>Is the sponsor a nonprofit organization?</b> <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No  <i>Please check if yes and a faith-based organization.</i> <input type="checkbox"/> <i>Please check if yes and a grassroots organization.</i> <input type="checkbox"/>		<b>If yes, explain in the narrative section how this list is administered.</b> The waiting list is reviewed and updated by the 20 <sup>th</sup> of each month with applicants being taken in the order placed on the waiting list. Clients with minor children will have priority over other applicants.		

<b>Project Sponsor Agency Name</b> Special Health Resources for Texas, Inc. - 4805 Texarkana		<b>Parent Company Name, if applicable</b>		
<b>Name and Title of Contact at Project Sponsor Agency</b>	Bruce Wallace, Director of Client Services			
<b>Email Address</b>	bwallace@shrt.net			
<b>Business Address</b>	2020 Bill Owens Parkway, Suite 230			
<b>City, County, State, Zip,</b>	Longview	Gregg	Texas	75606-2709
<b>Phone Number (with area codes)</b>	903-234-0776		<b>Fax Number (with area code)</b> 903-234-9769	

<b>Project Sponsor Agency Name</b> Special Health Resources for Texas, Inc. - 4805 Texarkana		<b>Parent Company Name, if applicable</b>		
<b>Employer Identification Number (EIN) or Tax Identification Number (TIN)</b>	75-2405203			
<b>DUN &amp; Bradstreet Number (DUNS)</b>	135826449	<b>Central Contractor Registration (CCR): Is the grantee's CCR status currently active?</b> <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
<b>Congressional District of Business Location of Sponsor</b>	1			
<b>Congressional District(s) of Primary Service Area(s)</b>	4			
<b>Zip Code(s) of Primary Service Area(s)</b>	75501, 75462			
<b>City(ies) and County(ies) of Primary Service Area(s)</b>	Texarkana, Paris	Bowie, Cass, Delta, Franklin, Hopkins, Lamar, Morris, Red River, Titus		
<b>Total HOPWA contract amount for this Organization</b>	\$70,000			
<b>Organization's Website Address</b> www.shrt.org		<b>Does your organization maintain a waiting list?</b> <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No		
<b>Is the sponsor a nonprofit organization?</b> <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No  <i>Please check if yes and a faith-based organization.</i> <input type="checkbox"/> <i>Please check if yes and a grassroots organization.</i> <input type="checkbox"/>		<b>If yes, explain in the narrative section how this list is administered.</b> The waiting list is reviewed and updated by the 20 <sup>th</sup> of each month with applicants being taken in the order placed on the waiting list. Clients with minor children will have priority over other applicants.		

<b>Project Sponsor Agency Name</b> Triangle AIDS Network		<b>Parent Company Name, if applicable</b>		
<b>Name and Title of Contact at Project Sponsor Agency</b>	Bonnie Brooks, Executive Director			
<b>Email Address</b>	bonnie@tanbmt.com			
<b>Business Address</b>	2544 Broadway			
<b>City, County, State, Zip,</b>	Beaumont, TX	Jefferson	Texas	77702
<b>Phone Number (with area codes)</b>	409-832-8338		<b>Fax Number (with area code)</b> 409-832-9625	
<b>Employer Identification Number (EIN) or Tax Identification Number (TIN)</b>	76-0226835			



<b>Project Sponsor Agency Name</b> Triangle AIDS Network		<b>Parent Company Name, if applicable</b>	
<b>DUN &amp; Bradstreet Number (DUNs)</b>	609896378	<b>Central Contractor Registration (CCR): Is the grantee's CCR status currently active?</b> <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
<b>Congressional District of Business Location of Sponsor</b>	2		
<b>Congressional District(s) of Primary Service Area(s)</b>	2,8		
<b>Zip Code(s) of Primary Service Area(s)</b>	77702, 77640, 77630, 77656		
<b>City(ies) and County(ies) of Primary Service Area(s)</b>	Beaumont, Orange, Port Arthur, Silsbee	Hardin, Jefferson & Orange counties	
<b>Total HOPWA contract amount for this Organization</b>	\$111,240		
<b>Organization's Website Address</b> www.tanbmt.com		<b>Does your organization maintain a waiting list?</b> <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	
<b>Is the sponsor a nonprofit organization?</b> <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No  <i>Please check if yes and a faith-based organization.</i> <input type="checkbox"/> <i>Please check if yes and a grassroots organization.</i> <input type="checkbox"/>		<b>If yes, explain in the narrative section how this list is administered.</b> A chronological waiting list for TBRA is maintained as applications are received. As a space becomes available, the next person on the list is notified by mail. A copy of the letter is also given to the applicant's case manager. A two-week period is given for a response. If there is no response by the applicant, the next person on the waiting list is notified. No waiting list for STRMU is maintained.	

<b>Project Sponsor Agency Name</b> Panhandle AIDS Support Organization		<b>Parent Company Name, if applicable</b>	
<b>Name and Title of Contact at Project Sponsor Agency</b>	Michael Timcisko, Executive Director		
<b>Email Address</b>	Michael@ppatp.org		
<b>Business Address</b>	1523 S. Taylor		
<b>City, County, State, Zip,</b>	Amarillo	Potter	Texas 79101
<b>Phone Number (with area codes)</b>	(806) 372-1050	<b>Fax Number (with area code)</b> (806) 331-0944	
<b>Employer Identification Number (EIN) or Tax Identification Number (TIN)</b>	75-2219593		

<b>Project Sponsor Agency Name</b> Panhandle AIDS Support Organization		<b>Parent Company Name, if applicable</b>	
<b>DUN &amp; Bradstreet Number (DUNs)</b>	883196024	<b>Central Contractor Registration (CCR): Is the grantee's CCR status currently active?</b> <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	
<b>Congressional District of Business Location of Sponsor</b>	13		
<b>Congressional District(s) of Primary Service Area(s)</b>	13, 19		
<b>Zip Code(s) of Primary Service Area(s)</b>	79019, 79255, 79257, 79039, 79068, 79080, 79097, 79027, 79043, 79063, 79201, 79230, 79095, 79022, 79087, 79045, 79226, 79237, 79226, 79054, 79057, 79065, 79066, 79233, 79239, 79245, 79261, 79040, 79081, 79018, 79022, 79014, 79036, 79078, 79083, 79007, 79008, 79024, 79034, 79046, 79005, 79013, 79029, 79036, 79086, 79070, 79005, 79001, 79092, 79325, 79009, 79035, 79101, 79102, 79103, 79104, 79105, 79106, 79107, 79108, 79109, 79110, 79111, 79114, 79116, 79117, 79118, 79119, 79120, 79121, 79124, 79159, 79166, 79168, 79172, 79174, 79178, 79185, 79187, 79189, 79015, 79016, 79042, 79059, 79084, 79052, 79088, 79061, 79079, 79096		
<b>City(ies) and County(ies) of Primary Service Area(s)</b>	Claude, Quitique, Silverton, Groom, Panhandle, Skellytown, White Deer, Dimmit, Hart, Nazareth, Childress, Dodson, Wellington, Dalhart, Texline, Hereford, Clarendon, Hedley, Howardwick, Lefors, McLean, Pampa, Estelline, Lakeview, Memphis, Turkey, Gruver, Spearman, Channing, Dalhart, Canadian, Fritch, Sanford, Stinnett, Borger, Darrouzett, Follett, Higgins, Booker, Cactus, Dumas, Fritch, Sunray, Perryton, Booker; Adrian, Vega, Farwell, Bovina, Friona, Amarillo, Canyon, Amarillo, Happy, Miami, Stratford, Texhoma, Kress, Tulia, Mobeetie, Shamrock, Wheeler	Armstrong, Brisco, Carson, Castro, Childress, Collingsworth, Dallam, Deaf Smith Co, Donley, Gray, Hall, Hansford, Hartley, Hemphill, Hutchinson, Lipscomb, Moore, Ochiltree, Oldham, Parmer, Potter, Randall, Roberts, Sherman, Swisher, Wheeler	
<b>Total HOPWA contract amount for this Organization</b>	\$119,000		
<b>Organization's Website Address</b> www.panhandleASO.org		<b>Does your organization maintain a waiting list?</b> <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
<b>Is the sponsor a nonprofit organization?</b> <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No  <i>Please check if yes and a faith-based organization.</i> <input type="checkbox"/> <i>Please check if yes and a grassroots organization.</i> <input type="checkbox"/>		<b>If yes, explain in the narrative section how this list is administered.</b>	

<b>Project Sponsor Agency Name</b> Permian Basin Community Centers for MHMR		<b>Parent Company Name, if applicable</b>	
<b>Name and Title of Contact at</b>	Anne Plumlee, Executive Director		

<b>Project Sponsor Agency Name</b> Permian Basin Community Centers for MHMR		<b>Parent Company Name, if applicable</b>		
<b>Project Sponsor Agency</b>				
<b>Email Address</b>	AnnePlumlee@pbmhm.org			
<b>Business Address</b>	401 E Illinois			
<b>City, County, State, Zip,</b>	Midland	Midland	Texas	79701
<b>Phone Number (with area codes)</b>	(432) 570-3333		<b>Fax Number (with area code)</b> (432) 570-3375	
<b>Employer Identification Number (EIN) or Tax Identification Number (TIN)</b>	75-1401776			
<b>DUN &amp; Bradstreet Number (DUNS)</b>	074145561	<b>Central Contractor Registration (CCR):</b> Is the grantee's CCR status currently active? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No		
<b>Congressional District of Business Location of Sponsor</b>	11			
<b>Congressional District(s) of Primary Service Area(s)</b>	11, 19, 23			
<b>Zip Code(s) of Primary Service Area(s)</b>	79714, 79731, 79713, 79331, 79351, 79741, 79760, 79761, 79762, 79763, 79764, 79765, 79766, 79768, 79769, 79360, 79359, 79323, 79511, 79733, 79720, 79721, 79701, 79702, 79703, 79704, 79705, 79706, 79707, 79708, 79710, 79711, 79712, 79782, 79735, 79744, 79718, 79772, 79785, 79752, 79778, 79742, 79756, 79719, 79777, 79788, 79745, 79789			
<b>City(ies) and County(ies) of Primary Service Area(s)</b>	Andrews, Crane, Ackerly, Lamesa, O'Donnell, Goldsmith, Odessa, Seminole, Seagraves, Denver City, Coahoma, Forsan, Big Spring, Ackerly, Midland, Stanton, Midland, Odessa, Fort Stockton, Iraan, Balmorhea, Pecos, Toyah, McCamey, Rankin, Grandfalls, Monahans, Barstow, Pyote, Wickett, Kermit, Monahans, Wink	Andrews, Borden, Crane, Dawson, Ector, Gaines, Glasscock, Howard, Loving, Martin, Midland, Pecos, Reeves, Terrell, Upton, Ward, Winkler		
<b>Total HOPWA contract amount for this Organization</b>	\$123,000			
<b>Organization's Website Address</b> www.pbmhm.org		<b>Does your organization maintain a waiting list?</b> <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No		
<b>Is the sponsor a nonprofit organization?</b> <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No No <i>Please check if yes and a faith-based organization.</i> <input type="checkbox"/> <i>Please check if yes and a grassroots organization.</i> <input type="checkbox"/>		<b>If yes, explain in the narrative section how this list is administered.</b> Clients on waiting list are required to apply for Section 8 housing and first come first served basis.		
<b>Project Sponsor Agency Name</b> South Plains Community Action Association, Inc		<b>Parent Company Name, if applicable</b>		
<b>Name and Title of Contact at</b>	Leigh Arrington, Program Director			

<b>Project Sponsor Agency Name</b> South Plains Community Action Association, Inc		<b>Parent Company Name, if applicable</b>		
<b>Project Sponsor Agency</b>				
<b>Email Address</b>		larrington@spcaa.org		
<b>Business Address</b>		3307 Avenue X		
<b>City, County, State, Zip,</b>		Lubbock	Lubbock	Texas 79411
<b>Phone Number (with area codes)</b>		806-771-0736		<b>Fax Number (with area code)</b> 806-771-3398
<b>Employer Identification Number (EIN) or Tax Identification Number (TIN)</b>		75-1230219		
<b>DUN &amp; Bradstreet Number (DUNS)</b>		094254547		<b>Central Contractor Registration (CCR): Is the grantee's CCR status currently active?</b> <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
<b>Congressional District of Business Location of Sponsor</b>		19		
<b>Congressional District(s) of Primary Service Area(s)</b>		13,19		
<b>Zip Code(s) of Primary Service Area(s)</b>		79347, 79346, 79379, 79322, 79343, 79357, 79229, 79370, 79235, 79241, 79330, 79356, 79311, 79032, 79041, 79250, 79072, 79073, 79313, 79336, 79338, 79358, 79367, 79372, 79031, 79312, 79339, 79064, 79082, 79371, 79311, 79329, 79401, 79402, 79403, 79404, 79405, 79406, 79407, 79408, 79409, 79410, 79411, 79412, 79413, 79414, 79415, 79416, 79423, 79424, 79430, 79452, 79453, 79457, 79464, 79490, 79491, 79493, 79499, 79350, 79364, 79366, 79363, 79364, 79382, 79381, 79383, 79351, 79373, 79381, 79244, 79256, 79316, 79376, 79345, 79378, 79323, 79355		
<b>City(ies) and County(ies) of Primary Service Area(s)</b>		Muleshoe; Morton, Whiteface; Crosbyton, Lorenzo, Ralls; Dickens; Spur; Floydada, Lockney; Post, Abernathy, Edmonson, Hale, Center, Petersburg, Plainview, Anton, Levelland, Opdyke, West, Ropesville, Smyer, Sundown; Earth, Amherst, Littlefield, Olton, Springlake Sudan, Buffalo Springs Village, Abernathy, Idalou, Lubbock, New Deal, Ransom Canyon, Shallowater, Slaton, Wolfforth; New Home, O'Donnell, Tahoka, Wilson, Matador, Roaring Springs, Brownfield, Meadow, Wellman, Denver City, Plains Bailey, Cochran, Crosby, Dickens, Floyd, Garza, Hale, Hockley, King, Lamb, Lubbock, Lynn, Motley, Terry, Yoakum		
<b>Total HOPWA contract amount for this Organization</b>		\$137,000		
<b>Organization's Website Address</b> <a href="http://www.spcaa.org/">http://www.spcaa.org/</a>		<b>Does your organization maintain a waiting list?</b> <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		

<b>Project Sponsor Agency Name</b> South Plains Community Action Association, Inc	<b>Parent Company Name, if applicable</b>
<b>Is the sponsor a nonprofit organization?</b> <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No  Please check if yes and a faith-based organization. <input type="checkbox"/> Please check if yes and a grassroots organization. <input type="checkbox"/>	<b>If yes, explain in the narrative section how this list is administered.</b>

<b>Project Sponsor Agency Name</b> Sun City Behavioral Health Care	<b>Parent Company Name, if applicable</b>			
<b>Name and Title of Contact at Project Sponsor Agency</b> Kristi Daugherty, Program Manager				
<b>Email Address</b> kdaugherty@epmhm.org				
<b>Business Address</b> 2929 Montana Suite B				
<b>City, County, State, Zip,</b>	El Paso	El Paso	Texas	79903
<b>Phone Number (with area codes)</b>	915-351-4680		<b>Fax Number (with area code)</b> 915-351-3643	
<b>Employer Identification Number (EIN) or Tax Identification Number (TIN)</b>	74-2928744			
<b>DUN &amp; Bradstreet Number (DUNS)</b>	021913286		<b>Central Contractor Registration (CCR):</b> Is the grantee's CCR status currently active? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
<b>Congressional District of Business Location of Sponsor</b>	16			
<b>Congressional District(s) of Primary Service Area(s)</b>	16, 23			

<b>Project Sponsor Agency Name</b> Sun City Behavioral Health Care		<b>Parent Company Name, if applicable</b>		
<b>Zip Code(s) of Primary Service Area(s)</b>		79830, 79831, 79832, 79842, 79855, 78330, 79821, 79835, 79836, 79901, 79902, 79903, 79904, 79905, 79906, 79907, 79908, 79910, 79911, 79912, 79913, 79914, 79915, 79916, 79917, 79918, 79920, 79922, 79923, 79924, 79925, 79926, 79927, 79928, 79929, 79930, 79931, 79932, 79934, 79935, 79936, 79937, 79938, 79940, 79941, 79942, 79943, 79944, 79945, 79946, 79947, 79948, 79949, 79950, 79951, 79952, 79953, 79954, 79955, 79958, 79960, 79961, 79968, 79976, 79978, 79980, 79990, 79995, 79996, 79997, 79998, 79999, 88510, 88511, 88512, 88513, 88514, 88515, 88516, 88517, 88518, 88519, 88520, 88521, 88523, 88524, 88525, 88526, 88527, 88528, 88529, 88530, 88531, 88532, 88533, 88534, 88535, 88536, 88538, 88539, 88540, 88541, 88542, 88543, 88544, 88545, 88546, 88547, 88548, 88549, 88550, 88553, 88554, 88555, 88556, 88557, 88558, 88559, 88560, 88561, 88562, 88563, 88565, 88566, 88567, 88568, 88569, 88570, 88571, 88572, 88573, 88574, 88575, 88576, 88577, 88578, 88579, 88580, 88581, 88582, 88583, 88584, 88585, 88586, 88587, 88588, 88589, 88590, 88595, 79838, 79906, 79908, 79916, 79918, 79927, 79928, 79849, 79927, 79928, 79929, 79853, 79821, 79837, 79839, 79847, 79851, 79734, 79854, 79843, 79845, 79846		
<b>City(ies) and County(ies) of Primary Service Area(s)</b>		Alpine, Marathon; Van Horn; Agua Dulce, Anthony, Canutillo, Clint, El Paso, Fabens, Fort Bliss, Horizon City, Morning Glory, Newman, Prado Verde, San Elizario, Socorro, Tornillo, Vinton, Westway; Dell City, Fort Hancock, Salt Flat, Sierra Blanca; Fort Davis, Valentine; Marfa, Presidio, Redford	Brewster, Culberson, El Paso, Hudspeth, Jeff Davis, Presidio	
<b>Total HOPWA contract amount for this Organization</b>		\$230,000		
<b>Organization's Website Address</b> <a href="http://www.suncitybehavioral.org/">www.suncitybehavioral.org/</a>		<b>Does your organization maintain a waiting list?</b> <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
<b>Is the sponsor a nonprofit organization?</b> <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No  <i>Please check if yes and a faith-based organization.</i> <input type="checkbox"/> <i>Please check if yes and a grassroots organization.</i> <input type="checkbox"/>		<b>If yes, explain in the narrative section how this list is administered.</b>		

<b>Project Sponsor Agency Name</b> Coastal Bend AIDS Foundation		<b>Parent Company Name, if applicable</b>		
<b>Name and Title of Contact at Project Sponsor Agency</b>		Richard Sledz, Executive Director		
<b>Email Address</b>		richards@cbaaf.org		
<b>Business Address</b>		400 Mann Street Suite 800		
<b>City, County, State, Zip,</b>		Corpus Christi	Nueces	Texas 78412

<b>Project Sponsor Agency Name</b> Coastal Bend AIDS Foundation		<b>Parent Company Name, if applicable</b>		
<b>Phone Number (with area codes)</b>	361-814-2001	<b>Fax Number (with area code)</b> 361-883-1998		
<b>Employer Identification Number (EIN) or Tax Identification Number (TIN)</b>	74-2429518			
<b>DUN &amp; Bradstreet Number (DUNS)</b>	791954167	<b>Central Contractor Registration (CCR): Is the grantee's CCR status currently active?</b> <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No		
<b>Congressional District of Business Location of Sponsor</b>	27			
<b>Congressional District(s) of Primary Service Area(s)</b>	27, 15, 14			
<b>Zip Code(s) of Primary Service Area(s)</b>	78410,78370,78409,78407,78402,78401 78405,78404,78416,78411,78417,78412 78415,78413,78414,78418,78419,78343 78380			
<b>City(ies) and County(ies) of Primary Service Area(s)</b>	Corpus Christi	Aransas, Bee, Brooks, Duval, Jim Wells, Kenedy, Kleberg, Live Oak, McMullen, Nueces, Refugio & San Patricio		
<b>Total HOPWA contract amount for this Organization</b>	\$351,000			
<b>Organization's Website Address</b> www.cbaf.org		<b>Does your organization maintain a waiting list?</b> <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No		
<b>Is the sponsor a nonprofit organization?</b> <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No  <i>Please check if yes and a faith-based organization.</i> <input type="checkbox"/> <i>Please check if yes and a grassroots organization.</i> <input type="checkbox"/>		<b>If yes, explain in the narrative section how this list is administered.</b> The waiting list is based on a needs assessment and waitlisted according to their ranking. Needs assessment is based on the following 1) Does the client have minor dependents living with him/her; 2) Does the client currently have housing; 3) The state of the clients health; 4) Does the client have the economic need. Each area is scored based on three (3) points, one (1) being the least amount of need and three (3) being the highest.		

<b>Project Sponsor Agency Name</b> City of Laredo Health Department		<b>Parent Company Name, if applicable</b>		
<b>Name and Title of Contact at Project Sponsor Agency</b>	Manuel Sanchez, Program Director			
<b>Email Address</b>	msanchez@ci.laredo.tx.u			
<b>Business Address</b>	2600 Cedar Avenue			
<b>City, County, State, Zip,</b>	Laredo	Webb	Texas	78040

<b>Project Sponsor Agency Name</b> City of Laredo Health Department		<b>Parent Company Name, if applicable</b>		
<b>Phone Number (with area codes)</b>	(956) 795-4905	<b>Fax Number (with area code)</b> (956) 795-2035		
<b>Employer Identification Number (EIN) or Tax Identification Number (TIN)</b>	74-60015732			
<b>DUN &amp; Bradstreet Number (DUNS)</b>	618150460	<b>Central Contractor Registration (CCR): Is the grantee's CCR status currently active?</b> <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No		
<b>Congressional District of Business Location of Sponsor</b>	27			
<b>Congressional District(s) of Primary Service Area(s)</b>	27			
<b>Zip Code(s) of Primary Service Area(s)</b>	78040, 78041, 78042, 78043, 78046			
<b>City(ies) and County(ies) of Primary Service Area(s)</b>	Laredo, Hebbronville, Zapata, Rio Grande	Webb, Zapata, Starr, Jim Hogg		
<b>Total HOPWA contract amount for this Organization</b>	\$88,000			
<b>Organization's Website Address</b> www.cityoflaredo.com/health.htm		<b>Does your organization maintain a waiting list?</b> <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No		
<b>Is the sponsor a nonprofit organization?</b> <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No  <i>Please check if yes and a faith-based organization.</i> <input type="checkbox"/> <i>Please check if yes and a grassroots organization.</i> <input type="checkbox"/>		<b>If yes, explain in the narrative section how this list is administered.</b> Clients on the waiting list for TBRA are given priority status if they meet the following criteria, regardless of date when services were requested: <ul style="list-style-type: none"> <li>• Has an AIDS diagnosis</li> <li>• Has dependents under the age of 18</li> <li>• Has exhausted the HOPWA program's short-term assistance cap and is in eminent risk of becoming homeless</li> </ul>		

<b>Project Sponsor Agency Name</b> Valley AIDS Council		<b>Parent Company Name, if applicable</b>		
<b>Name and Title of Contact at Project Sponsor Agency</b>	Mr. Charles R. Smith			
<b>Email Address</b>	Crsmith.vac@tachc.org			
<b>Business Address</b>	418 E. Tyler, Suite A			
<b>City, County, State, Zip,</b>	Harlingen	Cameron	Texas	78550
<b>Phone Number (with area codes)</b>	(956) 428-2653	<b>Fax Number (with area code)</b> (956) 428-0056		



<b>Project Sponsor Agency Name</b> Valley AIDS Council		<b>Parent Company Name, if applicable</b>	
<b>Employer Identification Number (EIN) or Tax Identification Number (TIN)</b>	74-2512591		
<b>DUN &amp; Bradstreet Number (DUNS)</b>	002686186	<b>Central Contractor Registration (CCR): Is the grantee's CCR status currently active?</b> <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	
<b>Congressional District of Business Location of Sponsor</b>	27,15		
<b>Congressional District(s) of Primary Service Area(s)</b>	27,15		
<b>Zip Code(s) of Primary Service Area(s)</b>	78580, 78550, 78501		
<b>City(ies) and County(ies) of Primary Service Area(s)</b>	Raymondville, Harlingen, McAllen	Willacy, Cameron, Hidalgo	
<b>Total HOPWA contract amount for this Organization</b>	\$378,000		
<b>Organization's Website Address</b> www.valleyaids.org		<b>Does your organization maintain a waiting list?</b> <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
<b>Is the sponsor a nonprofit organization?</b> <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No  <i>Please check if yes and a faith-based organization.</i> <input type="checkbox"/> <i>Please check if yes and a grassroots organization.</i> <input type="checkbox"/>		<b>If yes, explain in the narrative section how this list is administered.</b>	

<b>Project Sponsor Agency Name</b> AIDS Resources of Rural Texas - Abilene		<b>Parent Company Name, if applicable</b>	
<b>Name and Title of Contact at Project Sponsor Agency</b>	Lisa Justice, Executive Director		
<b>Email Address</b>	ljustice@aidsresources.com		
<b>Business Address</b>	3116 N 1 <sup>st</sup> Street		
<b>City, County, State, Zip,</b>	Abilene	Taylor	Texas 79063
<b>Phone Number (with area codes)</b>	817-596-3022	<b>Fax Number (with area code)</b> 817-596-0900	
<b>Employer Identification Number (EIN) or Tax Identification Number (TIN)</b>	752501442		
<b>DUN &amp; Bradstreet Number (DUNS)</b>	008548752	<b>Central Contractor Registration (CCR): Is the grantee's CCR status currently active?</b> <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	

<b>Project Sponsor Agency Name</b>		<b>Parent Company Name, if applicable</b>	
AIDS Resources of Rural Texas - Abilene			
<b>Congressional District of Business Location of Sponsor</b>	19		
<b>Congressional District(s) of Primary Service Area(s)</b>	11,13,19		
<b>Zip Code(s) of Primary Service Area(s)</b>	75938,76363, 76371, 76380, 76388, 76424, 76429, 76430, 76432, 76435, 76436, 76437,76442, 76443, 76444, 76445, 76446, 76448, 76450, 76452, 76454, 76455, 76462,76463, 76464, 76466, 76468, 76469, 76470, 76471, 76474, 76475, 76491, 76531, 76801, 76802, 76803, 76804, 76821, 76823, 76827, 76828, 76834, 76845, 76857, 76861, 76865,76873, 76875, 76878, 76882, 76884, 76888, 76890, 76933, 76945, 79227, 79370, 79501, 79502, 79503, 79504,79505, 79506, 79508, 79510, 79512, 79516, 79517, 79518, 79519, 79520, 79521, 79525, 79526, 79527, 79528, 79529, 79530, 79532, 79533, 79534, 79535, 79536, 79537, 79538, 79539, 79540, 79541, 79543, 79544,79545, 79546, 79547, 79548, 79549, 79550, 79553, 79556, 79560, 79561, 79562, 79563, 79565, 79566,79567,79601, 79602,79603,79604, 79605,79606,79607,79608,79697,79698,79699,79720		

<b>Project Sponsor Agency Name</b> AIDS Resources of Rural Texas - Abilene		<b>Parent Company Name, if applicable</b>		
<b>City(ies) and County(ies) of Primary Service Area(s)</b>		Bangs,Blanket,Brownwood,Early, Brookesmith, Grosvenor,Indian Creek,Lake Brownwood,Lake Shore May,Shamrock Shores,Thrifty, Zephyr, Eula, Baird, Clyde, Cross Plains, Putnam, Coleman, Novice, Santa Anna, Burkett, Content, Echo, Fisk, Goldsboro, Gouldbusk, Leaday, Mozelle, Rockwood, Silver Valley, Talpa, Valera, Voss, Whon, Comanche, De Leon, Gustine, Energy, Hasse, Proctor, Sidney, Carbon , Cisco, Eastland, Gorman, Ranger, Rising Star, Desdemona, Olden, Roby, Rotan, Longworth, McCaulley, Raytown, Royston, Sylvester, Haskell, O'Brien, Rochester, Rule, Weinert, Jud, Rolls, Sagerton, Anson, Hamlin, Hawley, Lueders, Stamford, Avoca, Radium, Tuxedo, Jayton, Girard, Benjamin, Goree, Knox City, Munday, Colorado City, Loraine, Westbrook, Buford, Cuthbert, Iatan, Blackwell , Roscoe, Sweetwater, Claytonville, Inadale, Maryneal, Nolan, Palava, Pyron, Wastella, Ballinger, Miles, Winters, Bethel, Blanton, Bradshaw, Crews, Drasco, Happy Valley, Hatchel, Norton, Pony, Pumphrey, Rowena, Shep, Wilmeth, Wingate, Snyder, Clairemont, Dermott, Dunn, Fluvanna, Hermleigh, Ira, Union, Albany, Moran, Breckenridge, Caddo, Aspermont, Old Glory, Peacock, Abilene, Buffalo Gap, Impact, Lawn, Merkel, Trent, Tuscola, Tye, Blair, Hamby, Noodle, Ovalo, Potosi, Stith, Throckmorton, Woodson	Brown, Callahan, Coleman, Commanche, Eastland, Fisher, Haskell, Jones, Kent, Knox, Mitchell, Nolan, Runnels, Scurry, Shackelford, Stephens, Stonewall, Taylor, Throckmorton	
<b>Total HOPWA contract amount for this Organization</b>		\$75,000		
<b>Organization's Website Address</b>  http://aidsresources.com		<b>Does your organization maintain a waiting list?</b> <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No		

<b>Project Sponsor Agency Name</b> AIDS Resources of Rural Texas - Abilene	<b>Parent Company Name, if applicable</b>
<b>Is the sponsor a nonprofit organization?</b> <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No Please check if yes and a faith-based organization. <input type="checkbox"/> Please check if yes and a grassroots organization. <input type="checkbox"/>	<b>If yes, explain in the narrative section how this list is administered.</b> ARRT HOPWA staff will assure local demand for housing is documented via two waiting lists maintained for Rental Assistance and Emergency Assistance. Those clients requesting for HOPWA assistance when ARRT HOPWA funds are insufficient to meet their request will be put on a waiting list. The case manager will enter the client on the waiting list with the date once their HOPWA request has been denied. Clients who receive HOPWA funds after initially being denied will have their names removed from the list and the date noted. This list will be updated at least every six months.

<b>Project Sponsor Agency Name</b> AIDS Resources of Rural of Texas - Weatherford		<b>Parent Company Name, if applicable</b>			
<b>Name and Title of Contact at Project Sponsor Agency</b>	Lisa Justice, Executive Director				
<b>Email Address</b>	ljustice@aidsresources.com				
<b>Business Address</b>	1105 Santa Fe Drive, Ste 109				
<b>City, County, State, Zip,</b>	Weatherford	Parker	Texas	76086	
<b>Phone Number (with area codes)</b>	817-596-3022		<b>Fax Number (with area code)</b> 817-596-0900		
<b>Employer Identification Number (EIN) or Tax Identification Number (TIN)</b>	752501442				
<b>DUN &amp; Bradstreet Number (DUNs)</b>	008548752		<b>Central Contractor Registration (CCR): Is the grantee's CCR status currently active?</b> <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No		
<b>Congressional District of Business Location of Sponsor</b>	12				
<b>Congressional District(s) of Primary Service Area(s)</b>	12,13,17,31				
<b>Zip Code(s) of Primary Service Area(s)</b>	76008,76009,76020,76023,76028,76031,76033,76035,76036,76043,76044,76048,76049,76050,76052,76058,76059,76061,76063,76066,76067,76068,76070,76071,76073,76077,76078,76082,76084,76085,76086,76087,76088,76093,76097,76098,76108,76126,76225,76234,76246,76247,76249,76259,76267,76270,76401,76402,76426,76429,76431,76433,76436,76439,76444,76445,76446,76449,76450,76453,76457,76458,76461,76462,76463,76465,76467,76472,76475,76476,76484,76485,76486,76487,76490,76649,76690				

<b>Project Sponsor Agency Name</b> AIDS Resources of Rural of Texas - Weatherford		<b>Parent Company Name, if applicable</b>		
<b>City(ies) and County(ies) of Primary Service Area(s)</b>	Dublin, Stephenville, Bluff Dale, Lingleville, Morgan Mill, Cresson, deCordova, Granbury, Lipan, Tolar, Paluxy, Alvarado, Briaroaks, Burleson, Cleburne, Cross Timber, Godley, Grandview, Joshua, Keene, Rio Vista, Venus, Lillian, Gordon, Graford, Mineral Wells, Mingus, Palo Pinto, Strawn, Fort Wolters, Salesville, Santo, Aledo, Annetta, Annetta North, Annetta South, Azle, Cool, Hudson Oaks, Millsap, Sanctuary, Springtown, Weatherford, Willow Park, Dennis, Peaster, Poolville, Whitt, Glen Rose, Nemo, Rainbow, Alvord, Aurora, Boyd, Bridgeport, Chico, Decatur, Lake Bridgeport, New Fairview, Newark, Paradise, Rhome, Runaway Bay, Greenwood, Slidell		Erath, Hood, Palo Pinto, Somervell	
	<b>Total HOPWA contract amount for this Organization</b> \$50,000			
<b>Organization's Website Address</b>  http://aidsresources.com		<b>Does your organization maintain a waiting list?</b> <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No		
<b>Is the sponsor a nonprofit organization?</b> <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No  <i>Please check if yes and a faith-based organization.</i> <input type="checkbox"/> <i>Please check if yes and a grassroots organization.</i> <input type="checkbox"/>		<b>If yes, explain in the narrative section how this list is administered.</b> ARRT HOPWA staff will assure local demand for housing is documented via two waiting lists maintained for Rental Assistance and Emergency Assistance. Those clients requesting for HOPWA assistance when ARRT HOPWA funds are insufficient to meet their request will be put on a waiting list. The case manager will enter the client on the waiting list with the date once their HOPWA request has been denied. Clients who receive HOPWA funds after initially being denied will have their names removed from the list and the date noted. This list will be updated at least every six months.		

<b>Project Sponsor Agency Name</b> Wichita Falls Wichita County Public Health District		<b>Parent Company Name, if applicable</b>		
<b>Name and Title of Contact at Project Sponsor Agency</b>	DeWayne Robertson, Program Manager			
<b>Email Address</b>	DeWayne.Robertson@cwftx.net			
<b>Business Address</b>	1700 Third Street			
<b>City, County, State, Zip,</b>	Wichita Falls	Wichita	Texas	76301

<b>Project Sponsor Agency Name</b> Wichita Falls Wichita County Public Health District		<b>Parent Company Name, if applicable</b>	
<b>Phone Number (with area codes)</b>	940-761-7892	<b>Fax Number (with area code)</b>	940-761-7679
<b>Employer Identification Number (EIN) or Tax Identification Number (TIN)</b>	175600007142000		
<b>DUN &amp; Bradstreet Number (DUNS)</b>	059463133	<b>Central Contractor Registration (CCR): Is the grantee's CCR status currently active?</b> <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	
<b>Congressional District of Business Location of Sponsor</b>	13		
<b>Congressional District(s) of Primary Service Area(s)</b>	13, 19		
<b>Zip Code(s) of Primary Service Area(s)</b>	76351, 76380, 76365, 79248, 79227, 79252, 76458, 76301-76310, 76354, 76367, 76384, 76385, 76450		
<b>City(ies) and County(ies) of Primary Service Area(s)</b>	Archer city, Seymour, Henrietta, Paducah, Crowell, Quanah, Jacksboro, Wichita Falls, Burkburnett, Iowa Park, Vernon, Graham	Archer, Baylor, Cottle, Clay, Foard, Hardeman, Jack, Montague, Wichita, Wilbarger, Young	
<b>Total HOPWA contract amount for this Organization</b>	\$66,000		
<b>Organization's Website Address</b>  n/a		<b>Does your organization maintain a waiting list?</b> <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	
<b>Is the sponsor a nonprofit organization?</b> <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No  Please check if yes and a faith-based organization. <input type="checkbox"/> Please check if yes and a grassroots organization. <input type="checkbox"/>		<b>If yes, explain in the narrative section how this list is administered.</b> Clients on the HOPWA waiting list are prioritized based upon need established by the client and EIP Case Management team.	

### 3. Subrecipient Information

In Chart 3, provide the following information for each subrecipient with a contract/agreement of \$25,000 or greater that assist the grantee or project sponsors to carry out their administrative or service delivery functions. Agreements include: grants, subgrants, loans, awards, cooperative agreements, and other forms of financial assistance; and contracts, subcontracts, purchase orders, task orders, and delivery orders. (Organizations listed may have contracts with project sponsors or other organizations beside the grantee.) These elements address requirements in the Federal Funding and Accountability and Transparency Act of 2006 (Public Law 109-282).

<b>Subrecipient Name</b>		<b>Parent Company (if applicable)</b>			
County of Bexar		n/a			
<b>Name and Title of Contact at Subrecipient</b>	Aurora M. Sanchez, Executive Director				
<b>Email Address</b>	asanchez@bexar.org				
<b>Business Address</b>	233 N. Pecos, Suite 590				
<b>City, State, Zip, County</b>	San Antonio	TX	78207	San Antonio	
<b>Phone Number (with area code)</b>	210-335-3421		<b>Fax Number (with area code)</b>		
			210-335-6755		
<b>Employer Identification Number (EIN) or Tax Identification Number (TIN)</b>	74-6002039				
<b>DUN &amp; Bradstreet Number (DUNS)</b>	070487020		<b>Central Contractor Registration (CCR):</b> Is the grantee's CCR status currently active?		
			<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No		
<b>North American Industry Classification System (NAICS) Code</b>	n/a				
<b>Congressional District of Location</b>	20				
<b>Congressional District of Primary Service Area</b>	n/a				
<b>Zip Code of Primary Service Area(s)</b>	n/a				
<b>City(ies) and County(ies) of Primary Service Area(s)</b>	n/a		n/a		
<b>Total HOPWA Contract Amount</b>	\$224,500				

<b>Subrecipient Name (Administrative Agency name)</b>		<b>Parent Company (if applicable, this would apply if the AA is part of a larger "parent" company)</b>			
Brazos Valley Council of Governments		N/A			
<b>Name and Title of Contact at Subrecipient</b>	Crystal Crowell, Program Manager				
<b>Email Address</b>	ccrowell@bvcog.org				
<b>Business Address</b>	P.O. Drawer 4128				
<b>City, State, Zip, County</b>	Bryan	TX	77805-4128	Brazos	
<b>Phone Number (with area code)</b>	979-595-2801 X2224		<b>Fax Number (with area code)</b>		

<b>Subrecipient Name (Administrative Agency name)</b> Brazos Valley Council of Governments		<b>Parent Company (if applicable, this would apply if the AA is part of a larger "parent" company)</b> N/A	
		979-595-2815	
<b>Employer Identification Number (EIN) or Tax Identification Number (TIN)</b>	74-1562020		
<b>DUN &amp; Bradstreet Number (DUNs)</b>	010788610	<b>Central Contractor Registration (CCR): Is the grantee's CCR status currently active?</b> <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	
<b>North American Industry Classification System (NAICS) Code</b>	921190		
<b>Congressional District of Location</b>	17		
<b>Congressional District of Primary Service Area</b>	n/a		
<b>Zip Code of Primary Service Area(s)</b>	n/a		
<b>City(ies) and County(ies) of Primary Service Area(s)</b>	n/a	n/a	
<b>Total HOPWA Contract Amount</b>	\$ 275,000		

<b>Sub recipient Name (Administrative Agency name)</b> Houston Regional HIV/AIDS Resource Group, Inc. (The Resource Group)		<b>Parent Company (if applicable, this would apply if the AA is part of a larger "parent" company)</b> n/a	
<b>Name and Title of Contact at Sub recipient</b>	Nikita Lamar, Quality Compliance Coordinator		
<b>Email Address</b>	nlamar@hivresoucegroup.org		
<b>Business Address</b>	500 Lovett Blvd. , Suite 100		
<b>City, State, Zip, County</b>	Houston	TX	77006 Harris
<b>Phone Number (with area code)</b>	713 526 1016, Ext. 107		<b>Fax Number (with area code)</b> 713 526 2369



<b>Sub recipient Name (Administrative Agency name)</b> Houston Regional HIV/AIDS Resource Group, Inc. (The Resource Group)		<b>Parent Company (if applicable, this would apply if the AA is part of a larger "parent" company)</b> n/a	
<b>Employer Identification Number (EIN) or Tax Identification Number (TIN)</b>	760414232		
<b>DUN &amp; Bradstreet Number (DUNs)</b>	876909847	<b>Central Contractor Registration (CCR): Is the grantee's CCR status currently active?</b> <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
<b>North American Industry Classification System (NAICS) Code</b>	n/a		
<b>Congressional District of Location</b>	7		
<b>Congressional District of Primary Service Area</b>	n/a		
<b>Zip Code of Primary Service Area(s)</b>	n/a		
<b>City(ies) and County(ies) of Primary Service Area(s)</b>	n/a	n/a	
<b>Total HOPWA Contract Amount</b>	\$812,000		

<b>Subrecipient Name (Administrative Agency name)</b> Lubbock Regional Mental Health Mental Retardation Center		<b>Parent Company (if applicable, this would apply if the AA is part of a larger "parent" company)</b>		
<b>Name and Title of Contact at Subrecipient</b>	Sabina Siddiqui, Contract Specialist, HIV Services			
<b>Email Address</b>	ssiddiqu@lubbockmhmr.org			
<b>Business Address</b>	1602 10 <sup>th</sup> street, P. O. BOX 2828			
<b>City, State, Zip, County</b>	Lubbock	TX	79408	Lubbock
<b>Phone Number (with area code)</b>	806-767-1622		<b>Fax Number (with area code)</b> 806-766-0250	
<b>Employer Identification Number (EIN) or Tax Identification Number (TIN)</b>	75-1297691			
<b>DUN &amp; Bradstreet Number (DUNs)</b>	098786460	<b>Central Contractor Registration (CCR): Is the grantee's CCR status currently active?</b> <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No		

<b>Subrecipient Name (Administrative Agency name)</b> Lubbock Regional Mental Health Mental Retardation Center		<b>Parent Company (if applicable, this would apply if the AA is part of a larger "parent" company)</b>	
<b>North American Industry Classification System (NAICS) Code</b>	n/a		
<b>Congressional District of Location</b>	19		
<b>Congressional District of Primary Service Area</b>	n/a		
<b>Zip Code of Primary Service Area(s)</b>	n/a		
<b>City(ies) and County(ies) of Primary Service Area(s)</b>	n/a	n/a	
<b>Total HOPWA Contract Amount</b>	\$609,000		

<b>Subrecipient Name (Administrative Agency name)</b> South Texas Development Council		<b>Parent Company (if applicable, this would apply if the AA is part of a larger "parent" company)</b> n/a		
<b>Name and Title of Contact at Subrecipient:</b>	Amando Garza, Jr.- Executive Director			
<b>Email Address</b>	Amando Garza, Jr.: agarzajr@stdc.cog.tx.us			
<b>Business Address</b>	Physical Address: 1002 Dicky Lane, Laredo, Texas 78043 Mailing Address: P.O. Box 2187, Laredo, Texas 78044			
<b>City, State, Zip, County</b>	Laredo	TX	78043	Webb
<b>Phone Number (with area code)</b>	956-722-3995		<b>Fax Number (with area code)</b> 956-722-2670	
<b>Employer Identification Number (EIN) or Tax Identification Number (TIN)</b>	74-1666921			
<b>DUN &amp; Bradstreet Number (DUNS)</b>	062390661	<b>Central Contractor Registration (CCR):</b> Is the grantee's CCR status currently active? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No		
<b>North American Industry Classification System (NAICS) Code</b>	n/a			
<b>Congressional District of Location</b>	28			
<b>Congressional District of Primary Service Area</b>	n/a			
<b>Zip Code of Primary Service Area(s)</b>	n/a			
<b>City(ies) and County(ies) of Primary Service Area(s)</b>	n/a	n/a		
<b>Total HOPWA Contract Amount</b>	\$821,562			

<b>Subrecipient Name (Administrative Agency name)</b> Tarrant County		<b>Parent Company (if applicable, this would apply if the AA is part of a larger “parent” company)</b>		
<b>Name and Title of Contact at Subrecipient</b>	Margie Drake, HIV Grants Manager			
<b>Email Address</b>	mdrake@tarrant county.com			
<b>Business Address</b>	1101 S. Main St., Suite 2500			
<b>City, State, Zip, County</b>	Fort Worth	Texas	76104	Tarrant
<b>Phone Number (with area code)</b>	(817) 321-4747		<b>Fax Number (with area code)</b> (817) 321-4737	
<b>Employer Identification Number (EIN) or Tax Identification Number (TIN)</b>	7560011706			
<b>DUN &amp; Bradstreet Number (DUNs)</b>	068365220	<b>Central Contractor Registration (CCR):</b> Is the grantee’s CCR status currently active? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No		
<b>North American Industry Classification System (NAICS) Code</b>	923120			
<b>Congressional District of Location</b>	12			
<b>Congressional District of Primary Service Area</b>	n/a			
<b>Zip Code of Primary Service Area(s)</b>	n/a			
<b>City(ies) and County(ies) of Primary Service Area(s)</b>	n/a	n/a		
<b>Total HOPWA Contract Amount</b>	\$191,000			

<b>Subrecipient Name (Administrative Agency name)</b> Dallas County Health and Human Services		<b>Parent Company (if applicable, this would apply if the AA is part of a larger “parent” company)</b> n/a		
<b>Name and Title of Contact at Subrecipient</b>	Crystee Cooper-Walton, Grants Management Officer			
<b>Email Address</b>	CWalton@dallascounty.org			
<b>Business Address</b>	Dallas County Health and Human Services HIV Grants Management 2377 N. Stemmons Freeway, Suite 200			
<b>City, State, Zip, County</b>	Dallas	Texas	75207-2710	Dallas

<b>Subrecipient Name (Administrative Agency name)</b> Dallas County Health and Human Services		<b>Parent Company (if applicable, this would apply if the AA is part of a larger "parent" company)</b> n/a
<b>Phone Number (with area code)</b>	214.819.1842	<b>Fax Number (with area code)</b> 214.819.6023
<b>Employer Identification Number (EIN) or Tax Identification Number (TIN)</b>	75-6000905	
<b>DUN &amp; Bradstreet Number (DUNs)</b>	073128597	<b>Central Contractor Registration (CCR): Is the grantee's CCR status currently active?</b> <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
<b>North American Industry Classification System (NAICS) Code</b>	n/a	
<b>Congressional District of Location</b>	26	
<b>Congressional District of Primary Service Area</b>	n/a	
<b>Zip Code of Primary Service Area(s)</b>	n/a	
<b>City(ies) and County(ies) of Primary Service Area(s)</b>	n/a	n/a
<b>Total HOPWA Contract Amount</b>	\$59,000	

### A. Grantee and Community Overview

Provide a one to three page narrative summarizing major achievements and highlights that were proposed and completed during the program year. Include a brief description of the grant organization, area of service, the name(s) of the program contact(s), and an overview of the range/type of housing activities provided. This overview may be used for public information, including posting on HUD's website. *Note: Text fields are expandable.*

Situated within a comprehensive network of HIV care services, the Texas HOPWA Formula program addresses the unmet housing and supportive services needs of people living with HIV (PSWH) and their families in Texas by providing housing assistance and supportive services to income-eligible individuals. These services are integrated with the larger Ryan White Program both in administration and service delivery, which in turn is integrated into the larger, multi-sectoral system for delivering treatment and care to these clients. The goals of the HOPWA program are to help low-income HIV-positive clients establish or maintain affordable and stable housing, to reduce the risk of homelessness, and to improve access to health care and supportive services. As of the end of 2009, 66,126 persons were known to be living with HIV in Texas<sup>3</sup>, which is an increase of 3,167 from 2008, and housing is consistently cited as a service gap in every service area in Texas<sup>4</sup>.

The HOPWA Formula program is administered by the TB/HIV/STD Unit - HIV/STD Prevention and Care Services Branch of the Department of State Health Services (DSHS) and provides the following services:

**Tenant-Based Rental Assistance (TBRA) program:** The TBRA program provides tenant-based rental assistance to eligible individuals until they are able to secure other affordable and stable housing.

**Short-Term Rent, Mortgage, and Utilities (STRMU) assistance program:** The STRMU program provides short-term rent, mortgage, and utility payments to eligible individuals for a maximum of 21 weeks of assistance in a 52-week period.

**Supportive Services program:** The Supportive Services program provides case management, basic telephone service and assistance to purchase smoke detectors to eligible individuals.

**Permanent Housing Placement Services (PHP):** The PHP program provides assistance for housing placement costs which may include application fees, related credit checks, and reasonable security deposits necessary to move persons into permanent housing.

**Areas of service coverage within jurisdiction:** The HOPWA Formula program serves all 254 counties in Texas.

**Grant Management:** DSHS selects seven Administrative Agencies (AAs) across the state through a combination of competitive Requests for Proposal (RFP) and intergovernmental agency contracts. The AAs act as an administrative arm for DSHS, with DSHS oversight, by administering the HOPWA program locally for a five-year project period. The AAs, in turn, select HOPWA Project Sponsors through local competitive processes. DSHS reserves three percent of the total HOPWA award for the administrative costs of both DSHS and its AAs. Project Sponsors are allowed up to seven percent of their Project Sponsor allocation amount for administrative costs. The HIV/STD Prevention and Care Branch has a team of consultants and managers that are assigned to monitor the contract activities of the AAs. This monitoring involves periodic site and technical assistance visits by the consultants, and the submission of monthly billing reports and quarterly progress reports by the Project Sponsors and AAs. AAs are required to comply with HUD regulations, the DSHS Program Manual, and their contractual Statement of Work.

On March 9, 2010, the Brazos Valley Council of Governments (BVCOG) AA terminated the contract with the San Angelo AIDS Foundation and a new contract was signed in May with Shannon Supportive Health Services to service the same area. BVCOG and Shannon Supportive Health worked with a Memorandum of Understanding (MOU) from March-May to prevent any interruption in service to clients.

<sup>1</sup> 2009 Annual Texas HIV Surveillance Report; <http://www.dshs.state.tx.us/WorkArea/linkit.aspx?LinkIdentifier=id&ItemID=8589941852>

<sup>2</sup> 2008-2010 Texas Statement of Coordinated Need

### B. Annual Performance under the Action Plan

Provide a narrative addressing each of the following four items:

**1. Outputs Reported.** Describe significant accomplishments or challenges in achieving the number of housing units supported and the number households assisted with HOPWA funds during this operating year compared to plans for this assistance, as approved in the Consolidated Plan/Action Plan. Describe how HOPWA funds were distributed during your program year among different categories of housing and geographic areas to address needs throughout the grant service area, consistent with approved plans.

#### Outputs Reported

In the State's Consolidated Action Plan 2010, DSHS proposed to serve 550 TBRA households and 700 STRMU households. DSHS re-evaluated the allocation formula for 2010 and revised its funding allocations based on historical expenditure data and reported waiting lists. The funds were reallocated to address the housing needs in areas with greater evidence of unmet need for HOPWA services. This initiative was very successful, as described below. Approximately 79% (\$2,362,200 of \$2,992,062 total allocated funds) was budgeted for direct housing assistance (STRMU and TBRA). DSHS' goal was to distribute more funds to decrease the number of clients on the waiting list and to spend down the previous year's balance. The Texas formula award was increased by a little over 7%, or \$192,649, in 2010. DSHS received \$2,818,502 in 2010 compared to \$2,625,853 in 2009. As a result of the strategy to address housing needs in areas with greater evidence of unmet, the total of unduplicated clients on waiting lists (TBRA and STRMU) *decreased by more than half* from the 2009 to the 2010 project year. The number of clients on waiting lists at the end of the 2010 project year was 77 compared to 184 at the end of the 2009 project year.

In the 2010 HOPWA project year (2/01/2010 to 1/31/2011), DSHS served 527 households with TBRA (18 fewer than in 2009) and 578 households with STRMU assistance (67 fewer than in 2009). Of the total 1,096 unduplicated households served, 1,077 households (98%) also received HOPWA-funded Supportive Services, and 15 households received Permanent Housing Placement services (PHP). DSHS also supported an additional 1,001 family members residing with the HOPWA clients. Because one sponsor sometimes uses non-HOPWA funds for some clients' housing case management, the number of clients reported as receiving HOPWA Supportive Services reflects a slightly lower number than the actual number of clients who received housing case management.

Goals proposed in the Action Plan are based on the prior year's award, past performance, and projected performance. These goals are proposed prior to obtaining information from subcontractors. After the Action Plan is submitted, the DSHS Contracts Management Unit (CMU) contracts with each of the AAs. With DSHS HIV/STD Prevention and Care Branch consultants and AA collaboration, goals are established for each of the Project Sponsors based on contracted allocations and individual sponsor capacity. These goals differ slightly from the goals DSHS initially proposed in the Action Plan. Based on the cumulative totals of those TBRA, STRMU, Support Services, and PHP goals that were established with the sponsors (post-Action Plan), the outcomes are impressive. The 2010 TBRA goal was 462 and actual households served exceeded that goal by 14% (65 additional households, 527 total served). The STRMU goal was 651 and the households served totaled 578, which is 89% of the goal. The Support Services goal was 1087 and actual households served was 1077 households served, which is 99%. The PHP goal was 11 households and actual households exceeded that goal by 37% (15 served).

For Special Needs Clients, 12 clients were served in 2010 that are categorized as chronically homeless as opposed to three chronically homeless clients served in 2009. Twenty-eight veterans were served in 2010 compared to 36 served in 2009.

Housing Availability was the most significant reason cited as a barrier to meeting program services goals, followed by Housing Affordability and Eligibility Issues. Housing options are decreased by the absence of identification, proof of legal residency, credit history, and criminal history. Affordable housing is very limited as rents, utility costs, and deposits continue to rise while clients' income does not change or actually decreases. Affordable housing continues to be an ongoing issue also due to clients'

lack of income, lack of deposits or applications fees, and because housing placement requires 2 ½ times income. The Housing Choice Voucher (HCV) program is not offered in some cities or in many of the small counties, which results in cost-shifting to the HOPWA program.

One sponsor explains the impact of housing affordability, low client income, and the economy: *All rental contracts and leases were reviewed and found most had an increase in rent over the prior year. Even though the local Housing Authority Utility allowance has decreased, the Fair Market Rental allowances have augmented, bringing an increase to the 2011 rental assistance needs. Since the Social Security allowances have remained the same, and the country's financial instability is on the rise, clients are distressed with the amount of benefits left to cover living expenses.*

**2. Outcomes Assessed.** Assess program goals against actual client outcomes for achieving housing stability, reducing risks of homelessness, and improving access to care. If current year results are lower than the national program targets (80 percent of HOPWA clients maintain housing stability, avoid homelessness and access care), please describe the steps being taken to achieve the national outcome goal in next operating year.

### **Outcomes Assessed**

Actual client outcomes for housing stability, reducing risks of homelessness, and improving access to care were achieved for 2010. In 2010, a majority of HOPWA clients received housing case management (89%) and had a housing plan (88%), as reported in the HOPWA Outcomes on Access to Care and Support Chart. By the end of the 2010 HOPWA project year, 93% of TBRA (same as 2009) and 95% of STRMU households (down 1% from 2009) were living in stable housing, both well above the national goal (80%). Both the quantitative and qualitative data show that HOPWA services do improve clients' access to supportive services and health care, although the percentages slightly decreased from 2009 to 2010. Project Sponsors reported 88% (down from 94% in 2009) of HOPWA clients had contact with a primary health care provider, 60% (down from 72% in 2009) had medical insurance coverage or medical assistance, 73% (down from 79% in 2009) maintained sources of income, and 16% (down from 27% in 2009) secured an income-producing job. Barriers reported indicate declines in the ability to obtain or maintain medical insurance, maintain income, and obtain employment are partially due to a difficult economy in conjunction with rising costs of living (rent, deposits, utilities, food, transportation, etc.), high unemployment, and/or no access to health insurance.

Project Sponsors also reported in their narratives that clients receiving housing assistance through the HOPWA program showed improved compliance with medication, adherence to medical and counseling appointments (although transportation and lack of proper documentation were frequently cited as reason not all clients had improved adherence), and increased access to supportive services such as Section 8 housing, voucher programs, etc.).

HOPWA expenditures per household averaged \$3,809 annually per TBRA household and \$613 annually per STRMU household (compared to \$3,464 for TBRA and \$811 for STRMU in 2009, and \$3,154 for TBRA and \$719 for STRMU in 2008). This is evidence of continued increases in rent and utilities in 2010 compared to 2009 and 2008 for TBRA assistance, but fewer dollars on average expended for STRMU assistance. The majority (70%) of TBRA households exiting at the end of the project year had received assistance for more than 6 months and over half (38%) of the 70%, received TBRA assistance for more than 12 months. This is additional evidence that TBRA clients are dependent on HOPWA for extended periods of time and are unable to transition to other affordable and stable housing. Reports also show that 70% of TBRA clients are continuing to the following year, which remained stable compared to last year's rate of 70%, and represents a significant improvement from 82% in 2008.

Budget restraints continue to be a challenge for AA's and Project Sponsors, but considerable effort to find viable solutions, continue to help clients, and "do more with less" is consistently demonstrated by the AAs and Project Sponsors. One sponsor states *"We have enrolled 2 clients in the TBRA as of August 2010. Our budget funds are going to allow us to help those two clients we had in the waiting list. Starting in August our rental assistance amounts has decreased a bit and we now are servicing 6 clients. We are now looking at enrolling 1 or 2 more clients for the next quarter. We are also requesting a budget reallocation*



*because we had exhausted our STRMU funds, but since we are going to do a budget reallocation of funds from our supportive services and our TBRA, with these funds in our next 3 months, the funds will allow us to help other persons in need of paying their utility bills. “*

Another sponsor explains how challenges were turned into opportunities for improvement: *“The 4th quarter brought some gratifying challenges, and an opportunity to visit with every client. The HOPWA annual recertification was completed. Monthly meetings were held and attended. Several local community HIV/AIDS leaders attend these meetings. Two HOPWA audits were held: One from the AA and the other from EPMHMR-RO. Both audits brought out issues regarding how to better the program. The findings have been resolved and procedures are being implemented.*

This sponsor cites success in keeping clients in stable housing: *“The 5 households served by TBRA and the 5 households served by STRMU were able to successfully remain in their housing because of the assistance provided. One particular client was living in an apartment that was not in good shape and she was in a domestic violence situation. The TBRA assistance allowed the client to flee this situation and obtain safe, stable housing. The client now attends regular health care appointments. She is more able to focus on health needs that were being ignored, such as, eye care and dental care now.”*

In addition to the quantitative data collected from Project Sponsors, DSHS also collects the success stories of the HOPWA clients served throughout the year that truly demonstrate how the HOPWA program benefits people living with HIV/AIDS in Texas. The following HOPWA stories demonstrate how the TBRA program assisted clients to improve access to care, receive supportive services to prevent homelessness, and achieve housing stability.

*One client was assisted with housing through HOPWA funds. The client is a 36 year old female, who was recently released from prison and was living with family members. The client was the mother of three children; 18, 16 and is the primary caregiver for her 2 month-old niece. The family members that the client was living with were subsequently evicted from their apartment. The client suffered from mild depression because she was unable to find stable housing, which would help provide stability for her three children. The client had a criminal history, which made it difficult for her to find suitable housing. Leasing agents denied her services because of her criminal back ground. The HOPWA Program was able to assist her with monies to pay a private landlord who agreed to lease to the client. The client is now stable and able to access services*

*There was one client during this last quarter that moved to Amarillo from Nevada. Once signed up for services we discovered that the client was homeless and living in his van. The client was able to obtain a lease in his name at a local apartment complex. The sponsor is assisting the client in maintaining a one bedroom apartment with TBRA assistance and the client is no longer on the “streets” and is receiving his medical care through a District Clinic.*

The following HOPWA stories exemplify how the STRMU program helped clients in different ways for emergencies and other short-term assistance needs, including one client that was able to transition to TBRA.

*We were able to add a new gentleman to the program who was on the verge of eviction. He had lost his job several months earlier due to health issues and had exhausted all of his saving to pay for his living expenses. He had missed two months of his rent and was not going to his doctor appointments. We were able to assist with his past due rent through STRMU and then moved him into the TBRA side of HOPWA. He is now very compliant with his medical appointments since he is less stressed and focused on his living situation. We were also able to help a client get back onto TBRA after spending several months in the local county jail. The case manager maintained contact with her while she was incarcerated therefore her transition out of jail and back into stable living was very smooth.*

*One client that received HOPWA STRMU assistance was unable to pay past due medical bills to her pain management provider. Client was told that she could no longer see her pain management provider until client paid her past due medical bills. CA*



*assisted client with HOPWA STRMU rent services, so that client could pay her past due medical bills and be seen by her pain management provider.*

*Client 28-93 - Client is a 57 year old male who was placed on STRMU this past fall. Client was on the verge of losing his home due to the fact that both he and his wife were let go from their jobs when the companies for which they worked experienced layoffs this past year. Client was extremely concerned that he would not be able to make his mortgage payment since he had no source of income. The assistance of STRMU allowed client and his wife to retain their home until there unemployment benefits kicked in and they were be able to cover the mortgage payment. Client and his wife are now able to make their mortgage payment without STRMU assistance, client knows that if circumstances with his unemployment benefits change client can return and apply for HOPWA once again.*

*One story includes a male client whose kidneys failed and he had to stop working. This client's unemployment and disability had not started yet causing him to need assistance with his electric bill. The client applied for assistance through STRMU and was able to get the assistance he needed with his electric bill. Next, is the female client who lost her job and unemployment benefits have not started. In this situation the client applied for STRMU assistance for help with electric service and her electric bill was paid.*

*One client this quarter was able to maintain his housing after being out of care for an extended period of time and losing his ability to work due to his failing health. This 39 year old white male was able to access STRMU with the assistance of his MCM. By accessing STRMU, the client's health is improving and he looks forward to getting back to being self-sufficient.*

*During the 4th quarter two (2) households were prevented from having their homes put in foreclosure by receiving short-term mortgages assistance. Nine families received emergency rental assistance and were prevented from being evicted from their homes.*

*Ten (10) families were able to keep their utilities from being cut off with short term utility assistance*

*Client, 49 year old male, came in seeking ER HOPWA. Client has two young sons living with him. Client stated that he no longer has odd jobs. He was let go due to cutbacks and lack of funds. Client is behind two months on his mortgage and all his utility bills have accumulated. He fears his home will be taken away and does not have family he can move in with, or get any kind of assistance. Thru the help of the ER HOPWA program was able to pay for all his bills and mortgage payments. Client was very appreciative for the ER HOPWA assistance. He stated that without the help he would have lost his home and maybe even his children. Now he can continue to look for work and knows he can still come back to his home.*

The following HOPWA success stories show how the HOPWA program helped clients maintain housing where the clients experienced multiple personal issues that interfered with the clients' well-being and financial ability to maintain housing.

*A 34-year old white male, had previously been housed with family, most of whom had longstanding substance abuse problems with methamphetamines. Since enrolling in HOPWA, client has remained drug-free and has been adherent with his medical appointments, something that was never true when he lived with family members.*

*A 36-year old African American client with history of mental health issues had lost her job since becoming eligible for HOPWA. Because of the HOPWA allowance which permitted her to remain in stable housing ,the client was able to enroll in a church-based program for obtaining a GED and has since moved on to post-high school training as a phlebotomist and has done so well that she is being asked to serve as an instructor for the program.*

*One client, a 19-year old single woman, was living in a 2- bedroom apartment with three of her sisters. Her sisters' boyfriends were at the apartment often, and the client states that her sisters and their boyfriends ate all of the food she bought and never contributed to any household expenses. The client was the only person in the household that had income and she said she had to work extra shifts to support the entire household. Since the client has been receiving HOPWA TBRA assistance, she has been able to get her own apartment and no longer has to support her sisters and their boyfriends. The TBRA assistance has also enabled her to become a temporary guardian for the infant daughter of one of her sisters so the baby would not have to be put into foster care while her sister completes the requirements of Children's Protective Services.*

**3. Coordination.** Report on program coordination with other mainstream housing and supportive services resources, including the use of committed leveraging from other public and private sources that helped to address needs for eligible persons identified in the Consolidated Plan/Strategic Plan.

On the state level, DSHS collaborates with the Texas Department of Housing and Community Affairs (TDHCA) to produce the HOPWA Action Plan for the state's Consolidated Plan for housing. Additionally, the DSHS HIV/STD Prevention and Care Services Branch competitively selects and contracts with 7 AAs to administer the HOPWA formula program in Texas. AAs contract with the Project Sponsors for each HIV Service Delivery Area (HSDA) under their jurisdiction to deliver the HOPWA services. AAs and Project Sponsors are part of an HIV care network supported with state formula funds which consists of 54 local providers, who provide a range of medical, psychosocial, and support services available to eligible individuals living with HIV/AIDS. In each HSDA, Project Sponsors collaborate locally with these providers to assure that HOPWA clients have access to supportive services and health care. Additionally, Project Sponsors collaborate with local public housing programs in their areas to provide referrals to HOPWA clients to secure affordable and stable housing and to address local housing needs, such as the Housing Choice Voucher program, Shelter Plus Care, community health clinics and churches, and Ryan White and HIV Planning Councils.

**4. Technical Assistance.** Describe any program technical assistance needs and how they would benefit program beneficiaries.

Technical assistance on how to address housing affordability and availability, client credit, criminal histories, guidance on undocumented residents/lack of identification, and how to work with re-entry populations in meeting their housing needs would greatly assist HOPWA clients as these are the most commonly reported issues HOPWA clients face in locating and maintaining stable and affordable housing. Lack of funding, and closed and/or long waiting list for the Housing Choice Voucher program are the main reasons clients are waitlisted and continuously dependent on HOPWA. Information on how to address these issues and locate alternative housing resources is strongly needed to assist HOPWA clients. How to collect and report leveraged funds is also another area of assistance frequently requested by Project Sponsors.

*C. Barriers and Trends Overview*

Provide a narrative addressing items 1 through 3. Explain how barriers and trends affected your program's ability to achieve the objectives and outcomes discussed in the previous section.

1. Describe any barriers (including regulatory and non-regulatory) encountered, actions taken in response to barriers, and recommendations for program improvement. Provide an explanation for each barrier selected.

<input checked="" type="checkbox"/> HOPWA/HUD Regulations	<input checked="" type="checkbox"/> Planning	<input checked="" type="checkbox"/> Housing Availability	<input checked="" type="checkbox"/> Rent Determination and Fair Market Rents
<input type="checkbox"/> Discrimination/Confidentiality	<input checked="" type="checkbox"/> Multiple Diagnoses	<input checked="" type="checkbox"/> Eligibility	<input checked="" type="checkbox"/> Technical Assistance or Training
<input type="checkbox"/> Supportive Services	<input checked="" type="checkbox"/> Credit History	<input checked="" type="checkbox"/> Rental History	<input checked="" type="checkbox"/> Criminal Justice History
<input checked="" type="checkbox"/> Housing Affordability	<input checked="" type="checkbox"/> Other, please explain further		

Program barriers and trends reported in 2010 were very similar to previous years. Housing Availability was the most significant reason cited as a barrier to meeting program services goals, followed by Housing Affordability and Eligibility Issues. Transportation was also frequently mentioned.

Housing options are decreased by the absence of identification, proof of legal residency, credit history, and criminal history. Affordable housing is very limited as rents, utility costs, and deposits continue to rise while clients' income does not change or actually decreases. Affordable housing continues to be an ongoing issue also due to clients' lack of income, lack of deposits or applications fees, and because housing placement requires 2 ½ times income. The Housing Choice Voucher (HCV) program is not offered in some cities nor in many of the small counties, which results in cost-shifting to the HOPWA program. Closed and/or long waiting lists for the Housing Choice Voucher program and lack of low income housing were reported as the main causes of housing affordability and availability issues. In the majority of areas, the Housing Choice Voucher programs were no longer accepting applications from clients.

Project Sponsors report working with landlords and local housing providers to address clients' credit and criminal histories, and report that they are constantly seeking acceptable housing with more lenient requirements. In spite of the efforts that Project Sponsors already make to collaborate with local resources, these kinds of issues remain a continuous challenge for Project Sponsors to appropriately address. Additionally, as Project Sponsors seek to work with landlords and housing agencies, they encounter problems in maintaining these relationships because some clients who have been placed have broken leases, have poor rental history, have damaged apartments, and/or have been otherwise non-compliant with requirements.

Eligibility continues to be a problem for transition to other programs as Section 8 is frequently closed and not taking applications, or lack of proper identification or documentation prevents clients from moving off of HOPWA and into other programs.

Many clients served are low income with limited resources and limited education. Combined with mental and/or substance abuse issues and other complications, and that clients may not always fully understand housing rules and regulations, these clients are in danger of not complying with the rules and possibly at risk of losing their homes. Clients sometimes are intimidated and may fail to follow through with the requests and appointments that may help rectify the issues with housing. Additionally, if the client is evicted, the client sometimes does not understand their rights to an appeal or how to represent themselves. As part of the care plan, the case managers attempt to coach, educate, and assist the clients through these processes.

DSHS requires Project Sponsors to collaborate with local resources, make referrals through comprehensive case management, and seek alternative funding and housing resources. However, such resources remain limited, and are increasingly strained by the economic downturns that are simultaneously decreasing local resources for housing support and increasing demand for housing assistance and affordable housing. Funding for HOPWA and other targeted programs are consistently surpassed by need. The main recommendation for program improvement would be to provide more funding for the HOPWA program and the Housing Choice Voucher program as funding appears to be the main solution to meeting the housing needs of HOPWA clients. It would also be helpful for HUD to reassess the Fair Market Rents so that they are in line with actual housing costs and so clients can benefit more from HOPWA services.

### **Reporting and financial management**

Project Sponsors continue to report that there are still challenges in collecting, tracking and reporting HOPWA program data. An ongoing barrier to the administration of the HOPWA program concerns the due date for the HOPWA annual report and discrepancies between fiscal and programmatic management of the program through the Integrated Disbursement Information System (IDIS). DSHS General Provisions for services contracts allow contractors 60 days after the end of the contract period to submit vouchers for payment, and DSHS takes another 30 days to process those vouchers for payment. The payments are then submitted to the DSHS Federal Funds Reporting Branch to draw down reimbursement of DSHS

through IDIS. Since the contracts end January 31 of each year, this means that Administrative Agencies have until March 31 to present vouchers to DSHS for payment, and those expenditures are not entered into IDIS for another 4 to 6 weeks, or May 15 of each year. Therefore, HOPWA expenditures for the project year may not be accurately reflected in IDIS and the CAPER report until well past the April 30 due date of the HOPWA CAPER report. Another barrier regarding financial management of the HOPWA program concerns our reliance on our Administrative Agencies to verify expenditures at the Project Sponsor level; this increases the timeframe within which verifications are done and the complexity of financial monitoring. DSHS verifies expenditures at the Administrative Agency level.

2. Describe any trends in the community that may affect the way in which the needs of persons living with HIV/AIDS are being addressed, and provide any other information important to the future provision of services to this population.

As described above, the housing availability, affordability, and eligibility issues are the trends in 2010 that continue to be the main concerns affecting the needs of persons living with HIV/AIDS in Texas. These issues may lead to homelessness and impact access to care and/or treatment adherence due to higher living costs or housing instability. More funding, collaboration, and comprehensive case management are important for the future provision of HOPWA and the sustainability of housing stability for current HOPWA clients.

3. Identify any evaluations, studies, or other assessments of the HOPWA program that are available to the public.

n/a

**D. Unmet Housing Needs: An Assessment of Unmet Housing Needs**

In Chart 1, provide an assessment of the number of HOPWA-eligible households that require housing assistance but are not currently served by HOPWA in this service area.

In Line 1, report the total unmet need of the geographical service area, as reported in Unmet Needs for Persons with HIV/AIDS, Table 1B of the Consolidated or Annual Plan(s), or as reported under HOPWA worksheet in the Needs Workbook of the Consolidated Planning Management Process (CPMP) tool. Note: Report most current data available, through Consolidated or Annual Plan(s), and account for local housing issues, or changes in HIV/AIDS cases, by using combination of one or more of the sources in Chart 2.

In Rows a through c, enter the number of HOPWA-eligible households by type of housing assistance whose housing needs are not met. For an approximate breakdown of overall unmet need by type of housing assistance refer to the Consolidated or Annual Plan (s), CPMP tool or local distribution of funds.

**1. Assessment of Unmet Need for HOPWA-eligible Households**

1. Total number of households that have unmet housing needs	= 77
<b><i>From Item 1, identify the number of households with unmet housing needs by type of housing assistance</i></b>	
a. Tenant-Based Rental Assistance (TBRA)	= 32
b. Short-Term Rent, Mortgage and Utility payments (STRMU)	= 45
c. Housing Facilities, such as community residences, SRO dwellings, other housing facilities	= n/a

**2. Recommended Data Sources for Assessing Unmet Need (check all sources used)**

= Data as reported in the area Consolidated Plan, e.g. Table 1B, CPMP charts, and related narratives
= Data established by area HIV/AIDS housing planning and coordination efforts, e.g. Continuum of Care

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= Data as reported in the area Consolidated Plan, e.g. Table 1B, CPMP charts, and related narratives
= Data from client information provided in Homeless Management Information Systems (HMIS)
X = Data from project sponsors or housing providers, including waiting lists for assistance or other assessments on need
= Data from prisons or jails on persons being discharged with HIV/AIDS, if mandatory testing is conducted
= Data from local Ryan White Planning Councils or reported in CARE Act Data Reports, e.g. number of clients with permanent housing
= Data collected for HIV/AIDS surveillance reporting or other health assessments, e.g. local health department or CDC surveillance data

**End of PART 1**

## **PART 2: Sources of Leveraging**

Report the source(s) of cash or in-kind leveraged federal, state, local or private resources identified in the Consolidated or Annual Plan and used in the delivery of the HOPWA program and the amount of leveraged dollars.

### **2010 HOPWA Leveraged Funds by Program Activity and Funding Source**

	<b>Sources of Leveraging (cash resources)</b>	<b>Housing Assistance</b>	<b>Supportive Services, Permanent Housing Placement and other non- direct housing costs</b>
1.	<b>Program Income</b>	\$27,000	\$18,000
2.	<b>Federal government</b>		
	Ryan White	\$5,478	\$597,812
3.	<b>State government</b>		
	State HIV Services	\$35,174	\$97,074
4.	<b>Local government</b>		
	Housing Choice Voucher	\$57,884	-
	Baptist Healthcare	-	\$8,710
	United Way	-	\$3,600
	CSBG	\$2,794	
	Other HOPWA/HUD	\$24,961	
5.	<b>Foundations and other private cash resources</b>		
	Mac Cares	\$800	-
	Circle of Hope	\$375	\$581
	Misc. Foundations	-	\$2,374
	Food Bank	-	\$5,890
	Broadway Cares	-	\$5,000
	Mac AIDS	-	\$3,000
	Community Action Inc. Donor	\$8,975	
6.	<b>In-kind Resources</b>		
	Misc.		4,526
7.	<b>Resident rent payments in Rental, Facilities, and Leased Units</b>	\$416,142	-
8.	<b>Grantee/project sponsor (Agency) cash</b>	\$0	-
9.	<b>TOTAL (Sum of 1-8)</b>	\$579,583	\$792,173

DSHS also collects leveraged dollars AAs expended on administrative costs because AAs do not receive any HOPWA funding to administer the HOPWA program. For 2010, AAs reported \$150,079 (down from \$182,232 in 2009) leveraged for HOPWA administrative costs. In addition, DSHS leveraged approximately \$115,355 (down from \$205,879 in 2009) of federal and state funds to provide administration at the state level. This is a conservative estimate of \$265,434 (down from \$388,111 in 2009) leveraged for administrative costs to support the 2010 HOPWA program.

**End of PART 2**

### PART 3: Accomplishment Data - Planned Goal and Actual Outputs

In Chart 1, enter performance information (goals and actual outputs) for all activities undertaken during the operating year supported with HOPWA funds. Performance is measured by the number of households and units of housing that were supported with HOPWA or other federal, state, local, or private funds for the purposes of providing housing assistance and support to persons living with HIV/AIDS and their families. *Note: The total households assisted with HOPWA funds and reported in PART 3 of the CAPER should be the same as reported in the annual year-end IDIS data, and goals reported should be consistent with the Annual Plan information. Any discrepancies or deviations should be explained in the narrative section of PART 1.*

HOPWA Performance Planned Goal and Actual		Output Households				Funding	
		HOPWA Assistance		Non-HOPWA		e.	f.
		a.	b.	c.	d.		
<b>Housing Subsidy Assistance</b>		<b>Output Households</b>					
1.	Tenant-Based Rental Assistance	550	527	0	81	2,007,601	1,867,709
2a.	Households in permanent housing facilities that receive operating subsidies/leased units	n/a	n/a	n/a	n/a	n/a	n/a
2b.	Households in transitional/short-term housing facilities that receive operating subsidies/leased units	n/a	n/a	n/a	n/a	n/a	n/a
3a.	Households in permanent housing facilities developed with capital funds and placed in service during the program year	n/a	n/a	n/a	n/a	n/a	n/a
3b.	Households in transitional/short-term housing facilities developed with capital funds and placed in service during the program year	n/a	n/a	n/a	n/a	n/a	n/a
4.	Short-Term Rent, Mortgage and Utility Assistance	700	578	0	162	354,599	431,996
5.	Adjustments for duplication (subtract)	0	63	0	0		
6.	<b>Total Housing Subsidy Assistance</b>	1,250	1,096	0	243	2,362,200	2,299,705
<b>Housing Development (Construction and Stewardship of facility based housing)</b>		<b>Output Units</b>					
7.	Facility-based units being developed with capital funding but not opened (show units of housing planned)	n/a	n/a	n/a	n/a	n/a	n/a
8.	Stewardship Units subject to 3 or 10 year use agreements	n/a	n/a	n/a	n/a		
9.	<b>Total Housing Developed</b>	n/a	n/a	n/a	n/a	n/a	n/a
<b>Supportive Services</b>		<b>Output Households</b>					
10a.	Supportive Services provided by project sponsors also delivering HOPWA housing assistance	1250	1077			437,041	425,505
10b.	Supportive Services provided by project sponsors serving households who have other housing arrangements	n/a	n/a			n/a	n/a
11.	Adjustment for duplication (subtract)	0	0	n/a	n/a		
12.	<b>Total Supportive Services</b>	1250	1077			437,041	425,505
<b>Housing Placement Assistance Activities</b>							
13.	Housing Information Services	n/a	n/a			n/a	n/a
14.	Permanent Housing Placement Services	20	15			4,315	3,313
15.	Adjustment for duplication	0	0			n/a	n/a
16.	<b>Total Housing Placement Assistance</b>	20	15			4,315	3,313
<b>Grant Administration and Other Activities</b>							
17.	Resource Identification to establish, coordinate and develop housing assistance resources					n/a	n/a
18.	Technical Assistance (if approved in grant agreement)					n/a	n/a
19.	Grantee Administration (maximum 3% of total HOPWA grant)					84,555	
20.	Project Sponsor Administration (maximum 7% of portion of HOPWA grant awarded)					174,174	163,926
<b>Total Expenditures for program year (Sum of rows 6, 9, 12, 16, and 20)</b>						2,977,730	2,892,449



**1. HOPWA Performance Planned Goal and Actual Outputs****2. Listing of Supportive Services**

Report on the use of HOPWA funds for all supportive services. In Rows 1 through 16, provide the (unduplicated) total of all households and expenditures for each type of supportive service for all project sponsors.

Supportive Services		Number of <u>Households</u> Receiving HOPWA Assistance	Amount of HOPWA Funds Expended
1.	Adult day care and personal assistance	-	
2.	Alcohol and drug abuse services	-	
3.	Case management/client advocacy/ access to benefits & services	1077	425,505
4.	Child care and other child services	-	
5.	Education	-	
6.	Employment assistance and training	-	
7.	Health/medical/intensive care services, if approved Note: Client records must conform with 24 CFR §574.310	-	
8.	Legal services	-	
9.	Life skills management (outside of case management)	-	
10.	Meals/nutritional services	-	
11.	Mental health services	-	
12.	Outreach	-	
13.	Transportation	-	
14.	Other Activity (if approved in grant agreement). Specify:	-	
15.	<b>Adjustment for Duplication (subtract)</b>	-	
16.	<b>TOTAL Households receiving Supportive Services (unduplicated)</b>	1077	425,505

**End of PART 3**

**Part 4: Summary of Performance Outcomes**

HOPWA Long-term Performance Objective: *Eighty percent of HOPWA clients will maintain housing stability, avoid homelessness, and access care each year through 2011.*

**Section 1. Housing Stability: Assessment of Client Outcomes on Maintaining Housing Stability (Permanent Housing and Related Facilities)**

In Column 1, report the total number of eligible households that received HOPWA housing assistance, by type. In Column 2, enter the number of households continuing to access each type of housing assistance, the following year. In Column 3, report the housing status of all households that exited the program. Columns 2 (Number of Households Continuing) and 3 (Exited Households) summed will equal the total households reported in Column 1. *Note: Refer to the housing stability codes that appear in Part 5: Worksheet - Determining Housing Stability Outcomes.*

[A] Permanent Housing Assistance	[1] Total Number of Households Receiving Housing Assistance	[2] Assessment: Number of Households Continuing with this Housing (per plan or expectation for next year)		[3] Assessment: Number of Exited Households and Housing Status	
Tenant-Based Rental Assistance	= 527	= 370		1 Emergency Shelter/Streets	= 1
				2 Temporary Housing	= 1
				3 Private Housing	= 88
				4 Other HOPWA	= 5
				5 Other Subsidy	= 27
				6 Institution	= 0
				7 Jail/Prison	= 11
				8 Disconnected/Unknown	= 11
				9 Death	= 13
Permanent Supportive Housing Facilities/Units	= n/a	= n/a		1 Emergency Shelter/Streets	=
				2 Temporary Housing	=
				3 Private Housing	=
				4 Other HOPWA	=
				5 Other Subsidy	=
				6 Institution	=
				7 Jail/Prison	=
				8 Disconnected/Unknown	=
				9 Death	=
[B] Transitional Housing Assistance	[1] Total Number of Households Receiving Housing Assistance	[2] Of the Total Number of Households Receiving Housing Assistance this Operating Year		[3] Assessment: Number of Exited Households and Housing Status	
Transitional/Short-Term Supportive Facilities/Units	= n/a	Total number of households that will continue in residences:	=	1 Emergency Shelter/Streets	=
		Total number of households whose tenure exceeded 24 months:	=	2 Temporary Housing	=
		3 Private Housing	=		
		4 Other HOPWA	=		
		5 Other Subsidy	=		
		6 Institution	=		
		7 Jail/Prison	=		
		8 Disconnected/unknown	=		
		9 Death	=		

**Section 2. Prevention of Homelessness: Assessment of Client Outcomes on Reduced Risks of Homelessness (Short-Term Housing Assistance)**

Report the total number of households that received STRMU assistance in Column 1. In Column 2, identify the result of the housing assessment made at time of assistance, or updated in the operating year. (Column 3 provides a description of housing outcomes; therefore, data is not required.) In Row 1a, enter the total number of households served in the prior operating year that received STRMU assistance this year. In Row 1b, enter the total number of households that received STRMU Assistance in the 2 prior operating years that received STRMU assistance this year. *Note: The sum of Column 2 should equal the number of households reported in Column 1.*

**Assessment of Households receiving STRMU Assistance**

[1] STRMU Housing Assistance	[2] Assessment of Housing Status		[3] HOPWA Client Outcomes
= 578	Maintain Private Housing without subsidy (e.g. Assistance provided/completed and client is stable, not likely to seek additional support)	= 116	<i>Stable/Permanent Housing (PH)</i>
	Other Private Housing without subsidy	= 194	
	Other HOPWA support (PH)	= 89	
	Other housing subsidy (PH)	= 13	
	Institution (e.g. residential and long-term care)	= 1	
	Likely to maintain current housing arrangements, with additional STRMU assistance	= 145	<i>Temporarily Stable, with Reduced Risk of Homelessness</i>
	Transitional Facilities/Short-term (e.g. temporary or transitional arrangement)	= 1	
	Temporary/non-permanent Housing arrangement (e.g. gave up lease, and moved in with family or friends but expects to live there less than 90 days)	= 3	
	Emergency Shelter/street	= 0	<i>Unstable Arrangements</i>
	Jail/Prison	= 6	
	Disconnected	= 2	
	Death	= 8	<i>Life Event</i>
	1a. Total number of households that received STRMU assistance in the prior operating year, that also received STRMU assistance in the current operating year.		
1b. Total number of those households that received STRMU assistance in the two (2 years ago) prior operating years, that also received STRMU assistance in the current operating year.			= 155

**Section 3. HOPWA Outcomes on Access to Care and Support**

**1A. Status of Households Accessing Care and Support by Project Sponsors delivering HOPWA Housing Assistance/Housing Placement/Case Management**

Use Table 1 A for project sponsors that provide HOPWA housing assistance/housing placement with or without case management services. In Table 1A, identify the number of client households receiving any type of HOPWA housing assistance that demonstrated improved access or maintained connections to care and support within the program year by: having a housing plan; having contact with a case manager/benefits counselor; visiting a primary health care provider; accessing medical insurance/assistance; and accessing or qualifying for income benefits. *Note: For information on types and sources of income and medical insurance/assistance, refer to Charts 1C and 1D.*

Categories of Services Accessed	Households Receiving Housing Assistance within the Operating Year	Outcome Indicator
1. Has a housing plan for maintaining or establishing stable on-going housing.	959	<i>Support for Stable Housing</i>
2. Has contact with case manager/benefits counselor consistent with the schedule specified in client's individual service plan..	974	<i>Access to Support</i>
3. Had contact with a primary health care provider consistent with the schedule specified in client's individual service plan,	962	<i>Access to Health Care</i>
4. Has accessed and can maintain medical insurance/assistance.	661	<i>Access to Health Care</i>
5. Successfully accessed or maintained qualification for sources of income.	800	<i>Sources of Income</i>

**1B. Number of Households Obtaining Employment**

In Table 1B, identify the number of recipient households that include persons who obtained an income-producing job during the operating year that resulted from HOPWA funded: job training, employment assistance, education or related case management/counseling services. *Note: This includes jobs created by this project sponsor or obtained outside this agency.*

Categories of Services Accessed	Number of Households that Obtained Employment	Outcome Indicator
Total number of households that obtained an income-producing job	173	<i>Sources of Income</i>

**Chart 1C: Sources of income include, but are not limited to the following (Reference only)**

• Earned Income	• Veteran's Pension
• Unemployment Insurance	• Pension from Former Job
• Supplemental Security Income (SSI)	• Child Support
• Social Security Disability Income (SSDI)	• Alimony or Other Spousal Support
• Veteran's Disability Payment	• Retirement Income from Social Security
• General Assistance, or use local program name	• Private Disability Insurance
• Temporary Assistance for Needy Families (TANF) income, or use local program name	• Worker's Compensation

**Chart 1D: Sources of medical insurance and assistance include, but are not limited to the following (Reference only)**

• MEDICAID Health Insurance Program, or local program name	• MEDICARE Health Insurance Program, or local program name
• Veterans Affairs Medical Services	• AIDS Drug Assistance Program (ADAP)
• State Children's Health Insurance Program (SCHIP), or local program name	• Ryan White-funded Medical or Dental Assistance

**2A. Status of Households Accessing Care and Support through HOPWA-funded Services receiving Housing Assistance from Other Sources**

In Table 2A, identify the number of client households served by project sponsors receiving HOPWA-funded housing placement or case management services who have other and housing arrangements that demonstrated improved access or maintained connections to care and support within the program year by: having a housing plan; having contact with a case manager/benefits counselor; visiting a primary health care provider; accessing medical insurance/assistance; and accessing or qualifying for income benefits. Note: For information on types and sources of income and medical insurance/assistance, refer to Charts 2C and 2D.

Categories of Services Accessed	Households Receiving HOPWA Assistance within the Operating Year	Outcome Indicator
1. Has a housing plan for maintaining or establishing stable on-going housing.	n/a	Support for Stable Housing
2. Successfully accessed or maintained qualification for sources of income.	n/a	Sources of Income
3. Had contact with a primary health care provider consistent with the schedule specified in clients individual service plan.	n/a	Access to Health Care
4. Has accessed and can maintain medical insurance/assistance.	n/a	Access to Health Care
5. Has contact with case manager, benefits counselor, or housing counselor consistent with the schedule specified in client’s individual service plan.	n/a	Access to Support

**2B. Number of Households Obtaining Employment**

In Table 2B, identify the number of recipient households that include persons who obtained an income-producing job during the operating year that resulted from HOPWA funded: job training, employment assistance, education or related case management/counseling services. Note: This includes jobs created by this project sponsor or obtained outside this agency.

Categories of Services Accessed	Number of Households that Obtained Employment	Outcome Indicator
Total number of households that obtained an income-producing job	n/a	Sources of Income

**Chart 2C: Sources of income include, but are not limited to the following (Reference only)**

• Earned Income	• Veteran’s Pension
• Unemployment Insurance	• Pension from Former Job
• Supplemental Security Income (SSI)	• Child Support
• Social Security Disability Income (SSDI)	• Alimony or Other Spousal Support
• Veteran’s Disability Payment	• Retirement Income from Social Security
• General Assistance, or use local program name	• Private Disability Insurance
• Temporary Assistance for Needy Families (TANF) income, or use local program name	• Worker’s Compensation

**Chart 2D: Sources of medical insurance and assistance include, but are not limited to the following (Reference only)**

<ul style="list-style-type: none"> <li>• MEDICAID Health Insurance Program, or local program name</li> </ul>	<ul style="list-style-type: none"> <li>• MEDICARE Health Insurance Program, or local program name</li> </ul>
<ul style="list-style-type: none"> <li>• Veterans Affairs Medical Services</li> </ul>	<ul style="list-style-type: none"> <li>• AIDS Drug Assistance Program (ADAP)</li> </ul>
<ul style="list-style-type: none"> <li>• State Children’s Health Insurance Program (SCHIP), or local program name</li> </ul>	<ul style="list-style-type: none"> <li>• Ryan White-funded Medical or Dental Assistance</li> </ul>

**End of PART 4**

**PART 5: Worksheet - Determining Housing Stability Outcomes**

1. This chart is designed to assess program results based on the information reported in Part 4.

<b>Permanent Housing Assistance</b>	<b>Stable Housing</b> (# of households remaining in program plus 3+4+5+6=#)	<b>Temporary Housing</b> (2)	<b>Unstable Arrangements</b> (1+7+8=#)	<b>Life Event</b> (9)
Tenant-Based Rental Assistance (TBRA)	487	3	23	14
Permanent Facility-based Housing Assistance/Units	n/a			
Transitional/Short-Term Facility-based Housing Assistance/Units	n/a			
<b>Total Permanent HOPWA Housing Assistance</b>	487	3	23	14

<b>Reduced Risk of Homelessness: Short-Term Assistance</b>	<b>Stable/Permanent Housing</b>	<b>Temporarily Stable, with Reduced Risk of Homelessness</b>	<b>Unstable Arrangements</b>	<b>Life Events</b>
Short-Term Rent, Mortgage, and Utility Assistance (STRMU)	530	20	23	5
<b>Total HOPWA Housing Assistance</b>	1008	23	46	19

### **Background on HOPWA Housing Stability Codes**

#### **Stable Permanent Housing/Ongoing Participation**

3 = Private Housing in the private rental or home ownership market (without known subsidy, including permanent placement with families or other self sufficient arrangements) with reasonable expectation that additional support is not needed.

4 = Other HOPWA-funded housing assistance (not STRMU), e.g. TBRA or Facility-Based Assistance.

5 = Other subsidized house or apartment (non-HOPWA sources, e.g., Section 8, HOME, public housing).

6 = Institutional setting with greater support and continued residence expected (e.g., residential or long-term care facility).

#### **Temporary Housing**

2 = Temporary housing - moved in with family/friends or other short-term arrangement, such as Ryan White subsidy, transitional housing for homeless, or temporary placement in institution (e.g., hospital, psychiatric hospital or other psychiatric facility, substance abuse treatment facility or detox center).

#### **Unstable Arrangements**

1 = Emergency shelter or no housing destination such as places not meant for habitation (e.g., a vehicle, an abandoned building, bus/train/subway station, or anywhere outside).

7 = Jail /prison.

8 = Disconnected or disappeared from project support, unknown destination or no assessments of housing needs were undertaken.

#### **Life Event**

9 = Death, i.e., remained in housing until death. This characteristic is not factored into the housing stability equation.

**Tenant-based Rental Assistance:** Stable Housing is the sum of the number of households that (i) remain in the housing and (ii) those that left the assistance as reported under: 3, 4, 5, and 6. Temporary Housing is the number of households that accessed assistance, and left their current housing for a non-permanent housing arrangement, as reported under item: 2. Unstable Situations is the sum of numbers reported under items: 1, 7, and 8.

**Permanent Facility-Based Housing Assistance:** Stable Housing is the sum of the number of households that (i) remain in the housing and (ii) those that left the assistance as shown as items: 3, 4, 5, and 6. Temporary Housing is the number of households that accessed assistance, and left their current housing for a non-permanent housing arrangement, as reported under item 2. Unstable Situations is the sum of numbers reported under items: 1, 7, and 8.

**Transitional/Short-Term Facility-Based Housing Assistance:** Stable Housing is the sum of the number of households that (i) continue in the residences (ii) those that left the assistance as shown as items: 3, 4, 5, and 6. Other Temporary Housing is the number of households that accessed assistance, and left their current housing for a non-permanent housing arrangement, as reported under item 2. Unstable Situations is the sum of numbers reported under items: 1, 7, and 8.

**Tenure Assessment.** A baseline of households in transitional/short-term facilities for assessment purposes, indicate the number of households whose tenure exceeded 24 months.

**STRMU Assistance:** Stable Housing is the sum of the number of households that accessed assistance for some portion of the permitted 21-week period and there is reasonable expectation that additional support is not needed in order to maintain permanent housing living situation (as this is a time-limited form of housing support) as reported under housing status: Maintain Private Housing with subsidy; Other Private with Subsidy; Other HOPWA support; Other Housing Subsidy; and Institution. Temporarily Stable, with Reduced Risk of Homelessness is the sum of the number of households that accessed assistance for some portion of the permitted 21-week period or left their current housing arrangement for a transitional facility or other temporary/non-permanent housing arrangement and there is reasonable expectation additional support will be needed to maintain housing arrangements in the next year, as reported under housing status: Likely to maintain current housing arrangements, with additional STRMU assistance; Transitional Facilities/Short-term; and Temporary/Non-Permanent Housing arrangements Unstable Situation is the sum of number of households reported under housing status: Emergency Shelter; Jail/Prison; and Disconnected.

### **End of PART 5**



**PART 6: Certification of Continued Usage for HOPWA Facility-Based Stewardship Units (ONLY)**

Grantees that use HOPWA funding for new construction, acquisition, or substantial rehabilitation are required to operate their facilities for HOPWA eligible individuals for at least ten years. If non-substantial rehabilitation funds were used they are required to operate for at least three years. Stewardship begins once the facility is put into operation. This Annual Certification of Continued HOPWA Project Operations is to be used in place of other sections of the APR, in the case that no additional HOPWA funds were expended in this operating year at this facility that had been acquired, rehabilitated or constructed and developed in part with HOPWA funds.

**1. General information**

HUD Grant Number(s)  <b>n/a</b>	Operating Year for this report From (mm/dd/yy) To (mm/dd/yy) <input type="checkbox"/> Final Yr  <input type="checkbox"/> Yr 1; <input type="checkbox"/> Yr 2; <input type="checkbox"/> Yr 3; <input type="checkbox"/> Yr 4; <input type="checkbox"/> Yr 5; <input type="checkbox"/> Yr 6;  <input type="checkbox"/> Yr 7; <input type="checkbox"/> Yr 8; <input type="checkbox"/> Yr 9; <input type="checkbox"/> Yr 10;
Grantee Name	Date Facility Began Operations (mm/dd/yy)

**2. Number of Units and Leveraging**

Housing Assistance	Number of Units Receiving Housing Assistance with HOPWA funds	Amount of Leveraging from Other Sources Used during the Operating Year
Stewardship units (developed with HOPWA funds but no current operations or other HOPWA costs) subject to 3 or 10 year use periods		

**3. Details of Project Site**

Name of HOPWA-funded project site	
Project Zip Code(s) and Congressional District(s)	
Is the address of the project site confidential?	<input type="checkbox"/> Yes, protect information; do not list. <input type="checkbox"/> Not confidential; information can be made available to the public.
If the site address is not confidential, please provide the contact name, phone, email, and physical address, if different from business address.	

I certify that the facility that received assistance for acquisition, rehabilitation, or new construction from the Housing Opportunities for Persons with AIDS Program has operated as a facility to assist HOPWA-eligible persons from the date shown above. I also certify that the grant is still serving the planned number of HOPWA-eligible households at this facility through leveraged resources and all other requirements of the grant agreement are being satisfied.

<i>I hereby certify that all the information stated herein, as well as any information provided in the accompaniment herewith, is true and accurate.</i>	
<b>Name &amp; Title of Authorized Official</b>	<b>Signature &amp; Date (mm/dd/yy)</b>
<b>Name &amp; Title of Contact at Grantee Agency</b> <i>(person who can answer questions about the report and program)</i>	<b>Contact Phone (with area code)</b>

**End of PART 6**

## PART III: Citizen Participation

### **CITIZEN PARTICIPATION**

#### **PUBLIC COMMENT PROCESS**

In an effort to fully include the citizens of Texas in the Consolidated Planning process, the Department designated a public comment period for this Plan that lasted 15 days. The comment period began on Friday, April 1, 2011, and ended at 5:00 pm on Friday, April 15, 2011. An announcement of the public comment period was posted in the March 25, 2011 edition of the *Texas Register* and on TDHCA's website. Copies of the draft were available online at [www.tdhca.state.tx.us](http://www.tdhca.state.tx.us) and, if requested, in writing.

No public comment was received.